

10 VOLUME 1  
11 TRANSCRIPT OF JURY TRIAL  
12 BEFORE THE HONORABLE RUSSELL G. VINEYARD  
13 UNITED STATES MAGISTRATE JUDGE

13 APPEARANCES OF COUNSEL:

14 FOR THE PLAINTIFF: GREGORY J. GORSKI

15 FOR THE DEFENDANT: CINDY D. HANSON  
ROSS D. ANDRE

20  
21 PROCEEDINGS RECORDED BY MECHANICAL STENOGRAPHY, TRANSCRIPT  
22 PRODUCED BY COMPUTER



1 AND HOW YOU WILL DELIBERATE UPON YOUR VERDICT AND DECIDE THE  
2 CASE. YOU MUST FOLLOW THOSE IN APPLYING THE FACTS THAT YOU  
3 FIND IN MAKING YOUR DECISION.

4 YOU MUST DECIDE THE CASE ON ONLY THE EVIDENCE  
5 PRESENTED IN THE COURTROOM. THE EVIDENCE PRESENTED TO YOU  
6 DURING THE TRIAL WILL PRIMARILY CONSIST OF AGREED UPON FACTS OR  
7 WHAT WE CALL STIPULATIONS, THE TESTIMONY OF WITNESSES AND  
8 TANGIBLE ITEMS INCLUDING PAPER AND DOCUMENTS WHICH WE CALL  
9 EXHIBITS.

10 DURING THE TRIAL YOU WILL HEAR CERTAIN THINGS THAT  
11 ARE NOT EVIDENCE, AND YOU MUST NOT CONSIDER THEM. FIRST, THE  
12 LAWYERS' STATEMENTS AND ARGUMENTS ARE NOT EVIDENCE. IN THEIR  
13 OPENING STATEMENTS AND CLOSING ARGUMENTS, THE LAWYERS WILL  
14 DISCUSS THE CASE. THEIR REMARKS MAY HELP YOU FOLLOW EACH  
15 SIDE'S ARGUMENT AND PRESENTATION OF EVIDENCE, BUT THE REMARKS  
16 THEMSELVES ARE NOT EVIDENCE AND SHOULDN'T PLAY A ROLE IN YOUR  
17 DELIBERATIONS.

18 SECOND, THE LAWYERS' QUESTIONS AND OBJECTIONS ARE NOT  
19 EVIDENCE. ONLY THE WITNESSES' ANSWERS ARE EVIDENCE. DON'T  
20 DECIDE THAT SOMETHING IS TRUE JUST BECAUSE A LAWYER'S QUESTION  
21 SUGGESTS THAT IT IS. A QUESTION IS NOT EVIDENCE OF WHAT A  
22 WITNESS SAW OR DID UNLESS THE WITNESS AGREES WITH THE  
23 QUESTION.

24 THERE ARE RULES OF EVIDENCE THAT CONTROL WHAT THE  
25 COURT CAN RECEIVE INTO EVIDENCE. WHEN A LAWYER ASKS A WITNESS

1 A QUESTION OR PRESENTS AN EXHIBIT, THE OPPOSING LAWYER MAY  
2 OBJECT IF HE THINKS THE RULES OF EVIDENCE DO NOT PERMIT IT. IF  
3 I OVERRULE THE OBJECTION, THEN THE WITNESS MAY ANSWER THE  
4 QUESTION OR THE COURT MAY RECEIVE THE EXHIBIT. IF I SUSTAIN  
5 THE OBJECTION, THEN THE WITNESS CANNOT ANSWER THE QUESTION, AND  
6 THE COURT CANNOT RECEIVE THE EXHIBIT. WHEN I SUSTAIN AN  
7 OBJECTION TO A QUESTION OR TO AN EXHIBIT, YOU MUST IGNORE THE  
8 QUESTION, NOT GUESS WHAT THE ANSWER MIGHT HAVE BEEN OR WHAT THE  
9 EXHIBIT MAY HAVE BEEN.

10 SOMETIMES I MAY DISALLOW OR STRIKE EVIDENCE AND ORDER  
11 YOU TO DISREGARD OR IGNORE IT. THAT MEANS YOU MUST NOT  
12 CONSIDER THAT EVIDENCE WHEN YOU'RE DECIDING THE CASE. I MAY  
13 ALLOW SOME EVIDENCE FOR ONLY A LIMITED PURPOSES. WHEN I  
14 INSTRUCT YOU THAT I'VE ADMITTED AN ITEM OF EVIDENCE FOR A  
15 LIMITED PURPOSE, YOU MAY CONSIDER IT FOR THAT PURPOSE ONLY.

16 TO REACH A VERDICT YOU MAY HAVE TO DECIDE WHICH  
17 TESTIMONY TO BELIEVE AND WHICH TESTIMONY NOT TO BELIEVE. YOU  
18 MAY BELIEVE EVERYTHING A WITNESS SAYS, PART OF IT, OR NONE OF  
19 IT. WHEN CONSIDERING A WITNESS' TESTIMONY, YOU MAY TAKE INTO  
20 ACCOUNT SOME OF THE FOLLOWING FACTORS.

21 THE WITNESS' OPPORTUNITY AND ABILITY TO SEE, HEAR OR  
22 KNOW THE THINGS THE WITNESS IS TESTIFYING ABOUT, THE WITNESS'  
23 MEMORY, THE WITNESS' MANNER WHILE TESTIFYING, ANY INTEREST THE  
24 WITNESS HAS IN THE OUTCOME OF THE CASE, ANY BIAS OR PREJUDICE  
25 THE WITNESS MAY HAVE, ANY OTHER EVIDENCE THAT CONTRADICTS THE

1 WITNESS' TESTIMONY, THE REASONABLENESS OF THE WITNESS'  
2 TESTIMONY IN LIGHT OF ALL OF THE EVIDENCE, AND ANY OTHER  
3 FACTORS AFFECTING BELIEVABILITY. AT THE END OF THE TRIAL, I'LL  
4 GIVE YOU ADDITIONAL GUIDELINES FOR DETERMINING WITNESS  
5 CREDIBILITY.

6 WHILE SERVING ON THE JURY, YOU MAY NOT TALK WITH  
7 ANYONE ABOUT ANYTHING RELATED TO THE CASE. YOU MAY TELL PEOPLE  
8 THAT YOU'RE A JUROR AND GIVE THEM INFORMATION ABOUT WHEN YOU  
9 MUST BE IN COURT, BUT YOU MUST NOT DISCUSS ANYTHING ABOUT THE  
10 CASE ITSELF WITH ANYONE, AND THAT WOULD INCLUDE EVEN YOUR  
11 FELLOW JURORS UNTIL THE CASE IS SUBMITTED TO YOU FOR YOUR  
12 DELIBERATIONS.

13 YOU WANT TO MAKE SURE THAT YOU'VE HEARD EVERYTHING,  
14 AND THAT ALL THE EVIDENCE, THE LAWYERS' CLOSING ARGUMENTS AND  
15 MY INSTRUCTIONS ON THE LAW BEFORE YOU BEGIN DELIBERATING. YOU  
16 SHOULD KEEP AN OPEN MIND UNTIL THE END OF THE TRIAL. PREMATURE  
17 DISCUSSION AMONG YOURSELVES MAY LEAD TO A PREMATURE DECISION.

18 I WANT TO EMPHASIZE IN ADDITION TO NOT TALKING FACE  
19 TO FACE WITH ANYONE ABOUT THE CASE, YOU MUST NOT COMMUNICATE  
20 WITH ANYONE ABOUT THE CASE BY ANY OTHER MEANS, AND THAT  
21 INCLUDES EMAILS, TEXT MESSAGES, THE INTERNET, SOCIAL NETWORKING  
22 SITES. YOU ALSO SHOULD NOT CONDUCT ANY INDEPENDENT RESEARCH OR  
23 SEARCH ONLINE FOR ANY INFORMATION ABOUT THE CASE, THE PARTIES  
24 OR THE LAW. DON'T READ OR LISTEN TO THE NEWS ABOUT THIS CASE  
25 SHOULD ANY BE REPORTED, VISIT ANY PLACES RELATED TO THIS CASE

1 OR RESEARCH ANY FACT, ISSUE OR LAW RELATED TO THE CASE. YOU  
2 MUST BASE YOUR DECISION ONLY ON THE TESTIMONY AND OTHER  
3 EVIDENCE PRESENTED IN THIS COURTROOM.

4 IF YOU WISH YOU MAY TAKE NOTES TO HELP YOU REMEMBER  
5 WHAT WITNESSES SAID. IF YOU DO TAKE NOTES, PLEASE DON'T SHARE  
6 THEM WITH ANYONE UNTIL YOU GO TO THE JURY ROOM TO DECIDE THE  
7 CASE. DON'T LET NOTETAKING DISTRACT YOU FROM CAREFULLY  
8 LISTENING TO THE EVIDENCE AS IT IS PRESENTED AND WHAT YOU MAY  
9 OBSERVE HERE IN THE COURTROOM FROM WITNESSES. WHEN YOU LEAVE  
10 THE COURTROOM, YOU SHOULD LEAVE YOUR NOTES HIDDEN FROM VIEW IN  
11 THE JURY ROOM FROM OTHERS.

12 WHETHER OR NOT YOU TAKE NOTES, YOU SHOULD RELY ON  
13 YOUR OWN MEMORY OF THE TESTIMONY. YOUR NOTES ARE THERE ONLY TO  
14 HELP YOUR MEMORY. THEY ARE NOT ENTITLED TO GREATER WEIGHT THAN  
15 YOUR MEMORY OR IMPRESSIONS ABOUT THE TESTIMONY OR THE MEMORY OR  
16 IMPRESSIONS OF OTHER JURORS.

17 THE ORDER OF THE TRIAL PROCEEDINGS WILL BE AS  
18 FOLLOWS: AFTER WE TAKE OUR BREAK, I'LL RECOGNIZE THE ATTORNEYS  
19 TO ALLOW THEM TO PROVIDE THEIR OPENING STATEMENTS. THE  
20 PLAINTIFF WILL GO FORWARD THEN WITH CALLING WITNESSES AND  
21 PRESENTATION OF EVIDENCE AND WHAT WE CALL THE PLAINTIFF'S CASE  
22 IN CHIEF, AND WE'LL DO THAT AFTER LUNCH.

23 WHEN THE PLAINTIFF FINISHES BY ANNOUNCING THAT HE  
24 RESTS, THE DEFENDANT WILL PROCEED WITH WITNESSES AND EVIDENCE  
25 AFTER WHICH WITHIN CERTAIN LIMITATIONS THE PLAINTIFF MAY BE

1 PERMITTED TO AGAIN CALL WITNESSES OR PRESENT EVIDENCE DURING  
2 WHAT WE CALL THE REBUTTAL PHASE OF THE TRIAL. THE PLAINTIFF  
3 PRESENTS FIRST AND MAY REBUT AT THE END BECAUSE THE LAW PLACES  
4 THE BURDEN OF PROOF OR BURDEN OF PERSUASION UPON THE PLAINTIFF,  
5 AND I'LL BE EXPLAINING TO YOU IN MY LATER INSTRUCTIONS IN  
6 DETAIL HOW THE BURDEN OF PROOF APPLIES IN THIS CASE.

7 WHEN THE EVIDENCE PORTION OF THE TRIAL IS COMPLETED,  
8 THE LAWYERS WILL THEN GIVE THEIR CLOSING STATEMENTS OR  
9 SUMMATIONS TO YOU, AND I WILL INSTRUCT YOU ON THE APPLICABLE  
10 LAW THAT YOU ARE TO APPLY, AND YOU WILL THEN RETIRE TO BEGIN  
11 YOUR DELIBERATIONS ON YOUR VERDICT.

12 AS I INDICATED TO YOU AT THIS TIME I'M GOING TO TAKE  
13 A BRIEF RECESS TO ALLOW THE ATTORNEYS TO SET UP SOME TECHNOLOGY  
14 THAT THEY NEED TO AID IN THEIR PRESENTATION OF OPENING  
15 STATEMENTS. THIS WILL BE A BRIEF RECESS I ANTICIPATE. WE'LL  
16 HEAR THEIR OPENING STATEMENTS, AND THEN WE'LL BE READY TO BREAK  
17 FOR LUNCH TODAY.

18 SO JUST REMEMBER MY ADMONITION, DO NOT BEGIN TO  
19 DISCUSS ANYTHING ABOUT THE CASE. YOU'LL HAVE PLENTY OF  
20 OPPORTUNITY TO DO THAT AT THE CONCLUSION OF THE CASE. YOU ALL  
21 ARE EXCUSED TO THE JURY ROOM. THE CSO WILL ESCORT YOU TO YOUR  
22 JURY ROOM.

23 (JURY RETIRED)

24 THE COURT: COUNSEL, I'M GOING TO TAKE A BREAK TO  
25 ALLOW YOU ALL TO SET UP THE TECHNOLOGY. I UNDERSTAND THEY'LL

1 BE ANOTHER LITTLE ADJUSTMENT IN THE TECHNOLOGY BETWEEN TIME,  
2 BUT IF YOU ALL CAN DO AS MUCH AS YOU CAN RIGHT NOW, AND JUST  
3 LET MS. MONTGOMERY WHEN YOU'RE PREPARED. I ASSUME IT'S GOING  
4 TO TAKE TEN MINUTES OR LESS TO DO SO?

5 MR. ANDRE: YES, YOUR HONOR. ONE QUICK QUESTION.

6 WILL THE JURORS BE PROVIDED PEN AND PAPER FOR NOTE TAKING?

7 THE COURT: YES, WE HAVE LITTLE NOTE PADS. MS.

8 MONTGOMERY HAS THOSE HERE. ANYTHING FURTHER?

9 MR. GORSKI: NOTHING FROM PLAINTIFF. THANK YOU.

10 MR. ANDRE: NO, YOUR HONOR. THANK YOU.

11 (RECESS)

12 THE COURT: COUNSEL, ARE YOU ALL READY TO DO YOUR  
13 OPENING STATEMENTS AT THIS TIME, MR. GORSKI?

14 MR. GORSKI: YES, YOUR HONOR.

15 THE COURT: MR. ANDRE?

16 MR. ANDRE: YES, YOUR HONOR.

17 THE COURT: SIR, IF YOU WOULD BRING OUR JURORS IN.

18 THANK YOU.

19 (JURY PRESENT)

20 THE COURT: MEMBERS OF THE JURY, IT'S NOW TIME TO  
21 BEGIN BY AFFORDING THE LAWYERS FOR EACH SIDE THE OPPORTUNITY TO  
22 MAKE THEIR OPENING STATEMENT TO YOU IN WHICH THEY MAY EXPLAIN  
23 THE ISSUES IN THE CASE AND SUMMARIZE THE FACTS THAT THEY EXPECT  
24 THE EVIDENCE WILL SHOW.

25 I REMIND YOU THAT THE STATEMENTS THAT THEY'RE ABOUT

1 TO MAKE TO YOU AS WELL AS THE CLOSING ARGUMENTS OR SUMMATIONS  
2 ARE NOT EVIDENCE IN THE CASE, AND YOU MAY NOT CONSIDER THEM AS  
3 EVIDENCE OR INSTRUCTIONS ON THE LAW WHICH I WILL BE PROVIDING  
4 TO YOU AT THE END OF THE CASE. NEVERTHELESS, THESE STATEMENTS  
5 AND ARGUMENTS ARE INTENDED TO HELP YOU UNDERSTAND THE ISSUES IN  
6 THE CASE AND THE EVIDENCE AS IT COMES IN AS WELL AS THE  
7 POSITIONS TAKEN BY BOTH SIDES.

8 SO I ASK NOW TO GIVE YOUR FULL ATTENTION TO EACH  
9 LAWYER AS THEY BRING THEIR OPENING STATEMENT. MR. GORSKI, I  
10 RECOGNIZE YOU.

11 MR. GORSKI: THANK YOU, YOUR HONOR. LADIES AND  
12 GENTLEMEN OF THE JURY, GOOD MORNING AND THANK YOU FOR  
13 PARTICIPATING IN THIS IMPORTANT CIVIL PROCESS. I CAN ASSURE  
14 YOU THAT EVERYBODY HERE IS TRULY GRATEFUL FOR YOU TAKING TIME  
15 OUT OF YOUR DAY TO PARTICIPATE IN THIS TRIAL.

16 AS YOU ALREADY KNOW FROM THE SELECTION PROCESS, MY  
17 NAME IS GREG GORSKI. I'M AN ATTORNEY. I REPRESENT THE  
18 PLAINTIFF IN THIS CASE. HIS NAME IS TONY SMITH. HE'S SITTING  
19 RIGHT THERE AT THE END OF THE TABLE. ALSO WITH ME TODAY IS  
20 MY PARALEGAL ILIJANA VUKAS WHO WORKS WITH ME AT OUR LAW  
21 OFFICE.

22 LET ME SET THE STAGE FOR WHY WE'RE HERE IN COURT  
23 TODAY. IN SEPTEMBER 2012 A RECRUITER WORKING FOR A TRUCKING  
24 COMPANY CALLED THE DART TRANSIT COMPANY ORDERED A NATIONAL  
25 CRIMINAL BACKGROUND CHECK FROM THE DEFENDANT IN THIS CASE

1 E-BACKGROUNDCHECKS.COM. NOW DURING THE TRIAL, I MAY ALSO REFER  
2 TO THE DEFENDANT AS BGC OR SOMETIMES JUST  
3 BACKGROUNDCHECKS.COM. SO IF YOU USE ANY OF THOSE, YOU'LL KNOW  
4 WHO I'M REFERRING TO.

5 THE CRIMINAL REPORT WAS TO BE ABOUT MY CLIENT MR.  
6 SMITH AS PART OF HIS PENDING EMPLOYMENT WITH DART AS A TRUCK  
7 DRIVER. THE RECRUITER ENTERED THE FOLLOWING INFORMATION INTO A  
8 WEBPAGE THAT WAS OPERATED BY BGC AS THE ONLY WAY TO OBTAIN THIS  
9 NATIONAL CRIMINAL BACKGROUND CHECK: NAME TONY WILLIE SMITH,  
10 DATE OF BIRTH NOVEMBER 17TH, 1979, SOCIAL SECURITY NUMBER  
11 339-84-XXXX, WORK STATE GEORGIA. THIS IS ALL MR. SMITH'S  
12 CORRECT FULL NAME, HIS CORRECT DATE OF BIRTH AND HIS CORRECT  
13 SOCIAL SECURITY NUMBER.

14 THAT INFORMATION WAS THEN ELECTRONICALLY SENT TO A  
15 BGC COMPUTER, AND IN A MATTER OF SECONDS THE BGC COMPUTER  
16 GENERATED A CRIMINAL REPORT THAT GOT SENT RIGHT BACK TO THE  
17 DART RECRUITER AND APPEARED ON HIS COMPUTER SCREEN.

18 THE REPORT CONTAINED SIX CRIMINAL CONVICTIONS THAT  
19 WOULD HAVE OTHERWISE PRECLUDED MY CLIENT FROM GETTING HIRED AT  
20 DART. THE CRIMINAL CONVICTIONS, HOWEVER, DID NOT BELONG TO MY  
21 CLIENT MR. SMITH HERE. THEY BELONGED TO ANOTHER TONY SMITH  
22 FROM PHILADELPHIA, PENNSYLVANIA WHO IS NO RELATION TO MY CLIENT  
23 AT ALL. HE DOES NOT KNOW THIS PERSON. HE'S NEVER MET THIS  
24 PERSON. MY CLIENT HERE HAS NEVER EVEN BEEN TO THE STATE OF  
25 PENNSYLVANIA AND DOES NOT KNOW ANYBODY IN THE STATE OF

1 PENNSYLVANIA.

2 NOW, WHAT YOU MAY BE ASKING YOURSELF IS HOW COULD  
3 THIS HAPPEN, AND THAT'S WHAT THIS CASE IS ABOUT, THOSE FEW  
4 SECONDS WHERE BGC'S COMPUTERS GENERATE A REPORT THAT FALSELY  
5 ACCUSES MR. SMITH OF CRIMES HE DID NOT COMMIT.

6 NOW, AS YOU HEARD IN JUDGE VINEYARD'S INSTRUCTIONS,  
7 THIS CASE INVOLVES THE FAIR CREDIT REPORTING ACT SOMETIMES  
8 CALLS THE FCRA, AND AS PART OF HIS INITIAL INSTRUCTIONS TO YOU,  
9 HE EXPLAINED THAT THE FCRA HAS RULES FOR GENERATING CRIMINAL  
10 BACKGROUND CHECKS THAT REQUIRE COMPANIES LIKE BGC TO EMPLOY  
11 REASONABLE PROCEDURES TO ENSURE MAXIMUM POSSIBLE ACCURACY. I  
12 underscore that. THE RULE REQUIRES MAXIMUM POSSIBLE ACCURACY.

13 NOW AT THE END OF THIS TRIAL, I'M GOING TO ASK YOU TO  
14 RETURN A VERDICT IN FAVOR OF MR. SMITH PRECISELY BECAUSE BGC  
15 DOES NOT FOLLOW THE FCRA'S RULES FOR ACHIEVING MAXIMUM POSSIBLE  
16 ACCURACY WHEN IT SELLS AN INSTANTANEOUS NATIONAL CRIMINAL  
17 BACKGROUND CHECK AND RETURNS AN ERRONEOUS REPORT LIKE THE ONE  
18 SOLD ABOUT MY CLIENT CLAIMING THAT HE'S COMMITTED CRIMES THAT  
19 HE DID NOT COMMIT AND JEOPARDIZING HIS LIVELIHOOD.

20 THE EVIDENCE YOU'RE GOING TO HEAR ON THOSE PROCEDURES  
21 IS GOING TO COME FROM BGC'S PRESIDENT CRAIG KESSLER, WHO IS  
22 HERE WITH US TODAY. MR. KESSLER'S TESTIMONY IS GOING TO  
23 PROVIDE YOU WITH THE FOLLOWING INFORMATION ABOUT HOW BGC SELLS  
24 NATIONAL CRIMINAL BACKGROUND REPORTS THAT IT CALLS THE US  
25 ONESEARCH AS WELL AS THE INACCURACIES THAT APPEARED ON MR.

1 SMITH'S REPORT SPECIFICALLY.

2 FIRST, THIS TESTIMONY IS GOING TO CONFIRM THAT ALL  
3 THE CRIMINAL RECORDS ON BGC'S REPORT THAT WAS SOLD ABOUT MR.  
4 SMITH BY BGC BY THEIR OWN ADMISSION DOES NOT BELONG TO MY  
5 CLIENT. SECOND, THE REPORTS, LIKE THE ONE SOLD ABOUT MY  
6 CLIENT, ARE GENERATED INSTANTANEOUSLY. THERE IS NO HUMAN  
7 INVOLVEMENT AT ALL IN THE PROCESS OF CREATION OF THESE  
8 REPORTS.

9 NEXT, YOU'RE GOING TO LEARN THAT THE REASON THAT  
10 THESE FALSE RECORDS ENDED UP ON MY CLIENT'S REPORT IS BECAUSE  
11 THE COMPUTER WAS ONLY LOOKING TO MATCH NAME AND DATE OF BIRTH,  
12 AND THIS IS IMPORTANT. BGC BY ITS OWN ACKNOWLEDGMENT  
13 UNDERSTANDS THAT ITS COMPUTERS CANNOT DIFFERENTIATE BETWEEN  
14 PEOPLE WITH THE SAME NAME AND THE SAME DATE OF BIRTH.

15 ADDITIONALLY, EVEN THOUGH DART ENTERED MR. SMITH'S  
16 SOCIAL SECURITY NUMBER INTO THAT WEBPAGE AND PROVIDED IT TO  
17 BGC, IT WAS NOT CONSIDERED BECAUSE BGC'S COMPUTER IS NOT  
18 ATTEMPTING TO MATCH SOCIAL SECURITY NUMBERS BEFORE PLACING  
19 THESE CRIMINAL RECORDS ON SOMEBODY'S REPORT.

20 ADDITIONALLY, BGC IS ABLE TO COMPARE SOCIAL SECURITY  
21 NUMBERS. THEY HAVE THE MEANS TO DO IT, BUT THEY CONSCIOUSLY  
22 CHOOSE NOT TO DO SO PRIOR TO ISSUING THESE REPORTS AS A MATTER  
23 OF PRACTICE.

24 BGC ALSO HAS NO SPECIAL PROCEDURES IN PLACE TO  
25 ADDRESS ANY ISSUES WITH PEOPLE WHO MIGHT HAVE COMMON NAMES.

1 BGC ALSO LEAVES OFF IDENTIFYING INFORMATION THAT IS ACTUALLY  
2 PART OF THE CRIMINAL RECORD AND COULD HAVE DIFFERENTIATED MR.  
3 SMITH FROM THE ACTUAL PERPETRATOR, BUT THEY DO NOT PUT THAT ON  
4 THE REPORT.

5 FINALLY, BGC IS NO STRANGER TO THIS PROBLEM. MR.  
6 KESSLER WILL CONFIRM THAT BGC RECEIVES DISPUTES LIKE THIS EVERY  
7 DAY, MULTIPLE TIMES PER DAY.

8 NOW, AT THE END OF THIS TRIAL, I'M ALSO GOING TO ASK  
9 YOU TO AWARD MONEY DAMAGES TO MR. SMITH FOR REPUTATIONAL HARM  
10 THAT HE SUFFERED AND FOR EMOTIONAL DISTRESS THAT HE SUFFERED  
11 GOING THROUGH THIS PROCESS.

12 WHAT YOU'RE GOING TO HEAR FROM MR. SMITH IS THAT AS  
13 HE WAS DRIVING IN ON HIS FIRST DAY TO WORK, HE WAS CONTACTED BY  
14 A RECRUITER AND INFORMED ABOUT THE ERRONEOUS BACKGROUND CHECK  
15 AND WAS CALLED A LIAR AND DISHONEST. HE WASN'T ALLOWED TO LOOK  
16 AT THE REPORT. HE WASN'T ABLE TO LOOK AT THE REPORT FOR A WEEK  
17 THAT BGC PUT IN THE MAIL. IT TOOK HIM A WEEK TO FINALLY LOOK  
18 AT THE REPORT SO THAT HE COULD ACTUALLY SEE WHAT WAS WRONG WITH  
19 IT.

20 WHILE HE WAS WAITING, HE CONTACTED THE GEORGIA JAIL,  
21 GOT FINGERPRINTED TO PROVE THAT HE DID NOT HAVE THESE CRIMINAL  
22 RECORDS ASSOCIATED WITH HIM. HE EVEN CONTACTED COURTS IN  
23 PENNSYLVANIA AND THE POLICE IN PENNSYLVANIA TO SHOW THAT THESE  
24 CRIMINAL RECORDS ARE NOT ASSOCIATED WITH HIM. HE EVEN CALLED  
25 THE COURT WHERE THESE RECORDS CAME FROM AND CONFIRMED THE

1 RECORDS WERE NOT ASSOCIATED WITH HIM.

2 THE OTHER IMPORTANT POINT HERE, AND I HAVE A MISSED  
3 SLIDE, NOBODY TO HELP HIM. ONE OF THE IMPORTANT THINGS TO  
4 UNDERSTAND HERE IS THAT ONCE THIS REPORT WAS ISSUED THE BALL  
5 DOESN'T BOUNCE -- THE BALL DIDN'T BOUNCE INTO DART'S COURT TO  
6 FIGURE THIS OUT. NO MATTER WHAT MR. SMITH WENT AND GOT, DART  
7 WAS NOT GOING TO INVESTIGATE THIS. EVEN THOUGH HE ASKED --  
8 EVEN THOUGH HE PROVIDED THEM WITH INFORMATION, DART WAS GOING  
9 TO RELY ON THE REPORT FROM BGC UNTIL IT WAS FIXED, MEANING HE  
10 COULDN'T GET THE JOB.

11 NOW WHEN HE FINALLY GOT THIS REPORT, HE CONTACTED BGC  
12 THREE TIMES BY TELEPHONE, AND YOU'RE GOING TO GET TO HEAR ALL  
13 THREE OF THOSE CONVERSATIONS WHEN HE CALLED BGC ON TWO  
14 CONSECUTIVE DAYS.

15 HE'S ALSO GOING TO TELL YOU ABOUT THE EMBARRASSMENT  
16 AND THE HUMILIATION THAT HE FELT. HE'S ALSO GOING TO TELL YOU  
17 ABOUT THE AFFECT THAT THIS HAD ON HIS FAMILY, AND HE'S ALSO  
18 GOING TO TELL YOU ABOUT THE AFFECT IT HAD ON HIS MENTAL AND  
19 PHYSICAL HEALTH DURING THIS TIMEFRAME.

20 FINALLY, YOU'RE ALSO GOING TO HEAR TESTIMONY FROM  
21 PEGGY O'NEILL. PEGGY O'NEILL IS THE RECRUITER AT DART WHO  
22 RECEIVED THE FALSE BACKGROUND CHECK IN CONNECTION WITH MY  
23 CLIENT'S APPLICATION. NOW, MS. O'NEILL IS FROM OKLAHOMA, AND  
24 BOTH SIDES AGREED THAT WE DID NOT WANT TO MAKE MS. O'NEILL  
25 TRAVEL HERE TO ATLANTA FROM OKLAHOMA, BUT SHE GAVE TESTIMONY AT

1 WHAT'S CALLED A DEPOSITION, AND JUDGE VINEYARD WILL INSTRUCT  
2 YOU ON THAT LATER, BUT IT WILL BE EVIDENCE THAT IS COMBINED  
3 FROM BOTH SIDES IN ONE VIDEOTAPE FOR YOUR VIEWING.

4 THE IMPORTANT THING WITH RESPECT TO MS. O'NEILL'S  
5 TESTIMONY IS TWO THINGS THAT CONNECT EVERYTHING TOGETHER HERE.  
6 NUMBER 1, MR. SMITH'S EMPLOYMENT WAS GOING TO BE DENIED  
7 SPECIFICALLY BECAUSE OF THIS BGC BACKGROUND CHECK, OKAY. MS.  
8 O'NEILL WILL SAY THAT IN HER TESTIMONY. SECOND, DART WAS NOT  
9 GOING TO HELP MR. SMITH WITH HIS PROBLEM. THE PROBLEM WAS ON  
10 MR. SMITH TO SOLVE HIMSELF IF HE WAS GOING TO GET THAT JOB.

11 NOW, THAT'S THE EVIDENCE THAT WE'RE GOING TO PRESENT  
12 TO YOU HERE TODAY. I'D LIKE YOU TO FOCUS ON A COUPLE OF THINGS  
13 HERE AS YOU LISTEN TO THE EVIDENCE. FIRST, FOCUS ON BGC'S  
14 PROCEDURES FOR PREPARING THIS REPORT. REMEMBER THAT THIS CASE  
15 IS ABOUT BGC'S PROCEDURES FOR GENERATING ITS NATIONAL CRIMINAL  
16 BACKGROUND REPORTS AND WHETHER THEY ASSURE MAXIMUM POSSIBLE  
17 ACCURACY OF THE INFORMATION. THIS CASE IS NOT ABOUT WHETHER  
18 BGC ULTIMATELY CORRECTED THAT REPORT AT SOME LATER POINT IN  
19 TIME.

20 SECOND, NOW MY CLIENT WAS ABLE TO OBTAIN A JOB AT  
21 DART, BUT NOT WITHOUT GOING THROUGH A GUT WRENCHING PROCESS OF  
22 HAVING HIS LIVELIHOOD PLACED IN LIMBO FOR A COUPLE OF WEEKS.  
23 FOCUS ON THOSE TWO WEEKS AS MR. SMITH EXPLAINS TO YOU WHAT IT  
24 WAS LIKE TO GO THROUGH A PROCESS WHERE HE WAS EFFECTIVELY  
25 TREATED AS GUILTY UNTIL PROVEN INNOCENT.

1                   THANK YOU, LADIES AND GENTLEMEN, AND THANK YOU FOR  
2 YOUR ATTENTION. I LOOK FORWARD TO SPEAKING WITH YOU AT THE END  
3 OF THE CASE.

4                   THE COURT: MR. ANDRE, DO YOU NEED A MOMENT TO SET UP  
5 THE COMPUTER FOR YOUR USE?

6                   MR. ANDRE: JUST A MINUTE OR TWO.

7                   THE COURT: ALL RIGHT. YOU MAY TAKE THAT OPPORTUNITY  
8 NOW.

9                   (PAUSE IN THE PROCEEDINGS.)

10                  THE COURT: ARE YOU READY NOW?

11                  MR. ANDRE: THAT'S ALL WE NEEDED.

12                  THE COURT: YOU MAY ADDRESS THE JURY.

13                  MR. ANDRE: GOOD MORNING, LADIES AND GENTLEMEN, JUST  
14 BARELY. WE'LL PROVE TWO THINGS TO YOU DURING THIS TRIAL.  
15 FIRST, WE'LL PROVE TO YOU THAT BGC MAINTAINS MORE THAN  
16 REASONABLE PROCEDURES TO ASSURE MAXIMUM POSSIBLE ACCURACY  
17 DESPITE WHAT HAPPENED TO MR. SMITH.

18                  YOU'RE GOING TO HEAR, AS MR. GORSKI ALLUDED TO,  
19 DETAILED TESTIMONY FROM CRAIG KESSLER, FOUNDER AND PRESIDENT OF  
20 BGC. YOU'LL HEAR FROM HIM BOTH IN MR. SMITH'S CASE IN CHIEF  
21 AND IN OUR CASE IN CHIEF. SECOND, WE'RE GOING TO PROVE TO YOU  
22 THAT MR. SMITH WAS NOT DAMAGED BY WHAT HAPPENED TO HIM IN THIS  
23 CASE.

24                  THIS IS A CASE ABOUT A BACKGROUND CHECK, AND THERE'S  
25 NO DISPUTE THAT IN THE FIRST INSTANCE, THE BACKGROUND CHECK

1 THAT BGC PREPARED INCLUDED RECORDS THAT MATCHED MR. SMITH'S  
2 FULL FIRST NAME, LAST NAME, MONTH, DAY AND YEAR OF BIRTH, BUT  
3 UNFORTUNATELY WERE NOT ACTUALLY ABOUT HIM, AND BGC REGRETS THAT  
4 THAT HAPPENED, BUT THAT'S NOT THE WHOLE STORY.

5 YOU HEARD MR. GORSKI ALLUDE TO IT THERE. YOU MAY BE  
6 THINKING, YOU MAY HAVE BEEN THINKING ALL MORNING THAT THIS IS A  
7 CASE WHERE SOMEBODY LOST A JOB BECAUSE OF A BACKGROUND CHECK.  
8 ABSOLUTELY NOT. TONY SMITH GOT THE JOB THAT HE WAS APPLYING  
9 FOR WITH DART TRANSPORTATION.

10 SO WHY ARE WE HERE? WE'RE HERE TO TALK ABOUT THIS  
11 TIMELINE, AND THIS IS WHAT THE EVIDENCE IS GOING TO SHOW YOU.  
12 WE'RE HERE TO TALK ABOUT ONE WEEK, AND THAT'S THE ONE WEEK  
13 BETWEEN WEDNESDAY, SEPTEMBER 12TH WHEN DART ORDERED MR. SMITH'S  
14 REPORT AND WEDNESDAY, SEPTEMBER 19TH WHICH IS THE DATE THAT BGC  
15 FIXED THE REPORT, SENT IT TO DART SAYING MR. SMITH HAD NO  
16 CRIMINAL RECORDS, AND THE VERY NEXT DAY YOU'LL HEAR DART  
17 APPROVED HIM TO BEGIN THE JOB.

18 YOU HEARD TALK ABOUT THE WEEK THAT IT TOOK FOR MR.  
19 SMITH TO RECEIVE THE REPORT. YOU'RE GOING TO SEE THAT'S NOT  
20 THE CASE. WE KNOW THAT ON MONDAY, SEPTEMBER 17TH, LESS THAN A  
21 WEEK AFTER BGC PREPARED THIS REPORT, MR. SMITH CALLED TO  
22 DISPUTE IT, AND HE HAD THE REPORT IN HAND. HE HAD IT IN HAND  
23 BECAUSE BGC MAILED HIM A COPY SO THAT IF ANYTHING HAD GONE  
24 WRONG HE COULD CALL AND GET IT FIXED.

25 SO REALLY WE'RE NOT EVEN HERE TO TALK ABOUT THIS

1 WHOLE WEEK. WE'RE HERE TO TALK ABOUT JUST A COUPLE OF DAYS OF  
2 IT, MAYBE TWO, MAYBE FOUR. THE DAYS BETWEEN WHEN MR. SMITH  
3 FIRST SAW THAT REPORT AND WHEN BGC FIXED IT, AND WE'RE ONLY  
4 TALKING ABOUT THOSE BECAUSE AS JUDGE VINEYARD WILL INSTRUCT YOU  
5 YOU'RE NOT HERE TO DECIDE WHETHER MR. SMITH LOST WAGES FROM HIS  
6 JOB. THAT'S ALREADY BEEN DECIDED BECAUSE HE GOT THE JOB.  
7 YOU'RE ONLY HERE TO ASSESS WHETHER HE'S ENTITLED TO DAMAGES FOR  
8 EMOTIONAL HARM, AND IT'S THOSE WHEN HE KNEW IT WAS A PROBLEM  
9 AND WHEN BGC FIXED IT THAT ARE AT ISSUE.

10 AS MR. GORSKI SAID, YOU'RE GOING TO HEAR FROM JUDGE  
11 VINEYARD AT THE END OF THIS CASE WHAT IT IS THAT THE LAW  
12 REQUIRES, AND MR. GORSKI QUOTED IT. BGC IS REQUIRED TO  
13 MAINTAIN REASONABLE PROCEDURES TO ENSURE THE MAXIMUM POSSIBLE  
14 ACCURACY OF THE INFORMATION THAT IT REPORTS. REASONABLE  
15 PROCEDURES, LADIES AND GENTLEMEN.

16 YOU'RE GOING TO HEAR TALK FROM MR. SMITH ABOUT THE  
17 MANY THINGS THAT HE BELIEVES BGC SHOULD HAVE DONE DIFFERENTLY  
18 IN THIS CASE, BUT THE ONE THING THE EVIDENCE WILL NEVER SHOW  
19 YOU IS THAT ANY OF THOSE SUGGESTIONS ARE REASONABLE, AND THAT'S  
20 WHAT THE LAW REQUIRES.

21 BY DEFINITION PROCEDURES THAT ARE REASONABLE ARE NOT  
22 PERFECT. THAT'S WHY THERE ARE CHECKS AND BALANCES IN PLACE  
23 THAT YOU'RE GOING TO HEAR ABOUT TO ENSURE THAT IF IT ISN'T  
24 PERFECT THE FIRST TIME, WE STILL GET IT RIGHT. THAT'S WHAT  
25 HAPPENED TO MR. SMITH, AND THOSE PROCEDURES THAT BGC MAINTAINS

1 AND THE LAW ITSELF RECOGNIZE THAT YES, HONEST MISTAKES ARE  
2 GOING TO HAPPEN. THAT'S WHY WE HAVE A MECHANISM TO FIX IT.  
3 BGC, BACKGROUNDCHECKS.COM, IS WHAT WE CALL A CONSUMER  
4 REPORTING AGENCY. ESSENTIALLY IT'S A COMPANY THAT DOES  
5 BACKGROUND SCREENING. IT PROVIDES SCREENING IN A LOT DIFFERENT  
6 CONTEXTS, BUT ONE OF THE MAIN ONES IT DOES IS BACKGROUND CHECKS  
7 TO PROSPECTIVE EMPLOYERS WHO ARE TRYING TO MAKE SURE THEY'RE  
8 GOING TO HIRE A SAFE WORK FORCE.

9 BGC'S CLIENTS, YOU'LL HEAR FROM MR. KESSLER, ARE  
10 COMPANIES THAT NEED TO BE ABSOLUTELY SURE THAT THEY'RE PUTTING  
11 THE RIGHT PERSON IN THE JOB. IT'S THE SCHOOL VOLUNTEERS WHO  
12 ARE GOING TO SEND OTHER PEOPLE'S KIDS OUT WITH OTHER PEOPLE'S  
13 PARENTS. IT'S TRUCKING COMPANIES WHO ARE GOING TO PUT SOMEBODY  
14 BEHIND THE WHEEL OF A 20-TON VEHICLE, OR IT'S THE STAFFING  
15 AGENCIES WHO ARE TRYING TO GET SOMEBODY INTO A JOB AS QUICKLY  
16 AS THEY CAN AND GET THAT PERSON INTO THE WORK FORCE.

17 MR. KESSLER, WHO YOU'LL HEAR FROM, FOUNDED BGC  
18 HIMSELF IN 1999 OUT IN DALLAS, TEXAS. IT CURRENTLY EMPLOYS  
19 ABOUT 75 PEOPLE WHO ARE WORKING EVERY DAY TO TRY TO GET THIS  
20 RIGHT. AS MR. KESSLER WILL TELL YOU, BGC RECOGNIZES THAT IT  
21 PLAYS AN IMPORTANT ROLE IN THESE EMPLOYER'S HIRING PROCESSES,  
22 AND THAT'S WHY THE IMPORTANCE THAT BGC PLAYS TOUCHES NOT ONLY  
23 THE CONSUMER BUT THE EMPLOYER AS WELL. BOTH ARE RELYING ON BGC  
24 TO GET IT RIGHT, AND THAT'S WHY BGC DOES CREATE EXTRAORDINARILY  
25 ROBUST PROCEDURES TO ENSURE THAT ITS REPORT ARE AS ACCURATE AS

1 THEY CAN POSSIBLY BE.

2 HOW DOES IT DO THAT? THROUGH EVERYTHING FROM THE WAY  
3 IT ACQUIRES ITS DATA TO THE WAY THAT IT MATCHES ITS RECORDS TO  
4 THE WAY TO MAKE SURE THAT INACCURACIES, SHOULD THEY OCCUR,  
5 NEVER REPEAT THEMSELVES. YOU'LL HEAR ABOUT ALL THESE CHECKS  
6 AND BALANCES.

7 BGC ALSO HAS A LOT OF DIFFERENT PRODUCTS. AS MR.  
8 GORSKI TOLD YOU, WE'RE ONLY HERE TO TALK ABOUT THE DATABASE  
9 SEARCH THAT BGC MAKES AVAILABLE. IT'S A DATABASE THAT'S MADE  
10 UP OF HUNDREDS OF MILLIONS OF PUBLIC RECORDS FROM AROUND THE  
11 COUNTRY. THAT INFORMATION COMES DIRECTLY FROM COURTHOUSES AND  
12 OTHER RECORD REPOSITORIES, AND IT'S COMPOSED OF THE INFORMATION  
13 THAT THEY MAKE AVAILABLE TO BGC.

14 AS YOU'LL LEARN FROM THE EVIDENCE, THE AMOUNT OF  
15 INFORMATION THAT THESE RECORD SOURCES MAKE AVAILABLE VARIES,  
16 AND IT'S ALWAYS TRENDING DOWNWARD. DOES BGC GET A SOCIAL  
17 SECURITY NUMBER FOR EVERY CRIMINAL RECORD? ABSOLUTELY NOT.  
18 AND AS YOU'LL HEAR MR. KESSLER SAY THAT'S BECAUSE COURTS DON'T  
19 MAKE THAT INFORMATION PUBLIC BECAUSE OF VERY REAL CONCERNS  
20 ABOUT IDENTITY THEFT IF I CAN WALK INTO A COURTHOUSE AND FIND A  
21 PERSON'S SOCIAL SECURITY NUMBER.

22 DOES EVERY RECORD EVEN INCLUDE A FULL DATE OF BIRTH  
23 OR A MIDDLE NAME? NO, UNFORTUNATELY SOME OF THEM DON'T.  
24 THAT'S A VARIATION IN THE DATA THAT'S DRIVEN BY COURTHOUSES,  
25 NOT BY SOMETHING BGC DOES.

1                   AT THE END OF THE DAY, LADIES AND GENTLEMEN,  
2 EMPLOYERS NEED A RELIABLE AND ACCURATE AND AN EXPEDITIOUS WAY  
3 TO GAUGE SOMEBODY'S CRIMINAL RECORDS ON A NATIONAL SCALE.  
4 THAT'S WHAT BGC MAKES AVAILABLE.

5                   ARE THERE OTHER WAYS TO DO A BACKGROUND CHECK THAT  
6 YOU MIGHT HEAR ABOUT? OF COURSE, THERE ARE OTHER WAYS TO DO  
7 EVERYTHING, BUT DATABASE SEARCHES, THE TYPE THAT BGC DOES HAVE  
8 BEEN AROUND FOR DECADES. THEY'VE BEEN USED SUCCESSFULLY AND  
9 THAT'S BECAUSE THEY WORK.

10                  AS YOU LISTEN TO ALL THE EVIDENCE, ONE THING YOU'RE  
11 NEVER GOING TO HEAR IS A PLAUSIBLE, REASONABLE SUGGESTION FOR  
12 WHY BGC WOULD WANT TO CUT CORNERS. THEY WILL MAKE THIS  
13 ARGUMENT THAT BGC IS THIS UNCARING CORPORATION. INACCURACIES  
14 ARE BAD FOR CONSUMERS, AND THEY'RE BAD FOR EMPLOYERS. BGC HAS  
15 EVERY INCENTIVE TO GET THIS RIGHT, AND THAT'S WHY IT TRIES TO  
16 DO JUST THAT.

17                  WITH ALL THAT IN MIND, WHAT IS THE EVIDENCE GOING TO  
18 SHOW YOU HAPPENED IN THIS CASE? IN SEPTEMBER 2012, AS YOU  
19 HEARD MR. GORSKI SAY, TONY SMITH APPLIED FOR A JOB AT DART. ON  
20 WEDNESDAY, SEPTEMBER 12TH THEY ORDERED A BACKGROUND REPORT FROM  
21 BGC PROVIDING THE INFORMATION THAT MR. GORSKI REFERENCED. MR.  
22 SMITH WAS APPLYING FOR A JOB INTO A TRUCK DRIVER TRAINING  
23 PROGRAM.

24                  AS MR. KESSLER WILL TELL YOU, BGC'S SYSTEM FOUND  
25 RECORDS THAT MATCHED MR. SMITH'S FULL FIRST NAME, LAST NAME,

1 MONTH, DAY AND YEAR OF BIRTH. THOSE RECORDS HAD NO MIDDLE NAME  
2 ON THEM WHATSOEVER. THAT WASN'T A COMPARISON POINT, BUT TO BE  
3 SURE, YOU'LL HEAR MR. KESSLER TESTIFY THAT BGC USES MIDDLE  
4 NAMES AS AN IMPORTANT FACTOR IN MATCHING IF THE MIDDLE NAME IS  
5 ON THE RECORD TO MATCH FROM. THAT'S NOT THE END OF THE STORY  
6 WHEN BGC SENDS THE REPORT TO DART.

7 WHEN IT DID SO, IT ALSO PREPARED A LETTER FOR MR.  
8 SMITH LETTING HIM KNOW THAT IT HAD SENT THAT INFORMATION TO  
9 DART, AND IT SENT HIM A FULL COPY OF THE REPORT, A COPY OF A  
10 DISPUTE FORM IN CASE HE SAW A PROBLEM ON THE REPORT, AND A  
11 SUMMARY OF ALL OF HIS LEGAL RIGHTS UNDER THE FAIR CREDIT  
12 REPORTING ACT THAT THE GOVERNMENT PUBLISHES. IT MAILED THAT  
13 INFORMATION TO HIM ON THE MORNING OF THURSDAY, SEPTEMBER 13TH  
14 AS YOU CAN SEE FROM THE TIMELINE AND AS YOU WILL HEAR FROM MR.  
15 KESSLER.

16 THERE'S NO DISPUTE THAT MR. SMITH RECEIVED THAT  
17 LETTER, AND HE RECEIVED THE REPORT, AND IT DIDN'T TAKE THE WEEK  
18 THAT MR. GORSKI ALLUDED TO BECAUSE ON MONDAY MORNING 9:30 A.M.  
19 MR. SMITH CALLED BGC FOR THE VERY FIRST TIME AND SAID THESE  
20 RECORDS DON'T BELONG TO ME, AND WHAT HAPPENED? BGC IMMEDIATELY  
21 BEGAN REINVESTIGATING, BUT NOT ONLY THAT, BGC SENT AN EMAIL TO  
22 DART THAT SAME DAY, AND THEY SAID HOLD ON A SECOND, WE'VE GOT A  
23 DISPUTE, LET US FIGURE OUT WHAT'S GOING ON, DON'T MAKE ANY  
24 HIRING DECISIONS UNTIL WE DO SO. BGC REINVESTIGATED THOSE  
25 RECORDS, AND THEY DETERMINED THAT IT DIDN'T BELONG TO MR.

1 SMITH, AND THEY ISSUED A CLEAN REPORT WITH NO CRIMINAL RECORDS  
2 ON IT.

3 HOW LONG DID THAT PROCESS TAKE? THE LAW ALLOWS BGC  
4 30 DAYS. IT TOOK BARELY 48 HOURS, TWO DAYS. MR. SMITH CALLED  
5 ON WEDNESDAY -- EXCUSE ME, MONDAY, SEPTEMBER 17TH. BY  
6 WEDNESDAY, SEPTEMBER 19TH, ONE WEEK AFTER THE INITIAL REPORT  
7 WAS PREPARED, BGC HAD COMPLETED ITS REINVESTIGATION. IT ISSUED  
8 A SECOND REPORT. IT SENT THAT SECOND REPORT TO DART, AND IT  
9 SENT THE SECOND REPORT TO MR. SMITH.

10 BGC GOT THIS DONE SO QUICKLY BECAUSE IT KNEW THAT A  
11 JOB WAS IN THE BALANCE, AND IT NEEDED TO GET IT RIGHT, AND IT  
12 DID, AND THE EVIDENCE WILL SHOW YOU WHAT HAPPENED AFTER THAT.  
13 MR. SMITH GOT THE JOB. DART HADN'T DENIED HIM. MS. O'NEILL  
14 WILL TELL YOU WE WOULD HAVE, BUT THEY DIDN'T. THEY ALLOWED HIM  
15 TO START THE JOB, AND THE VERY NEXT TUESDAY, SEPTEMBER 25TH, HE  
16 STARTED THE DART TRAINING PROGRAM. YOU'LL HEAR THAT HE  
17 FINISHED THE TRAINING PROGRAM. YOU'LL HEAR THAT HE WAS  
18 SUCCESSFUL IN THE TRAINING PROGRAM, AND AT THE END OF IT DART  
19 OFFERED HIM A JOB WHICH HE TURNED DOWN.

20 I SAID I'LL PROVE TO YOU THAT MR. SMITH DID NOT  
21 SUFFER ANY DAMAGES IN THIS CASE. I WANT YOU TO LISTEN CLOSELY  
22 WHEN YOU HEAR THE EVIDENCE OF HOW MR. SMITH WAS SUPPOSEDLY  
23 HARMED. I WANT YOU TO ASK YOURSELF WHAT'S THERE AND WHAT'S NOT  
24 THERE.

25 WE KNOW AND YOU'LL HEAR HE DIDN'T SEE A DOCTOR. WE

1 KNOW AND YOU'LL HEAR THAT HE WASN'T SO UPSET HE WENT AND TOLD  
2 HIS FRIENDS ABOUT IT. WE KNOW HE WASN'T SO UPSET HE COULDN'T  
3 START THE TRAINING PROGRAM LESS THAN A WEEK LATER, LESS THAN A  
4 WEEK AFTER BGC FIXED IT. WE KNOW THAT HE WASN'T SO UPSET THAT  
5 HE COULDN'T COMPLETE THE PROGRAM AND GO ON AND TAKE A BETTER  
6 JOB, AND, IN FACT, YOU WON'T HEAR TESTIMONY FROM HIS WIFE, HIS  
7 FAMILY, HIS FRIENDS OR ANY OTHER PERSON TO TELL YOU JUST HOW  
8 UPSET HE SUPPOSEDLY WAS.

9                   LADIES AND GENTLEMEN, I TOLD YOU THIS AT THE  
10 BEGINNING. BGC DOESN'T PRETEND THAT ITS SYSTEM IS GOING TO GET  
11 IT RIGHT A HUNDRED PERCENT OF THE TIME. THAT'S NOT WHAT A  
12 REASONABLE PROCEDURE REQUIRES, BUT YOU'LL HEAR TESTIMONY FROM  
13 MR. KESSLER ABOUT BGC'S EFFORTS TO FIGURE OUT JUST HOW ACCURATE  
14 THIS DATABASE PRODUCT IS. THE CONCLUSION, IN MORE THAN 99.5  
15 PERCENT OF ALL SEARCHES, BGC GETS IT RIGHT THE FIRST TIME.  
16 REASONABLE PROCEDURES. I THINK YOU'LL AGREE THAT 99.5 PERCENT  
17 IS A REFLECTION OF BGC'S REASONABLENESS, AND AT THE END OF THE  
18 DAY IN THIS CASE, IT'S A CASE WHERE THE SYSTEM WORKED THE WAY  
19 IT WAS SUPPOSED TO. BGC CORRECTED THE REPORT, AND MR. SMITH  
20 GOT THE JOB HE APPLIED FOR. THANK YOU.

21                   THE COURT: MEMBERS OF THE JURY, AS I TOLD YOU  
22 EARLIER, WE'RE GOING TO TAKE OUR LUNCH BREAK AT THIS TIME.  
23 IT'S JUST A FEW MINUTES AFTER NOON. WE HAVE A CAFETERIA HERE  
24 IN THE BUILDING WHERE YOU'LL BE ABLE TO DINE. IF YOU WOULD BE  
25 BACK IN THE JURY ROOM AT 1:10, TEN MINUTES AFTER ONE, WE WILL

1 BE READY TO BEGIN PRESENTATION OF THE PLAINTIFF'S CASE IN CHIEF  
2 AT THAT TIME.

3 LET ME REMIND YOU THAT WHILE YOU'RE ON A BREAK AT ANY  
4 TIME IN THIS CASE, AND I'LL BE REMINDING YOU OF THIS EACH TIME  
5 THAT WE TAKE A BREAK, THAT YOU ARE NOT TO DISCUSS THE CASE  
6 AMONG YOURSELVES NOR WITH ANYONE ELSE. WHEN YOU'RE IN THE  
7 BUILDING ON BREAKS, YOU MAY ENCOUNTER SOME OF THE ATTORNEYS OR  
8 PARTIES HERE. DON'T EXPECT TO HAVE ANY CONVERSATION WITH THEM,  
9 AND THEY'RE NOT BEING RUDE IF THEY DON'T SPEAK TO YOU. THEY'RE  
10 JUST SIMPLY HONORING THEIR OBLIGATION NOT TO HAVE CONTACT WITH  
11 YOU. JUST BE SURE TO NOT DISCUSS THE CASE AMONG YOURSELVES OR  
12 WITH ANYONE ELSE. IF AT ANY TIME YOU NEED TO HAVE ANY CONTACT  
13 WITH ME, PLEASE GIVE A NOTE TO THE CSO, AND HE WILL PRESENT IT  
14 TO ME.

15 SO AT THIS TIME YOU'RE EXCUSED FOR LUNCH. YOU MAY  
16 RETIRE FROM THE COURTROOM.

17 (JURY RETIRED)

18 THE COURT: I'VE GIVEN THEM JUST OVER AN HOUR FOR  
19 LUNCH. IT'S FIVE AFTER 12. IF YOU ALL WOULD BE BACK HERE AT  
20 TEN MINUTES AFTER ONE UNLESS THERE'S SOMETHING ELSE TO TAKE UP  
21 BEFOREHAND, AND IF SO LET MS. MONTGOMERY KNOW, AND WE CAN COME  
22 BACK A FEW MINUTES EARLY.

23 MR. GORSKI, YOU NEED TO HAVE ALL YOUR TECHNOLOGY  
24 READY TO GO, AND WHO WILL BE YOUR FIRST WITNESS THIS  
25 AFTERNOON?

1                   MR. GORSKI: MY FIRST WITNESSES IS GOING TO BE MR.  
2 KESSLER.

3                   THE COURT: OKAY.

4                   MR. GORSKI: DID YOU SAY TEN AFTER ONE?

5                   THE COURT: YES, TEN MINUTES AFTER ONE.

6                   MR. GORSKI: YOUR HONOR, I DO HAVE ONE ISSUE. I  
7 DIDN'T OBJECT DURING MR. ANDRE'S OPENING. OBVIOUSLY I HAVE  
8 RESPECT FOR HIM, BUT ONE OF THE THINGS MR. ANDRE DISCUSSED IN  
9 HIS OPENING WAS WHAT DECISIONS MR. SMITH MADE ABOUT WHETHER TO  
10 STAY AT DART AFTER HE GOT HIRED.

11                  PLAINTIFF ISN'T CLAIMING DAMAGES FOR ANYTHING AFTER  
12 HE OBTAINED THE EMPLOYMENT SUBSEQUENT TO THE CORRECTION. WE  
13 WOULD OBJECT THAT THAT INFORMATION WOULD BE IRRELEVANT IF THE  
14 DEFENDANT IS INTENDING TO TRY TO INTRODUCE EVIDENCE ON THAT  
15 SUBJECT.

16                  THE SECOND THING IS MR. ANDRE ALSO USED A STATISTIC  
17 IN HIS OPENING ABOUT THE NUMBER OF TIMES BGC SUPPOSEDLY, QUOTE,  
18 UNQUOTE, GETS IT RIGHT. NOW, IF MR. KESSLER IS INTENDING TO  
19 TESTIFY ON A STATISTIC LIKE THAT, HE OBVIOUSLY WOULD HAVE HAD  
20 TO HAVE REVIEWED DATA OR REPORTS THAT WOULD CORROBORATE THAT.  
21 THOSE REPORTS HAVE NEVER BEEN PRODUCED IN THIS LAWSUIT. WE'VE  
22 NEVER BEEN ABLE TO INSPECT ANYTHING THAT WOULD CORROBORATE  
23 THAT. SO WE WOULD ARGUE THAT STATISTICAL INFORMATION LIKE THAT  
24 WOULD BE HEARSAY AT THIS POINT, OR POSSIBLY REQUIRE AN EXPERT  
25 OPINION.

1                   THE COURT: ALL RIGHT.

2                   MR. ANDRE: YOUR HONOR, I WILL ADDRESS EACH IN TURN.  
3                   WITH RESPECT TO MR. SMITH'S DECISION TO LEAVE DART AND NOT  
4                   ACCEPT THEIR OFFER, PART OF OUR ARGUMENT IS TO UNDERSTAND HOW  
5                   UPSET HE WAS, YOU NEED TO SEE HOW HE WAS ABLE TO FUNCTION GOING  
6                   FORWARD. PART OF THAT IS THAT HE WASN'T SO UPSET THAT HE  
7                   COULDN'T GO START A NEW JOB. I THINK THAT'S AN IMPORTANT  
8                   CONSIDERATION FOR THE JURY WHEN YOU CONSIDER JUST HOW DAMAGED  
9                   HE WAS. THAT'S A REFLECTION OF WHAT HAPPENED IN THOSE WEEKS --  
10                  THE WEEK, EXCUSE ME, WHEN THE REPORT WAS AT ISSUE.

11                  WITH RESPECT TO THE STATISTICS, MR. KESSLER TESTIFIED  
12                  ABOUT THESE EXACT STATISTICS AT HIS DEPOSITION IN JANUARY OF  
13                  2014. MR. GORSKI DID A SEARCHING CROSS-EXAMINATION OF THE  
14                  STATISTICS AT THOSE TIMES. THERE WERE NO SUBSEQUENT DOCUMENT  
15                  REQUESTS. THERE WAS NO SUBSEQUENT DISCUSSION OF THE UNDERLYING  
16                  INFORMATION.

17                  MR. KESSLER HAS PERSONAL KNOWLEDGE. HE WILL VERY  
18                  CLEARLY TESTIFY THAT HE IS TESTIFYING AS A LAYPERSON, NOT  
19                  OFFERING AN EXPERT OPINION OR STATISTICAL CERTAINTY. WE  
20                  WILL CONTEXTURALIZE THAT EVIDENCE, BUT AT THIS POINT I  
21                  DON'T UNDERSTAND HOW THERE COULD BE AN OBJECTION TO EVIDENCE  
22                  THAT'S BEEN IN PLAY DURING THE DISCOVERY PERIOD FOR A YEAR AND  
23                  A HALF.

24                  MR. GORSKI: YOUR HONOR, IF I MAY REBUT THAT  
25                  BRIEFLY?

1                   THE COURT:  YES, I WOULD LIKE TO HEAR FROM YOU.  
2                   MR. GORSKI:  SURE, I DON'T HAVE A PROBLEM IF THE  
3    DEFENDANT WANTS TO ASK MR. SMITH WERE YOU ABLE TO START YOUR  
4    JOB.  OF COURSE.  BUT THAT BRINGS THINGS TO A LOGICAL  
5    CONCLUSION.  NOBODY IS CLAIMING ANY DAMAGES FOR WHAT'S  
6    HAPPENING THREE MONTHS LATER.  SO FOR THEM TO GET INTO LIKE WHY  
7    DID YOU DECIDE TO STAY AT DART, WHY DIDN'T YOU DECIDE TO STAY  
8    AT DART, IT JUST DOESN'T HAVE ANY NEXUS TO WHAT WE'RE CLAIMING  
9    AS DAMAGES HERE.

10                  THE SECOND THING IS THIS.  AT THAT DEPOSITION I DID  
11  ASK MR. KESSLER WHAT HE WAS RELYING ON TO COME UP WITH THESE  
12  NUMBERS, AND HE COULDN'T POINT ME TO ANY SPECIFIC DOCUMENT AT  
13  ALL, NOR WAS ANY SUBSEQUENT DOCUMENT EVER PRODUCED.  IT'S  
14  IMPOSSIBLE FOR HIM TO HAVE PERSONAL KNOWLEDGE ABOUT STATISTICAL  
15  INFORMATION LIKE THAT WITHOUT HAVING REVIEWED DATA THROUGH  
16  A COMPUTER OR THROUGH REPORTS WHICH AGAIN MAKES THE  
17  INFORMATION HEARSAY.  WE DON'T EVEN KNOW IF HE'S CAPABLE OF  
18  INTERPRETING THOSE REPORTS HOWEVER HE ENDED UP GETTING THAT  
19  INFORMATION.

20                  THE COURT:  I THINK MR. ANDRE'S ARGUMENT IS THAT YOU  
21  QUESTIONED HIM AT DEPOSITION, AND HE PROVIDED THAT TESTIMONY AT  
22  DEPOSITION, AND IF YOU WERE NOT SATISFIED WITH HIS ANSWERS, YOU  
23  DIDN'T FOLLOW UP WITH ANY FURTHER INQUIRY ABOUT THE BASIS FOR  
24  THAT.  SO ADDRESS THAT ARGUMENT FOR ME?

25                  MR. GORSKI:  FAIR ENOUGH, YOUR HONOR.  I DON'T THINK

1 THE BURDEN HERE IF THE DEFENDANT IS GOING TO USE IT AS PART OF  
2 THEIR DEFENSE, JUST LIKE WE HAD A DISCUSSION, YOUR HONOR, ABOUT  
3 THE USE OF THE DOCUMENTS THAT WERE OBTAINED FROM THE PUBLIC  
4 RECORD, IF THE DEFENDANT IS GOING TO USE THIS STATISTIC AS PART  
5 OF THEIR DEFENSE IN THE CASE TO SHOW THAT THEY'RE BEING  
6 REASONABLE, THEN THOSE DOCUMENTS THAT SUPPORT THOSE STATISTICS  
7 IS ON THE DEFENDANT'S OBLIGATION, IS ON THE DEFENDANT TO  
8 PRODUCE THAT AS PART OF THEIR DISCLOSURES OR AS PART OF THEIR  
9 RESPONSES TO DISCOVERY.

10 I EVEN ASKED HIM. I SAID DO YOU HAVE A DOCUMENT. HE  
11 COULDN'T EVEN POINT TO SOMETHING SPECIFIC ABOUT WHERE THIS  
12 STATISTIC CAME FROM, BUT IT'S CERTAINLY NOT ON PLAINTIFF TO  
13 FORCE THE DEFENDANT TO PRODUCE A DOCUMENT THAT IT OTHERWISE  
14 HAS AN AFFIRMATIVE OBLIGATION TO PRODUCE, AND, YOU KNOW, AT  
15 THIS POINT THE TESTIMONY ON THAT SUBJECT, IT'S SIMPLY  
16 HEARSAY WITHOUT SOME UNDERLYING FOUNDATION THAT WOULD OTHERWISE  
17 END THAT, AND SOME FOUNDATION THAT COULD SUBSTANTIATE THAT  
18 MR. KESSLER COULD INTERPRET THAT DATA TO REACH THOSE  
19 CONCLUSIONS.

20 THE COURT: MR. ANDRE, HOW DOES HE ARRIVE AT THAT  
21 CONCLUSION? HOW DOES MR. KESSLER ARRIVE AT THAT PERCENTAGE?

22 MR. ANDRE: SURE, AND WE WILL LAY THAT FOUNDATION IN  
23 THE TESTIMONY. MR. KESSLER REVIEWED INTERNAL DATA FROM BGC'S  
24 DISPUTE PROCESS CATEGORIZED MONTH BY MONTH. HE LOOKED AT THE  
25 NUMBER OF REPORTS THAT BGC HAD PREPARED. TO SAY THAT THERE IS

1 A DOCUMENT WOULD REALLY BE A FALLACY, I SUPPOSE, BUT AMONG MANY  
2 DISPARATE DOCUMENTS IS THE INFORMATION THAT HE AS THE PRESIDENT  
3 OF THE COMPANY REVIEWED, NOW HAS PERSONAL KNOWLEDGE OF AND IS  
4 PREPARED TO TESTIFY.

5 I DISAGREE THAT IT'S NOT THE PLAINTIFF'S BURDEN. IT  
6 IS THEIR BURDEN TO ASK US TO PRODUCE DOCUMENTS THAT THEY THINK  
7 ARE ESSENTIAL. THE DIFFERENCE BETWEEN THE REPORTS THAT WE  
8 DISCUSSED LAST WEEK AND THIS IS THAT WE ASKED FOR THAT  
9 INFORMATION AND DIDN'T GET IT.

10 WE VOLUNTEERED THIS DURING THE DISCOVERY PERIOD.  
11 THERE WAS CROSS-EXAMINATION ON IT. THERE WAS NO SUBSEQUENT  
12 REQUEST FOR ANY OF THE UNDERLYING DATA. I THINK IT'S BEEN  
13 OBVIOUS FOR 18 MONTHS THAT THIS WOULD BE AN ASPECT OF OUR CASE  
14 THAT COULD HAVE BEEN ADDRESSED WEEKS, MONTHS, EVEN DAYS AGO,  
15 NOT AT LUNCHTIME DURING THE DAY OF THE TRIAL.

16 MR. GORSKI: YOUR HONOR, FIRST OFF, WHAT MR. ANDRE  
17 DESCRIBED IS PARADIGM HEARSAY. I HAVE LOOKED AT OTHER REPORTS,  
18 AND BASED ON THESE REPORTS THAT I HAVE LOOKED AT, I HAVE COME  
19 UP WITH THIS NUMBER. THAT IS A PARADIGM EXAMPLE OF HEARSAY.

20 SECOND, WHAT I'M HEARING IS I WANT TO HAVE MY CAKE  
21 AND EAT IT, TOO. THEY FILED A MOTION IN LIMINE ON THIS SIDE  
22 OVER DOCUMENTS THAT WE PRODUCED THAT WERE PUBLIC RECORDS OPENLY  
23 AVAILABLE TO ANYBODY, AND NOW THEY'RE SAYING EVEN THOUGH RULE  
24 26(A) IS CRYSTAL CLEAR THAT IF THERE IS SOME DOCUMENT YOU'RE  
25 GOING TO AFFIRMATIVELY RELY ON IN YOUR CASE YOU PRODUCE IT THAT

1 THEY DON'T HAVE TO PRODUCE THIS DOCUMENT.

2 MR. ANDRE: YOUR HONOR, WE'RE NOT RELYING ON A  
3 PARTICULAR DOCUMENT. MR. KESSLER WAS NOT ASKED, FOR EXAMPLE,  
4 TO PRODUCE EVERY DOCUMENT THAT HE MAY HAVE REVIEWED IN ADVANCE  
5 OF HIS DEPOSITION TO GAIN THE KNOWLEDGE NECESSARY TO SERVE AS A  
6 30(B)(6) WITNESS, AND I'D ALSO ADD THAT WE REFERENCED THESE  
7 STATISTICS AND CITED TO THE APPLICABLE PORTIONS OF MR.

8 KESSLER'S TESTIMONY IN OUR SUMMARY JUDGMENT BRIEFING, AS WELL.

9 MR. GORSKI: AND JUST BECAUSE THEY CITE TO THIS STUFF  
10 DOES NOT MAKE IT ANY LESS, YOU KNOW, HEARSAY OR THE FACT THAT  
11 THE WITNESS MAY NOT POSSESS THE APPLICABLE EXPERTISE. IT  
12 DOESN'T CHANGE THE FACT THAT IT MAY NOT BE ADMISSIBLE EVIDENCE  
13 HERE.

14 THE COURT: MR. ANDRE, WHAT CONCERNS ME A LITTLE BIT  
15 HERE IS THAT MR. KESSLER OBVIOUSLY REVIEWED RECORDS TO  
16 ARRIVE -- BASED ON YOUR EXPLANATION OF IT HE REVIEWED RECORDS  
17 TO ARRIVE AT THE CONCLUSION THAT HE WANTS TO TESTIFY TO THAT  
18 YOU'RE PROPOSING TO OFFER, BUT THOSE RECORDS YOU'RE CONTENDING  
19 DID NOT HAVE TO BE PART OF YOUR DISCLOSURES, AND THEY WERE NOT  
20 RESPONSIVE TO ANY DISCOVERY REQUESTS THAT WAS MADE BY THE  
21 PLAINTIFF?

22 MR. ANDRE: YES, YOUR HONOR, AND THERE WAS NO FURTHER  
23 FOLLOW UP. I WOULD SUBMIT THAT WITNESSES REVIEW A LOT OF  
24 MATERIAL TO REACH CONCLUSIONS THAT ARE NECESSARY FOR TESTIMONY,  
25 BUT THERE IS NO OBLIGATION TO PRODUCE EVERY UNDERLYING DOCUMENT

1 THAT FORMS THE BASIS OF AN OPINION. THAT'S NOT WHAT RULE 26  
2 SAYS.

3 AND WITH RESPECT TO HEARSAY, YOUR HONOR, THE DOCUMENT  
4 ISN'T BEING INTRODUCED FOR THE TRUTH OF THE MATTER ASSERTED,  
5 AND IF IT WERE AND MR. KESSLER CAN GLADLY LAY THE FOUNDATION  
6 THAT THESE ARE BUSINESS RECORDS THAT CONSTITUTE AN EXCEPTION TO  
7 THE HEARSAY RULE.

8 MR. GORSKI: WELL, YOUR HONOR, THERE ARE NO DOCUMENTS  
9 YET, SO WE'RE NOT EVEN AT BUSINESS RECORD EXCEPTION, BUT RULE  
10 26(A) IS CRYSTAL CLEAR. IF YOU'RE GOING TO RELY AFFIRMATIVELY  
11 ON A DOCUMENT IN YOUR CASE, THEN YOU HAVE TO IDENTIFY IT, AND  
12 WE'VE ASKED FOR THEM TO PRODUCE ANYTHING THAT WAS PART OF THEIR  
13 INITIAL DISCLOSURES.

14 AND, YOU KNOW, THE CONCEPT THAT THAT'S NOT FOR THE  
15 TRUTH OF THE MATTER ASSERTED, YOU KNOW, I STRUGGLE TO  
16 UNDERSTAND HERE. THEY'RE BASICALLY TRYING TO SAY WELL WE GET  
17 IT RIGHT 99.5 PERCENT OF THE TIME, AND THEREFORE WE ACTED  
18 REASONABLY. THAT AGAIN IS A PARADIGM EXAMPLE OF OFFERING  
19 EVIDENCE FOR THE TRUTH OF THE MATTER ASSERTED.

20 MR. ANDRE: YOUR HONOR, IF I MAY ADD ONE LAST THING?

21 THE COURT: ONE LAST THING, YES, WE NEED TO LET YOU  
22 ALL GET TO LUNCH, OR YOU'RE NOT GOING TO HAVE TIME.

23 MR. ANDRE: YOUR HONOR, WE'RE NOT RELYING ON A  
24 DOCUMENT THAT WOULD FALL WITHIN THE RULE 26(A) PURVIEW. WE'RE  
25 RELYING ON MR. KESSLER'S PERSONAL KNOWLEDGE. FOR EXAMPLE, MR.

1 KESSLER WILL TESTIFY IN DETAIL ABOUT BGC'S POLICIES AND  
2 PROCEDURES. THERE'S NO SUGGESTION THAT WE SHOULD BE  
3 INTRODUCING THE HUNDRED PAGE MANUALS THAT GO ALONG WITH THOSE  
4 POLICIES AND PROCEDURES. IT'S HIS PERSONAL KNOWLEDGE GLEANED  
5 FROM THE UNDERLYING DOCUMENTS.

6 THE COURT: BUT I THINK THAT'S THE POINT. YOU SAID  
7 ON THE ONE HAND IT'S HIS PERSONAL KNOWLEDGE. ON THE OTHER  
8 HAND, IT'S INFORMATION HE'S GAINED FROM REVIEWING RECORDS, AND  
9 THAT'S WHAT HE'S TESTIFYING TO. IT'S NOT -- HE DOESN'T HAVE  
10 PERSONAL KNOWLEDGE ABOUT THE PERCENTAGE RATE WITHOUT HAVING  
11 CONDUCTED RESEARCH IN REVIEW OF RECORDS; IS THAT RIGHT?

12 MR. ANDRE: YES, YOUR HONOR.

13 THE COURT: AND THOSE UNDERLYING RECORDS, THE  
14 QUESTION THAT I'M HAVING, AND I AM GOING TO LET MR. GORSKI  
15 PROVIDE ME ANY INDICATION WHERE YOU BELIEVE YOU'VE REQUESTED  
16 DISCOVERY OR THAT SUCH DOCUMENTS WERE OBLIGATED TO BE PRODUCED  
17 ABSENT A REQUEST BY YOU, BUT IT DOES SEEM TO ME THAT'S THE  
18 FIRST LINE IS WAS THIS INFORMATION THAT MR. KESSLER IS GOING TO  
19 RELY ON REQUIRED TO BE PRODUCED TO THE PLAINTIFF.

20 SECONDLY, I'M GOING TO HAVE TO THINK ABOUT THE  
21 HEARSAY ISSUE A LITTLE BIT BECAUSE HE IS RELYING ON RECORDS.  
22 IT'S NOT HIS -- IT HAS BECOME HIS PERSONAL KNOWLEDGE, BUT IT'S  
23 NOT SOMETHING HE CAN TESTIFY TO WITHOUT HAVING REVIEWED THOSE  
24 RECORDS. SO LET ME GIVE SOME THOUGHT TO THAT OVER LUNCH.

25 WITH RESPECT TO MR. SMITH'S EMPLOYMENT, THE CHART IN

1 THE OPENING STATEMENT INDICATED THAT WHAT'S AT PLAY IS A FOUR  
2 OR TWO DAY PERIOD, HOWEVER IT'S MEASURED. I THINK A SIMPLE  
3 REFERENCE TO THE FACT THAT HE DID NOT TAKE EMPLOYMENT WITH DART  
4 AND WENT ELSEWHERE IS SUFFICIENT TO ESTABLISH THAT POINT AND  
5 NEED NOT GO ANY FURTHER THAN THAT.

6 OKAY. SO I'LL BE THINKING ABOUT THAT ISSUE. MR.  
7 GORSKI, IF YOU HAVE SOMETHING TO POINT OUT, YOU CAN PROVIDE IT  
8 TO MS. MONTGOMERY OVER THE LUNCH BREAK AFTER YOU'VE SHARED IT,  
9 OF COURSE, WITH MR. ANDRE, AND, MR. ANDRE, IF YOU HAVE ANYTHING  
10 TO COUNTERACT THAT ISSUE, I'LL BE HAPPY TO RECEIVE THAT FROM  
11 YOU, AS WELL.

12 OKAY. WE'LL BE IN RECESS.

13 (NOON RECESS)

14 THE COURT: ALL RIGHT. MR. GORSKI, DID YOU HAVE ANY  
15 MORE INFORMATION YOU WANTED TO PRESENT ON THE ISSUE THAT WE  
16 WERE DISCUSSING BEFORE THE BREAK?

17 MR. GORSKI: YES, YOUR HONOR, I WOULD LIKE TO  
18 SUPPLEMENT THAT.

19 THE COURT: LET ME ASK YOU JUST FOR A MOMENT. YOUR  
20 FIRST WITNESS IS GOING TO BE MR. SMITH?

21 MR. GORSKI: NO, MY FIRST WITNESS IS GOING TO BE MR.  
22 KESSLER.

23 THE COURT: IT IS GOING TO BE MR. KESSLER. ALL  
24 RIGHT. SO WE NEED TO DEAL WITH THIS. OKAY. GO AHEAD.

25 MR. GORSKI: YOUR HONOR, I WOULD LIKE TO SUPPLEMENT

1 OUR PRIOR DISCUSSION. FIRST AND FOREMOST, YOUR HONOR, THE  
2 NORTHERN DISTRICT OF GEORGIA HAS VERY SPECIFIC GUIDELINES FOR  
3 HOW INFORMATION SHOULD BE DISCLOSED PURSUANT TO RULE 26(A).

4 ONE OF THE SPECIFIC ITEMS THAT THE NORTHERN DISTRICT  
5 OF GEORGIA REQUIRES IS FOR A PARTY TO PROVIDE A COPY OR  
6 DESCRIPTION BY CATEGORY AND LOCATION OF ALL DOCUMENTS, DATA  
7 COMPILATIONS OR OTHER ELECTRONICALLY STORED INFORMATION AND  
8 TANGIBLE THINGS THAT ARE IN YOUR POSSESSION, CUSTODY OR CONTROL  
9 THAT YOU MAY USE TO SUPPORT YOUR CLAIMS OR DEFENSES.

10 I THINK IT'S UNAMBIGUOUS BASED ON THE REQUIREMENTS  
11 THAT THE NORTHERN DISTRICT OF GEORGIA HAS FOR THE DISCLOSURE OF  
12 DOCUMENTS THAT, YOU KNOW, THIS TYPE OF INFORMATION IS PRECISELY  
13 WITHIN LINE WITH WHAT RULE 26(A) WOULD REQUIRE AND SPECIFICALLY  
14 THE LOCAL RULES OF THIS COURT.

15 ADDITIONALLY, YOUR HONOR, THE PLAINTIFF SUBMITTED A  
16 REQUEST FOR PRODUCTION ASKING THE DEFENDANT TO IDENTIFY ANY  
17 DOCUMENTS THAT IT INTENDS TO OR MAY USE AS AN EXHIBIT AT  
18 TRIAL. OBVIOUSLY THESE DOCUMENTS HAVE NOT BE PRODUCED.

19 WE ALSO SUBMITTED AN INTERROGATORY TO THE DEFENDANT  
20 THAT TO THE EXTENT THEY WERE GOING TO RELY ON ANYTHING THAT  
21 WOULD REQUIRE AN EXPERT OPINION OR TESTIMONY THAT THAT PERSON  
22 BE IDENTIFIED AND ANY DOCUMENTS IN WHICH THEY WERE GOING TO  
23 RELY UPON BE PRODUCED.

24 FINALLY, YOUR HONOR, IF WE GO TO THE DEPOSITION  
25 TRANSCRIPT, WHEN WE WERE TALKING ABOUT THIS INFORMATION, I

1 ASKED MR. KESSLER OKAY, I'M JUST QUOTING FROM THE TRANSCRIPT,  
2 YOUR HONOR, OKAY, SO YOU DID SOME ANALYSIS PRIOR TO THIS  
3 DEPOSITION OR IS THIS JUST INFORMATION THAT COMES FROM -- COMES  
4 TO YOUR ATTENTION BECAUSE YOU'RE THE PRESIDENT?

5 ANSWER: IT CAME TO MY ATTENTION.

6 QUESTION: OKAY. SO YOU JUST KNOW FROM INFORMATION  
7 THAT'S BEEN PRESENTED TO YOU AS THE PRESIDENT OF THE COMPANY  
8 THAT BGC HAS SOLD THE INSTANT SEARCH REPORTS FOUR MILLION TIMES  
9 IN THE LAST TWO YEARS. THAT'S MY UNDERSTANDING.

10 YOUR HONOR, NOT ONLY IS THIS HEARSAY, IT'S HEARSAY  
11 WITHIN HEARSAY. BECAUSE WHAT WE UNDERSTAND FROM THE DEPOSITION  
12 TRANSCRIPT IS THAT NOT ONLY DID MR. KESSLER NOT LOOK AT THE  
13 ACTUAL DATA OR INFORMATION THAT HE'S RELYING ON TO STATE THIS  
14 EXHIBIT, THE INFORMATION WAS COMMUNICATED TO SOMEBODY ELSE WHO  
15 COMMUNICATED IT TO HIM. SO THERE'S TWO LEVELS OF HEARSAY HERE  
16 THAT ARE PROBLEMATIC.

17 THAT'S ALL I HAVE TO SUPPLEMENT, YOUR HONOR.

18 THE COURT: ALL RIGHT. MR. ANDRE.

19 MR. ANDRE: YOUR HONOR, IS IT ALL RIGHT IF I STAY  
20 SEATED TO STOP MYSELF FROM FUMBLING A LITTLE BIT HERE?

21 THE COURT: SURE.

22 MR. ANDRE: YOUR HONOR, THE PROBLEM WE HAVE WITH THIS  
23 PROPOSED STANDARD IS THAT IT WOULD MEAN MR. KESSLER CANNOT  
24 EFFECTIVELY TESTIFY TO ANYTHING. I KNOW, FOR EXAMPLE, THAT MR.  
25 GORSKI WILL WANT TESTIMONY ABOUT THE UNDERLYING PROCEDURES, BUT

1 WE DO NOT HAVE IN COURT ALL OF THE MANUALS THAT GO WITH THOSE  
2 PROCEDURES.

3 THE OTHER PROBLEM I HAVE IS THAT MR. GORSKI OPENED  
4 THIS DOOR. YOU SAW IN HIS OPENING STATEMENT ON HIS SLIDE FOR  
5 MR. KESSLER THAT YOU WILL HEAR THAT THEY HAD COMPLAINTS ABOUT  
6 THIS EVERY SINGLE DAY. IF MR. GORSKI IS GOING TO BE ALLOWED TO  
7 TALK ABOUT THE DISPUTES THAT COME INTO BGC, FAIRNESS DICTATES  
8 THAT WE BE ALLOWED TO PRESENT SOME SORT OF CONTEXT AND  
9 UNDERSTANDING OF WHAT THAT INFORMATION IS. IT'S SORT OF EITHER  
10 GET IT OR NEITHER GET IT.

11 I'M ALSO CONCERNED THAT INFORMATION MR. KESSLER GAINS  
12 IN HIS ROLE AS THE PRESIDENT, IF WE EXCLUDE IT ENTIRELY IT  
13 WOULD MEAN HE CAN'T SAY WE PRODUCED FOUR MILLION REPORTS A  
14 YEAR. THAT'S A BASIC FACT ABOUT THE COMPANY THAT HE KNOWS FROM  
15 BEING THE PRESIDENT. MR. GORSKI CAN CROSS-EXAMINE HIM ABOUT  
16 THESE STATISTICS AT LENGTH. HE CAN CROSS-EXAMINE ABOUT THE  
17 METHODOLOGY, ABOUT HOW CERTAIN WE ARE IN THESE STATISTICS,  
18 ABOUT THE GENESIS OF ALL OF THE NUMBERS.

19 I IDENTIFIED ONLY ONE PLACE IN DISCOVERY THAT THIS  
20 WAS SPECIFICALLY ASKED FOR, THEY DID SPECIFICALLY ASK FOR IT.  
21 IN THE 30(B)(6) NOTICE OF MR. KESSLER'S DEPOSITION THEY ASKED  
22 FOR IT, NUMBER 9, ANY STUDIES, AUDITS, QUALITY CONTROL ANALYSES  
23 OR OTHER INVESTIGATIONS THAT YOU HAVE CONDUCTED REGARDING THE  
24 FREQUENCY WITH WHICH CRIMINAL RECORD INFORMATION IS ERRONEOUSLY  
25 REPORTED ABOUT A CONSUMER BY YOU. THEY REQUESTED THAT AS

1 TESTIMONY. WE MADE IT AVAILABLE AS TESTIMONY, AND WE TOLD THEM  
2 WE WOULD MAKE A WITNESS AVAILABLE WHO WAS PREPARED TO DISCUSS  
3 THAT TOPIC. THERE WAS NEVER A DOCUMENT REQUEST FOR ANY OF THE  
4 UNDERLYING INFORMATION.

5 WITH RESPECT TO HEARSAY, YOUR HONOR, RULE 803 SUB 6  
6 IS AN EXCEPTION TO THE HEARSAY RULE FOR RECORDS OF A REGULARLY  
7 CONDUCTED ACTIVITY. IF NECESSARY MR. KESSLER CAN SUBSTANTIATE  
8 THAT THE DOCUMENTS HE REVIEWED ARE ALL BUSINESS RECORDS WHICH  
9 MEANS THE UNDERLYING INFORMATION IS AN EXCEPTION TO THE HEARSAY  
10 RULE.

11 FINALLY, YOUR HONOR, I WOULD ARGUE THAT WHILE I  
12 APPRECIATE THE RESPECT THAT IT SHOWS TO MY OPENING, MR. GORSKI  
13 SHOULD HAVE OBJECTED DURING THE OPENING. I THINK HE'S ARGUABLY  
14 WAIVED IT. WE'VE MADE A FULL ARGUMENT TO THE JURY ABOUT THIS,  
15 AND WE'RE NOW BEING ASKED TO NOT BE ABLE TO PRESENT ANY  
16 EVIDENCE TO SUBSTANTIATE IT WHICH I THINK IS A PROBLEM.

17 THAT'S WHERE WE'RE AT, YOUR HONOR.

18 THE COURT: DURING THE LUNCH IN MY RESEARCH, I RAN  
19 ACROSS RULE 1006 WHICH PERTAINS TO SUMMARIES OR CHARTS OR  
20 CALCULATIONS, AND THE ONLY OBJECTION THAT'S BEEN ENTERED IS AS  
21 TO THE CALCULATION OF THE PERCENTAGE OF ACCURACY. I UNDERSTAND  
22 YOUR ARGUMENT, MR. ANDRE, ABOUT OTHER MATTERS THAT MR. KESSLER  
23 MAY BE TESTIFYING ABOUT, BUT THE ONLY OBJECTION THAT HAS BEEN  
24 MADE RAISED OR MADE IS TO THAT CALCULATION, AND I THINK 1006  
25 WOULD COVER THAT BECAUSE IT IS A CALCULATION TO PROVE THE

1 CONTENT OF VOLUMINOUS WRITINGS, RECORDINGS OR PHOTOGRAPHS THAT  
2 CANNOT BE CONVENIENTLY EXAMINED IN COURT.

3 THE RULE GOES ON TO SAY THAT THE PROPONENT MUST MAKE  
4 THE ORIGINALS OR DUPLICATES AVAILABLE FOR EXAMINATION OR  
5 COPYING OR BOTH BY OTHER PARTIES AT A REASONABLE TIME AND  
6 PLACE.

7 WHAT CONCERNS ME IS THAT, PUT ASIDE WHETHER IT WAS  
8 ASKED FOR IN DISCOVERY OR NOT, WE CAN DEBATE THAT, IT SEEMS TO  
9 ME THAT THIS IS TESTIMONY THAT WOULD BE COVERED BY RULE 1006 AS  
10 A CALCULATION OF THIS VOLUMINOUS INFORMATION, AND THE  
11 OBLIGATION IS ON THE PROPONENT TO MAKE THAT AVAILABLE.

12 SO MY QUESTION IS WERE THESE UNDERLYING DOCUMENTS  
13 THAT MR. KESSLER WOULD BE RELYING ON MADE AVAILABLE TO THE  
14 PLAINTIFF APART FROM WHETHER HE ASKED FOR IT OR NOT?

15 MR. ANDRE: NO, YOUR HONOR.

16 THE COURT: OKAY. THEN I THINK UNDER RULE 1006 IT  
17 WOULD EXCLUDED. NOW TO YOUR POINT ABOUT NO OBJECTION HAVING  
18 BEEN RAISED DURING YOUR OPENING STATEMENT, THE OBJECTION WAS  
19 MADE IMMEDIATELY AFTER, BROUGHT TO OUR ATTENTION. I KNOW  
20 COUNSEL OFTEN TRY TO BE RESPECTFUL OF EACH OTHER, AND I CAN'T  
21 AT THIS POINT FIND THAT IT'S BEEN WAIVED BECAUSE I INSTRUCTED  
22 THE JURORS THAT WHAT YOU ALL HAVE TO SAY IN OPENING IS WHAT YOU  
23 ANTICIPATE THE EVIDENCE WILL BE AND THAT THEY CAN'T RELY ON  
24 WHAT YOU SAY.

25 I RECOGNIZE FROM YOUR PERSPECTIVE IT WOULD HAVE BEEN

1 BETTER TO HAVE THAT RESOLVED DURING THE MIDDLE OF YOUR OPENING,  
2 BUT I DON'T FIND AT THIS POINT IN TIME THAT IT'S SO PREJUDICIAL  
3 OR THAT IT WAS WAIVED, BUT IF YOU SOME AUTHORITY YOU WANT ME TO  
4 CONSIDER ABOUT THAT POINT, I'LL BE GLAD TO RECONSIDER OR  
5 REVISIT THAT ISSUE.

6 MR. ANDRE: UNDERSTOOD, YOUR HONOR.

7 THE COURT: I BELIEVE THAT THAT EVIDENCE IS  
8 APPROPRIATELY EXCLUDED UNDER RULE 1006.

9 MR. ANDRE: YOUR HONOR, I WOULD LIKE TO ADDRESS THEN  
10 IF THERE'S GOING TO BE SOME SORT OF PRECLUSION ON USING THE  
11 PRECISE STATISTICS, I DO THINK THAT THERE HAS TO BE SOME  
12 ALLOWANCE FOR MR. KESSLER TO BE ABLE TO CONTEXTURALIZE IT.

13 IF HE IS ASKED, AS I'M SURE HE WILL BE IN THE  
14 FOLLOWING HOUR, WHEN YOU GET DISPUTES ABOUT THIS EVERY SINGLE  
15 DAY, FOR EXAMPLE, WE HAD A PARAGRAPH IN MR. KESSLER'S  
16 DECLARATION THAT WAS SUBMITTED IN SUPPORT OF SUMMARY JUDGMENT  
17 THAT SAID WE RECEIVE ROUGHLY TEN DISPUTES A DAY, THAT'S  
18 INFORMATION THAT I THINK, FOR EXAMPLE, WOULD PROVIDE AN  
19 IMPORTANT CONTEXT EVEN IF WE DON'T GET DOWN TO DETAILED  
20 STATISTICS THAT RESULT IN WHAT WE CALL OUR ACCURACY RATE.

21 I THINK THE ALTERNATIVE IS THAT MR. GORSKI IS NOT  
22 ALLOWED TO SUGGEST AT ANY POINT WELL, YOU GET OTHER DISPUTES  
23 ABOUT THIS.

24 MR. GORSKI: MAY I RESPOND?

25 THE COURT: YES, SIR.

1                   MR. GORSKI: MY SENSE OF THAT, YOUR HONOR, IS THAT  
2 WE'RE TALKING ABOUT APPLES AND ORANGES THERE. I'M GOING TO  
3 PRESENT A DOCUMENT HERE THAT'S BASICALLY GOING TO SHOW THAT  
4 WHEN BGC GETS A DISPUTE LIKE THE ONE MR. SMITH GOT, A DOCUMENT  
5 GETS GENERATED AND MR. KESSLER GETS COPIED ON THE DOCUMENT. SO  
6 IT'S LIKE HE'S GETTING A DOCUMENT IN HIS EMAIL SAYING WELL  
7 SOMEBODY DISPUTED IT.

8                   SO CLEARLY HE CAN TESTIFY LIKE WELL DO YOU HAVE A  
9 RECOLLECTION THAT YOU RECEIVED DISPUTES OF THIS KIND EVERY  
10 DAY. WE'RE NOT TALKING ABOUT THE DATA ANALYSIS THAT THESE  
11 OTHER STATISTICS ARE GOING INTO IN THAT REGARD.

12                  I MEAN IF MR. SMITH SEES A DOCUMENT AND HE'S CC'D ON  
13 IT AND HE TENDS TO GET THESE DOCUMENTS ON AVERAGE A DAILY  
14 BASIS, MAYBE MULTIPLE TIMES A DAY, THAT'S HIS OWN EXPERIENCE,  
15 BUT THEN TO SAY THAT THAT OPENS THE DOOR TO LET THEM TO COME UP  
16 WITH ALL THESE STATISTICAL ANALYSES AND WHATNOT, YOU KNOW, THAT  
17 ARE OBJECTIONABLE AS YOUR HONOR HAS RULED, I DISAGREE WITH  
18 THAT.

19                  THE COURT: WELL, IF HE'S COPIED ON THE REPORTS AND  
20 HE CAN TESTIFY FROM PERSONAL KNOWLEDGE THAT HE GETS ABOUT TEN A  
21 DAY, THAT WOULD BE ADMISSIBLE TESTIMONY, WOULDN'T IT? HE'S NOT  
22 RESEARCHING THAT. HE KNOWS FROM HIS PERSONAL EXPERIENCE I GET  
23 AN EMAIL NOTIFICATION AND I CAN TESTIFY THAT I GET ABOUT TEN A  
24 DAY.

25                  MR. GORSKI: AND THAT'S BASICALLY THE APPROACH WE'RE

1 TAKING HERE, YOUR HONOR. WE'RE NOT --

2 THE COURT: I DON'T SEE THAT AS A VALID OBJECTION IF  
3 IT'S BASED ON HIS PERSONAL TESTIMONY, AND HE'S GIVING HIS BEST  
4 RECOLLECTION AND BEST ESTIMATE ABOUT WHAT THOSE FIGURES ARE IF  
5 THAT'S WHAT HE'S OFFERING, MR. ANDRE.

6 MR. ANDRE: YES, YOUR HONOR, AND THESE EMAILS  
7 IDENTIFY THE NATURE OF THE DISPUTE WHICH MEANS THAT MR. KESSLER  
8 HAS PERSONAL KNOWLEDGE OF ABOUT HOW MANY EMAILS HE GETS A DAY  
9 THAT SAY YOU REPORTED THE WRONG RECORD ABOUT THE WRONG PERSON  
10 TO MR. GORSKI --

11 THE COURT: I THINK HE CAN TESTIFY TO THAT.

12 MR. GORSKI: OKAY. SO DO SOME OF THEM TURN OUT TO  
13 ACTUALLY BE THE PERPETRATOR, THE ONE THEY ACTUALLY BELONG TO,  
14 ALL RIGHT, THAT'S A FAIR CROSS-EXAMINATION QUESTION. I DON'T  
15 HAVE A PROBLEM WITH THAT.

16 THE POINT I'M TRYING TO MAKE, THOUGH, IS I THINK  
17 GOING BEYOND SOMETHING LIKE THAT IS WHAT I'M CONCERNED ABOUT  
18 WITH RESPECT TO THAT TESTIMONY.

19 THE COURT: WELL, YOU MAY ENTER OBJECTIONS IF YOU  
20 WANT TO AS NECESSARY, BUT THE ONLY ISSUE BEFORE ME WAS THIS  
21 STATISTIC THAT WAS MENTIONED IN THE OPENING, AND I HAVE  
22 SUSTAINED YOUR OBJECTION AS TO THAT.

23 MR. GORSKI, ARE YOU READY TO PROCEED WITH THE  
24 PRESENTATION OF THE PLAINTIFF'S CASE IN CHIEF?

25 MR. GORSKI: I AM, YOUR HONOR.

1 THE COURT: YOU MAY BRING THE JURORS IN PLEASE, SIR.

2 THANK YOU.

3 ARE YOU GOING TO INVOKE THE RULE OF SEQUESTRATION?

4 DO YOU HAVE ANY WITNESSES IN THE COURTROOM?

5 MR. ANDRE: YOUR HONOR, THE ONLY WITNESSES ARE THE  
6 PARTIES AND THE PARTY REPRESENTATIVE.

7 THE COURT: ALL RIGHT. I JUST SAW SOME FOLKS HERE IN  
8 THE COURTROOM. I JUST WANTED TO MAKE SURE. ALL RIGHT.

9 ( JURY PRESENT )

10 THE COURT: MEMBERS OF THE JURY, I APOLOGIZE, WE'RE A  
11 FEW MINUTES LATER THAN I ANTICIPATED. WE HAD A COUPLE OF  
12 ISSUES TO TAKE UP OUTSIDE YOUR PRESENCE, AND DURING THE COURSE  
13 OF THE TRIAL, THERE MAY BE TIMES WHEN WE HAVE TO EXCUSE YOU  
14 FROM THE COURTROOM TO TAKE UP PROCEDURAL ISSUES THAT DON'T  
15 CONCERN YOU BUT DO CONCERN ISSUES THAT I NEED TO ADDRESS, AND  
16 THAT'S WHY WE WERE DELAYED TODAY.

17 SO AT THIS TIME THE PLAINTIFF IS READY TO BEGIN  
18 PRESENTING HIS EVIDENCE. MR. GORSKI.

19 MR. GORSKI: YOUR HONOR, PLAINTIFF CALLS CRAIG  
20 KESSLER ON CROSS.

21 THE CLERK: PLEASE RAISE YOUR RIGHT HAND TO TAKE THE  
22 OATH.

23 CRAIG KESSLER,

24 HAVING BEEN DULY SWORN, WAS EXAMINED AND TESTIFIED AS FOLLOWS:

25 THE CLERK: IF YOU WILL HAVE A SEAT, PLEASE, AND

1 STATE YOUR FULL NAME FOR THE RECORD AND SPELL YOUR LAST NAME  
2 ALSO.

3 THE WITNESS: CRAIG KESSLER, K E S S L E R.

4 CROSS-EXAMINATION

5 BY MR. GORSKI:

6 Q. GOOD AFTERNOON, MR. KESSLER.

7 A. GOOD AFTERNOON.

8 Q. MR. KESSLER, YOU ARE THE PRESIDENT OF BGC,  
9 E-BACKGROUNDCHECKS.COM, CORRECT?

10 A. CORRECT.

11 Q. AND BGC IS HEADQUARTERED IN DALLAS, TEXAS; IS THAT  
12 CORRECT?

13 A. YES.

14 Q. AND BGC IS IN THE BUSINESS OF SELLING CRIMINAL BACKGROUND  
15 CHECKS AMONG OTHER THINGS; IS THAT CORRECT?

16 A. CORRECT.

17 Q. AND ONE OF BGC'S CUSTOMERS IS THE DART TRANSIT COMPANY,  
18 CORRECT?

19 A. YES.

20 Q. NOW, MR. KESSLER, THERE IS A BINDER THAT HAS BEEN PLACED  
21 IN THE BOX THERE THAT CONTAINS DOCUMENTS. THE DOCUMENT THAT  
22 I'D LIKE TO BRING TO YOUR ATTENTION AT THIS POINT WILL BE A  
23 DOCUMENT IN THE SECTION FOR JOINT DOCUMENTS THAT HAS BEEN  
24 MARKED NUMBER 1.

25 MR. GORSKI: YOUR HONOR, SINCE THIS IS A DOCUMENT

1 THAT WAS JOINTLY STIPULATED TO, I WOULD LIKE TO ADMIT THE  
2 DOCUMENT THAT'S MARKED J-1 INTO EVIDENCE AT THIS TIME.

3 MR. ANDRE: NO OBJECTIONS.

4 THE COURT: IT WILL BE ADMITTED AS A JOINT EXHIBIT.

5 MR. GORSKI: MAY I PROJECT THE EXHIBIT?

6 THE COURT: YOU MAY.

7 BY MR. GORSKI:

8 Q. MR. KESSLER, ARE YOU AT THE SAME DOCUMENT THAT WE'RE AT ON  
9 THE SCREEN HERE?

10 A. YES. IS IT ONE?

11 Q. SHOULD BE J-1. IT SHOULD BE IN THE JOINT SECTION UNDER  
12 NUMBER 1?

13 THE COURT: YOU MAY APPROACH AND HELP HIM FIND THE  
14 EXHIBIT, MR. GORSKI.

15 THE WITNESS: I SEE ONE, BUT I DON'T SEE -- OKAY.

16 BY MR. GORSKI:

17 Q. MR. KESSLER, ARE YOU ON THE SAME PAGE THAT THE SCREEN IS  
18 PROJECTING?

19 A. YES.

20 Q. GREAT. AM I CORRECT THAT THIS IS A CRIMINAL BACKGROUND  
21 REPORT THAT WAS PREPARED BY BGC?

22 A. YES.

23 Q. AND THIS IS A REPORT THAT WAS PREPARED FOR THE DART  
24 TRANSIT COMPANY?

25 A. CORRECT.

1 Q. AND IT WAS A REPORT THAT WAS PREPARED ON SEPTEMBER 12,  
2 2011?

3 A. I'M SORRY?

4 THE COURT: 2011 OR 2012?.

5 BY MR. GORSKI:

6 Q. MY APOLOGIES, IT WAS REPORT THAT WAS PREPARED ON SEPTEMBER  
7 12TH, 2012?

8 A. CORRECT.

9 Q. AND AM I CORRECT THAT THE CRIMINAL BACKGROUND REPORT THAT  
10 YOU'RE LOOKING AT RIGHT NOW IS A BACKGROUND REPORT ABOUT THE  
11 PLAINTIFF TONY SMITH?

12 A. YES.

13 Q. AND THE PRODUCT, THE BACKGROUND REPORT PRODUCT THAT WAS  
14 SOLD HERE IS CALLED THE US ONESEARCH, CORRECT?

15 A. YES.

16 Q. AND AM I CORRECT THAT THE US ONESEARCH IS MARKETED AS A  
17 SEARCH THAT COMPRISES MANY COUNTIES -- THE CRIMINAL RECORDS  
18 FROM THROUGHOUT MANY COUNTIES THROUGHOUT THE COUNTRY?

19 A. YES, AND OTHER SOURCES, AS WELL.

20 Q. AND AM I ALSO CORRECT THAT WHAT WE'RE LOOKING AT NOW IS  
21 WHAT THE REPORT WOULD LOOK LIKE TO A DART REPRESENTATIVE  
22 REVIEWING THE REPORT?

23 A. CORRECT.

24 Q. OKAY. NOW, I'D LIKE TO TURN YOUR ATTENTION NOW TO THE  
25 BOTTOM OF THE FIRST PAGE, AND DO YOU SEE THAT THERE IS A

1 CRIMINAL RECORD APPEARING THERE?

2 A. YES.

3 Q. AND IT IS A CRIMINAL CONVICTION FOR CRIMINAL CONSPIRACY;

4 DO YOU SEE THAT?

5 A. YES.

6 Q. AND AM I CORRECT THAT THIS CRIMINAL CONVICTION DOES NOT

7 BELONG TO PLAINTIFF TONY SMITH?

8 A. CORRECT.

9 Q. I'D LIKE YOU TO GO TO THE NEXT PAGE, AND THERE IS AN  
10 ADDITIONAL CRIMINAL CONVICTION ON THAT PAGE, AS WELL, CORRECT?

11 A. YES.

12 Q. AND THIS CRIMINAL CONVICTION IS DESCRIBED WITH  
13 ABBREVIATIONS MANUFACTURING, DELIVERING OR POSSESSING,  
14 POSSESSION WITH THE INTENT TO MANUFACTURE OR DELIVER CONTROLLED  
15 SUBSTANCES; IS THAT CORRECT?

16 A. YES.

17 Q. AND IT'S AGAIN A CRIMINAL CONVICTION FROM PHILADELPHIA,  
18 CORRECT?

19 A. YES.

20 Q. AM I ALSO CORRECT THAT THIS CRIMINAL RECORD DOES NOT  
21 BELONG TO THE PLAINTIFF TONY SMITH?

22 A. CORRECT.

23 Q. I'D LIKE YOU TO GO TO THE BOTTOM OF THE PAGE, AND THERE IS  
24 ALSO ANOTHER CRIMINAL CONVICTION ON THIS REPORT, CORRECT?

25 A. YES.

1 Q. AND THIS CRIMINAL CONVICTION IS STATED AS KNOWING,  
2 INTENTIONALLY POSSESSING CONTROLLED SUBSTANCES; IS THAT  
3 CORRECT?

4 A. YES.

5 Q. AND THIS AGAIN IS ALSO FROM PHILADELPHIA, CORRECT?

6 A. YES.

7 Q. AM I ALSO CORRECT THAT THIS CRIMINAL RECORD ALSO DOES NOT  
8 BELONG TO TONY SMITH, THE PLAINTIFF HERE?

9 A. CORRECT.

10 Q. I'D LIKE YOU TO GO TO THE NEXT PAGE, AND THERE ARE TWO  
11 MORE CRIMINAL CONVICTIONS ON THIS PAGE, AS WELL, CORRECT?

12 A. YES.

13 Q. AND AT THE TOP OF THE PAGE THERE'S A CRIMINAL CONVICTION  
14 FOR POSSESSION, SOME SORT OF ABBREVIATION CRIME OF VIOLENCE. I  
15 DON'T KNOW WHAT THE ABBREVIATION IS; IS THAT CORRECT?

16 A. YES.

17 Q. AND THIS IS AGAIN A RECORD FROM PHILADELPHIA, CORRECT?

18 A. YES.

19 Q. AND AM I ALSO CORRECT THAT THIS IS ALSO A CRIMINAL RECORD  
20 THAT DOES NOT BELONG TO THE PLAINTIFF TONY SMITH?

21 A. CORRECT.

22 Q. FURTHER DOWN THAT PAGE, THERE IS ANOTHER CRIMINAL  
23 CONVICTION, CORRECT?

24 A. CORRECT.

25 Q. AND THIS CRIMINAL CONVICTION IS FOR CARRYING FIREARMS

1 WITHOUT A LICENSE; IS THAT CORRECT?

2 A. CORRECT.

3 Q. THIS IS ALSO A CRIMINAL RECORD FROM PHILADELPHIA?

4 A. CORRECT.

5 Q. AM I ALSO CORRECT THAT THIS RECORD DOES NOT BELONG TO THE  
6 PLAINTIFF TONY SMITH?

7 A. YES.

8 Q. I'D LIKE YOU TO GO TO THE NEXT PAGE. WE'RE ON PAGE 4 NOW,  
9 CORRECT?

10 A. YES.

11 Q. AND THERE IS ANOTHER CRIMINAL CONVICTION AT THE TOP OF  
12 THIS PAGE; IS THAT CORRECT?

13 A. CORRECT.

14 Q. AND THIS IS FOR INTENT TO POSSESS A CONTROLLED SUBSTANCE;  
15 IS THAT CORRECT?

16 A. YES.

17 Q. AND THIS IS ALSO A CRIMINAL RECORD FROM PHILADELPHIA,  
18 CORRECT?

19 A. CORRECT.

20 Q. AM I ALSO CORRECT THAT THIS RECORD DOES NOT BELONG TO TONY  
21 SMITH?

22 A. CORRECT.

23 Q. AND THEN THE REMAINDER OF THE REPORT COMPLETES ITSELF  
24 THERE.

25 SO AM I CORRECT THAT THERE ARE SIX CRIMINAL RECORDS

1 ON THIS BACKGROUND CHECK THAT DON'T BELONG TO TONY SMITH?

2 A. YES.

3 Q. AM I CORRECT THAT THESE ARE CRIMINAL RECORDS THAT BELONG  
4 TO ANOTHER PERSON ALSO NAMED TONY SMITH WHO'S FROM  
5 PHILADELPHIA, PENNSYLVANIA AND HAS THE SAME DATE OF BIRTH AS  
6 MR. SMITH, THE PLAINTIFF?

7 MR. ANDRE: OBJECTION, YOUR HONOR, WE'VE ESTABLISHED  
8 AND DO NOT DISPUTE THAT THE SIX RECORDS DON'T BELONG TO THE  
9 PLAINTIFF. I THINK THAT POINT HAS BEEN COVERED.

10 THE COURT: SUSTAINED.

11 BY MR. GORSKI:

12 Q. OKAY. I'D LIKE YOU TO GO TO THE TOP.

13 A. TOP OF WHAT?

14 Q. OF THE DOCUMENT THAT WE'VE BEEN LOOKING AT.

15 A. OKAY.

16 Q. AND IF WE LOOK AT THE TOP OF THE DOCUMENT, YOU'LL SEE THAT  
17 THERE IS INFORMATION ABOUT THE APPLICANT, IN THIS CASE THE  
18 PLAINTIFF; IS THAT CORRECT?

19 A. ARE WE BACK ON PAGE 1 NOW?

20 Q. PAGE 1 ON THE TOP LEFT-HAND SIDE.

21 A. CAN YOU ASK ME THE QUESTION AGAIN?

22 Q. YES, AT THE TOP LEFT-HAND SIDE OF THE DOCUMENT IS  
23 INFORMATION THAT WAS PROVIDED BY DART ABOUT THE APPLICANT, IN  
24 THIS CASE MR. SMITH, THE PLAINTIFF?

25 A. THAT IS CORRECT.

1 Q. AND THE INFORMATION THAT WAS PROVIDED BY DART TO BGC ON  
2 THE FIRST LINE IS TONY WILLIE SMITH; IS THAT CORRECT?

3 A. YES.

4 Q. AND DART ALSO PROVIDED HIS FULL SOCIAL SECURITY NUMBER,  
5 ALTHOUGH IT'S BEEN X'D OUT IN THIS PARTICULAR DOCUMENT; IS THAT  
6 CORRECT?

7 A. THAT'S CORRECT.

8 Q. AND IT ALSO PROVIDED HIS FULL DATE OF BIRTH, EVEN THOUGH  
9 IT HAS BEEN PARTIALLY X'D OUT IN THIS DOCUMENT?

10 A. CORRECT.

11 Q. DART ALSO PROVIDED THE WORK STATE FOR MR. SMITH?

12 A. CORRECT.

13 Q. NOW, AM I CORRECT THAT THE RECORDS THAT WE HAVE DISCUSSED  
14 AT THIS POINT WERE MATCHED TO MR. SMITH, THE PLAINTIFF HERE,  
15 BECAUSE HE POSSESSES THE SAME FIRST NAME, LAST NAME AND DATE OF  
16 BIRTH AT THE ACTUAL PERPETRATOR?

17 A. YES.

18 Q. AM I CORRECT THAT IN PREPARING THIS REPORT THAT THE SOCIAL  
19 SECURITY NUMBER THAT WAS PROVIDED BY BGC WAS NOT CONSIDERED IN  
20 DETERMINING WHETHER OR NOT TO MATCH THESE RECORDS THAT WE'VE  
21 DISCUSSED TO MR. SMITH, THE PLAINTIFF?

22 A. PROVIDED BY BGC, THE SOCIAL SECURITY NUMBER, YOU MEAN BY  
23 DART?

24 Q. AM I CORRECT THAT THE SOCIAL SECURITY NUMBER ABOUT MR.  
25 SMITH, THE PLAINTIFF HERE, THAT WAS PROVIDED BY DART WAS NOT

1    CONSIDERED IN DETERMINING WHETHER OR NOT TO MATCH THESE  
2    CRIMINAL RECORDS ON MR. SMITH'S REPORT?  
3    A.    WELL, IT WASN'T CONSIDERED BECAUSE THE FACT OF THE MATTER  
4    WHEN WE TALK ABOUT THE ABILITY TO MATCH IS WE SIMPLY DO NOT GET  
5    THE SOCIAL SECURITY NUMBER FROM OUR JURISDICTIONS, FROM OUR  
6    SOURCES THAT ARE IN OUR DATABASE. I KNOW OF NO SOURCE IN  
7    OUR DATABASE THAT WE ACTUALLY GET THE SOCIAL SECURITY NUMBER  
8    FROM.

9                    SO TO BE ABLE TO MATCH UPON THAT, NO, WE DID NOT  
10   MATCH ON IT BECAUSE WE DID NOT HAVE THAT INFORMATION.

11   Q.    OKAY. WE'LL COVER YOUR COMMENTS IN A MINUTE, BUT TO  
12   CONTINUE ON, AM I ALSO CORRECT THAT THE FACT THAT THE WORK  
13   STATE DIFFERED FROM WHERE THE CRIMINAL RECORDS WERE COMING FROM  
14   WAS NOT CONSIDERED IN DETERMINING WHETHER TO MATCH THESE  
15   CRIMINAL RECORDS TO MR. SMITH, THE PLAINTIFF HERE?

16   A.    THAT'S CORRECT.

17   Q.    OKAY. NOW, AM I CORRECT THAT THE BGC REPORTS FOR THE  
18   NATIONAL CRIMINAL BACKGROUND CHECK ARE SOLD OVER THE WEB; IS  
19   THAT CORRECT?

20   A.    YES.

21   Q.    SO IF A PERSON WANTS TO BUY A REPORT FROM BGC LIKE THE ONE  
22   THAT WAS SOLD HERE, THEY GO ON THE WEB AND PLUG THIS  
23   INFORMATION IN?

24   A.    YES, AS LONG AS THEY'VE SET UP AN ACCOUNT. THEY'VE BEEN  
25   VETTED. THEY HAVE A USER NAME AND PASSWORD.

1 Q. OKAY. AND THE ONLY REQUIRED INFORMATION THAT BGC ASKS FOR  
2 FROM A POTENTIAL CUSTOMER IS FIRST NAME, MIDDLE NAME, LAST  
3 NAME -- MIDDLE NAME OR MIDDLE INITIAL, LAST NAME AND DATE OF  
4 BIRTH; IS THAT CORRECT?

5 A. HIS FULL DATE OF BIRTH.

6 Q. CORRECT. AND YOU COULD ALSO ENTER IT WITHOUT A MIDDLE  
7 NAME IF YOU CERTIFY THAT THE PERSON DOESN'T HAVE A MIDDLE NAME?

8 A. YES.

9 Q. ENTERING A SOCIAL SECURITY NUMBER AS FAR AS BGC IS  
10 CONCERNED IS OPTIONAL?

11 A. YES, IT WAS AT THAT TIME.

12 Q. ENTERING THE WORK STATE IS ALSO OPTIONAL?

13 A. I BELIEVE AT THAT TIME IT WAS REQUIRED.

14 Q. NOW ONCE YOUR CUSTOMER MAKES A REQUEST THROUGH THE WEB FOR  
15 THE CRIMINAL BACKGROUND REPORT, THAT REQUEST IS FULFILLED IN AN  
16 ENTIRELY AUTOMATED FASHION; IS THAT CORRECT?

17 A. CORRECT.

18 Q. SO NO ACTUAL PERSON FROM BGC TAKES THE DATA, PLUGS IT IN  
19 OR REVIEWS IT PRIOR TO THAT REPORT BEING ISSUED TO THE  
20 CUSTOMER?

21 A. THAT'S CORRECT.

22 Q. AND THERE'S NO ACTUAL PERSON INVOLVED IN FULFILLING THE  
23 REQUEST, MEANING WHEN THE REQUEST COMES IN BGC DOESN'T SEND  
24 SOMEBODY TO THE COURTHOUSE TO LOOK AT THE RECORDS, CORRECT?

25 A. NOT FOR THE US ONESEARCH.

1 Q. AND THAT'S THE PRODUCT THAT WAS SOLD ABOUT THE PLAINTIFF  
2 MR. SMITH?

3 A. THAT'S CORRECT.

4 Q. NOW, WHEN A CUSTOMER ENTERS THE DATA INTO THE WEBSITE AND  
5 IT'S SENT TO BGC, THE RESPONSE BACK FROM BGC IS NEARLY  
6 INSTANTANEOUS, CORRECT?

7 A. YES.

8 Q. AND THAT'S BECAUSE ALL OF THE INFORMATION THAT'S GOING TO  
9 BE USED TO PREPARE THIS REPORT IS PRELOADED ONTO THE COMPUTER  
10 WHEN THE REPORT IS GENERATED, CORRECT?

11 A. YES, IT WILL HIT OUR DATABASE AND THEN RETURN INSTANTLY.

12 Q. NOW AS A GENERAL MATTER, BGC WILL PUT CRIMINAL RECORDS ON  
13 A REPORT IF THERE'S A FIRST NAME AND LAST NAME MATCH ALONG WITH  
14 A DATE OF BIRTH MATCH SO LONG AS THERE'S NOT A MIDDLE NAME  
15 MISMATCH; IS THAT CORRECT?

16 A. CORRECT.

17 Q. NOW, YOU AGREE THAT TONY SMITH -- STRIKE THAT.

18 NOW, YOU AGREE THAT THE INFORMATION THAT WAS ENTERED  
19 BY DART INCLUDED MR. SMITH'S MIDDLE NAME, CORRECT?

20 A. CORRECT.

21 Q. AND THE INFORMATION THAT WAS PRESENTED WAS WILLIE,  
22 CORRECT? THE INFORMATION PRESENTED TO DART FOR THE REPORT WAS  
23 THE MIDDLE NAME WILLIE?

24 A. THAT'S WHAT THEY SUBMITTED.

25 Q. DO YOU KNOW WHETHER OR NOT THE ACTUAL PERPETRATOR HAS A

1 DIFFERENT MIDDLE NAME?

2 A. I DO NOT.

3 Q. DO YOU KNOW WHETHER OR NOT THE ACTUAL PERPETRATOR HAS A  
4 MIDDLE NAME THAT BEGINS WITH F?

5 A. I DO NOT.

6 Q. NOW, CAN WE AGREE THAT OTHER THAN MR. SMITH HERE, THERE  
7 ARE OTHER PEOPLE WHO WILL HAVE THE SAME NAME AND THE SAME DATE  
8 OF BIRTH?

9 A. YES.

10 Q. AND THAT THE MATCHING SYSTEM THAT BGC USES CANNOT  
11 DIFFERENTIATE BETWEEN PEOPLE WHO HAVE THE SAME NAME AND SAME  
12 DATE OF BIRTH?

13 A. IF YOU'RE REFERRING TO THE DIFFERENTIATION, YES, WE HAVE  
14 THAT SITUATION COME UP FROM TIME TO TIME. IT'S NOT OFTEN, BUT  
15 THE PROCEDURES THAT WE DO HAVE IN PLACE IN REGARDS TO THAT WITH  
16 THE MIDDLE NAME CAPABILITIES AGAIN HELPS US RETURN THE  
17 INFORMATION FROM AN ACCURACY STANDPOINT.

18 ALSO WHEN WE TALK ABOUT -- AGAIN IF WE HAD THE  
19 INFORMATION FROM THE COURTS ITSELF ABOUT THE SOCIAL SECURITY  
20 NUMBER, WE WOULD BE ABLE TO MATCH ON A NAME LIKE THAT THAT'S  
21 COMMON.

22 Q. I KNOW YOU WANT TO TALK ABOUT THAT. WE'RE GOING TO GET TO  
23 THAT IN A MINUTE, BUT JUST TO BE CLEAR, THE COMPUTER SYSTEM  
24 WHEN THE NAME IS THE SAME AND THE DATE OF BIRTH IS THE SAME  
25 CANNOT MAKE A DIFFERENTIATION BETWEEN THOSE TWO CONSUMERS OR

1 PEOPLE?

2 A. NOT WITHOUT ADDITIONAL IDENTIFIERS.

3 Q. I'M SORRY?

4 A. NOT WITHOUT AN ADDITIONAL IDENTIFIER.

5 Q. BUT IT WILL RETURN THE RECORDS WHEN THAT IS A MATCH IN  
6 THAT WAY?

7 A. YES, IN THAT SCENARIO, BECAUSE AGAIN WE DO NOT KNOW  
8 NECESSARILY WHAT THE MIDDLE NAME MAY BE.

9 Q. CAN WE ALSO AGREE THAT PEOPLE CAN HAVE THE SAME NAME  
10 AND THE SAME DATE OF BIRTH WITH DIFFERENT SOCIAL SECURITY  
11 NUMBERS?

12 A. YES.

13 Q. AM I CORRECT THAT THAT'S THE CASE HERE, MR. SMITH, THE  
14 PLAINTIFF, HAD A DIFFERENT SOCIAL SECURITY NUMBER THAN THE  
15 ACTUAL PERPETRATOR?

16 A. YES.

17 Q. CAN WE AGREE THAT SOCIAL SECURITY NUMBERS ARE AN EFFECTIVE  
18 WAY TO DIFFERENTIATE BETWEEN TWO PEOPLE WITH THE SAME NAME AND  
19 SAME DATE OF BIRTH?

20 A. YES.

21 Q. NOW AFTER BGC SOLD THE REPORT THAT WE'VE LOOKED AT ALREADY  
22 TO DART, IS IT YOUR UNDERSTANDING THAT SOMETIME THEREAFTER MR.  
23 SMITH, THE PLAINTIFF HERE, WAGED A DISPUTE WITH BGC?

24 A. YES.

25 Q. I'D LIKE YOU TO TAKE A LOOK, MR. KESSLER, INTO THE BINDER

1 AGAIN.

2 A. OKAY.

3 Q. AND I'D LIKE YOU TO GO TO THE DOCUMENT IN THE SAME SECTION  
4 THAT'S BEEN PLACED UNDER TAB 3?

5 A. OKAY.

6 MR. GORSKI: AND, AGAIN, YOUR HONOR, THIS IS ANOTHER  
7 DOCUMENT THAT'S BEEN STIPULATED AS A JOINT EXHIBIT. SO I'D  
8 LIKE TO ENTER EXHIBIT J-3 INTO EVIDENCE.

9 MR. ANDRE: NO OBJECTION.

10 THE COURT: WITH RESPECT TO EACH OF THE EXHIBITS THAT  
11 ARE MARKED AS A JOINT EXHIBIT IS THERE GOING TO BE ANY  
12 OBJECTION?

13 MR. ANDRE: NONE FROM THE DEFENSE.

14 THE COURT: ANY REASON NOT TO JUST ADMIT THOSE AT  
15 THIS TIME, MR. GORSKI?

16 MR. ANDRE: YOUR HONOR, MY ONLY REQUEST WOULD BE --  
17 I UNDERSTAND THAT DOCUMENTS ADMITTED ARE DOCUMENTS THAT WILL GO  
18 BACK WITH THE JURY, AND I WOULD THINK THAT ONLY DOCUMENTS THAT  
19 ARE ACTUALLY USED IN THE CASE SHOULD GO BACK. SO WHILE I HAVE  
20 NO OBJECTION ON PRINCIPLE TO ADMITTING ALL OF THEM, I WOULD ASK  
21 THAT THE JURY STILL ONLY HAVE THE ONES USED.

22 THE COURT: CERTAINLY.

23 MR. GORSKI: YES, I AGREE SO LONG AS IT'S USED, NO  
24 OBJECTION.

25 THE COURT: SO AS YOU TENDER A JOINT EXHIBIT, THERE'S

1 NO NEED TO SEEK A REVIEW. THOSE WILL BE ADMITTED AS YOU TENDER  
2 THEM.

3 MR. GORSKI: THANK YOU, YOUR HONOR. MAY I PROJECT  
4 THE EXHIBIT, YOUR HONOR?

5 THE COURT: YES, YOU MAY.

6 BY MR. GORSKI:

7 Q. MR. KESSLER, DO YOU RECOGNIZE THIS DOCUMENT?

8 A. YES, I DO.

9 Q. OKAY. AND WHAT IS THIS?

10 A. THIS IS AN INTERNAL DOCUMENT STATING THE STATUS OF A  
11 PARTICULAR DISPUTE, AND WHAT WAS DONE.

12 Q. OKAY. AND YOU ARE -- THIS IS AN EMAIL, CORRECT?

13 A. CORRECT.

14 Q. SO BGC USES EMAILS TO MEMORIALIZE WHEN SOMEBODY DISPUTES?

15 A. YES, AND THEN WE ACTUALLY PUT IT IN THE ACCOUNT FOR DART  
16 IN THIS EXAMPLE.

17 Q. OKAY. AND IN THIS CASE YOU'RE ACTUALLY COPIED ON THIS  
18 EMAIL; IS THAT CORRECT?

19 A. CORRECT.

20 Q. NOW, I'D LIKE TO FOCUS -- ACTUALLY JUST SO WE'RE CLEAR,  
21 WHAT THIS EMAIL REPRESENTS IS THE PRODUCT OF AFTER MR. SMITH  
22 HAS ALREADY DISPUTED TO BGC BUT THE REPORT HAS ALREADY BEEN  
23 SOLD TO DART AT THIS TIME, CORRECT?

24 A. YES.

25 Q. DART HAS BEEN MADE AWARE OF WHAT WAS ON THE REPORT THAT

1 WE'VE ALREADY LOOKED AT, CORRECT?

2 A. CORRECT.

3 Q. NOW AFTER MR. SMITH DISPUTES, AM I CORRECT THAT THE  
4 DOCUMENT MEMORIALIZES THAT THE PERSON HANDLING THIS WAS ABLE TO  
5 CALL THE PHILADELPHIA COURT AT A SPECIFIC PHONE NUMBER AND WAS  
6 ABLE TO VERIFY THAT MR. SMITH, THE PLAINTIFF HERE, WAS NOT THE  
7 PERPETRATOR OF THESE CRIMES BECAUSE THE SOCIAL SECURITY NUMBERS  
8 ON THOSE RECORDS DID NOT MATCH PLAINTIFF'S?

9 A. THAT'S CORRECT.

10 Q. SO AM I CORRECT AT LEAST WITH RESPECT TO MR. SMITH'S  
11 SITUATION THAT BGC WAS ABLE TO DO SOMETHING TO CONFIRM WHETHER  
12 THE SOCIAL SECURITY NUMBERS MATCHED ON THESE REPORTS?

13 MR. ANDRE: OBJECTION, ASKED AND ANSWERED.

14 THE COURT: OVERRULED.

15 THE WITNESS: ASK IT AGAIN?

16 BY MR. GORSKI:

17 Q. AM I CORRECT THAT BGC WAS ABLE TO DO SOMETHING TO  
18 DETERMINE AT LEAST WITH RESPECT TO PLAINTIFF HERE WHETHER OR  
19 NOT THE SOCIAL SECURITY NUMBERS MATCHED ON THESE CRIMINAL  
20 RECORDS?

21 A. CORRECT.

22 Q. AND THIS PROCESS THAT WE'VE DESCRIBED HERE, WHICH IS  
23 CALLING A COURT TO CONFIRM WHETHER OR NOT THE SOCIAL SECURITY  
24 NUMBER EXISTS, IS NOT JUST SOMETHING THAT BGC WILL DO IN  
25 CONNECTION WITH MR. SMITH, IT WILL DO THAT IN OTHER

1 CIRCUMSTANCES, TOO, WHEN IT RECEIVES DISPUTES?

2 A. IT IS A PROCESS THAT WE USE, YES.

3 Q. AM I ALSO CORRECT IT'S THE MAIN PROCESS THAT YOU USE ONCE  
4 YOU RECEIVE A DISPUTE TO RECONCILE WHETHER OR NOT THE RECORDS  
5 BELONG TO THE APPLICANT?

6 A. IT IS, AGAIN IT IS A PROCESS THAT WE UTILIZE, BUT AGAIN  
7 SOME COURTS WILL NOT CONFIRM OR DISCONFIRM BASED ON SOCIAL  
8 SECURITY NUMBER, SO WE HAVE TO RELY ON MAYBE A DRIVER'S  
9 LICENSE, PARTICULARS ABOUT THE ATTORNEYS, PROBATION OFFICERS.  
10 THERE'S OTHER PROCESSES THAT WE USE OUTSIDE OF THE SSN, BUT WE  
11 DO USE THAT.

12 Q. I UNDERSTAND THERE MAY BE AN INSTANCE WHERE YOU CAN'T DO  
13 IT IN ONE COUNTY?

14 A. I'D SAY IT'S MORE THAN ONE COUNTY.

15 Q. OKAY. BUT YOU WERE ABLE TO DO IT WITH RESPECT TO RECORDS  
16 IN PHILADELPHIA, CORRECT?

17 A. YES.

18 Q. AND THERE ARE OTHER COURTS WHERE YOU CAN DO THE SAME  
19 THING?

20 A. YES.

21 Q. OKAY. AM I CORRECT THAT BGC IS NOT CHARGED FOR CALLING A  
22 COURT TO RECONCILE WHETHER OR NOT THE SOCIAL SECURITY NUMBERS  
23 MATCH OR NOT?

24 A. NO, WE'RE NOT CHARGED.

25 Q. SO THE ONLY COST TO BGC TO DO THAT IS JUST THE TIME IT

1 TAKES AN EMPLOYEE TO CALL THE COURT AND MAKE THE CONFIRMATION?

2 A. CORRECT.

3 Q. AM I ALSO CORRECT THAT BGC DOES NOT DO THIS AT THE TIME

4 THE REPORT IS SOLD?

5 A. YES, WE DON'T DO THAT AT THE TIME IT'S SOLD, AND, AGAIN,  
6 ON THAT, IT WOULD REALLY TAX THE COURTS TO BE ABLE TO TAKE THE  
7 AMOUNT OF CALLS IT WOULD TAKE ON EVERY PARTICULAR HIT THAT, YOU  
8 KNOW, A BACKGROUND CHECK REPORT COULD HAVE. SO IT MAY SOUND  
9 FEASIBLE, BUT IT'S NOT PRACTICAL. SO THIS IS WHY WE UTILIZE IT  
10 WHEN WE HAVE OUR DISPUTE PROCESS.

11 Q. OKAY. NOW, IN ADDITION TO THAT, IF WE LOOK AT THIS EMAIL  
12 YOU'LL SEE THAT THE EMAIL SAYS VERIFIED BY PENNSYLVANIA COURT  
13 ONLINE DATABASE, THEY HAVE NO ADDITIONAL IDENTIFIERS; DO YOU  
14 SEE THAT?

15 A. YES, I DO.

16 Q. AM I CORRECT THAT MEANS THAT SOMEBODY AT BGC ALSO OBTAINED  
17 AN ONLINE RECORD OF -- SOME KIND OF ONLINE RECORD OF THE  
18 CRIMINAL DOCKET ASSOCIATED WITH THESE CRIMINAL RECORDS; IS THAT  
19 CORRECT?

20 A. YES, THEY WENT TO THE PENNSYLVANIA COURT, UNIFIED COURT, I  
21 BELIEVE, ONLINE.

22 Q. YOU SAID THEY WENT TO THE COURT, BUT YOU'RE TALKING  
23 ABOUT --

24 A. ONLINE, YES.

25 Q. OKAY. I'D LIKE YOU TO TURN TO THE EXHIBIT THAT'S BEEN

1 MARKED J-2.

2 A. OKAY.

3 Q. OKAY. NOW IS THIS THE ONLINE DOCUMENT THAT WAS REFERRED  
4 TO IN THE EMAIL?

5 A. YES, I BELIEVE IT WAS.

6 Q. AGAIN JUST SO WE'RE CLEAR, THIS DOCUMENT IS NOT A DOCUMENT  
7 THAT BGC OBTAINS WHEN IT INITIALLY SELLS THE REPORT. IT'S  
8 SOMETHING THAT IT ONLY GOES AND GETS AFTER SOMEBODY LIKE TONY  
9 SMITH DISPUTES THE ACCURACY OF THE CRIMINAL RECORDS?

10 A. THIS IS NOT PART OF THE DATABASE SEARCH, IF YOU WILL.

11 Q. OKAY. AM I CORRECT ON THIS DOCUMENT THE DOCUMENT  
12 IDENTIFIES THE RACE OF THE PERPETRATOR?

13 A. YES.

14 Q. AND WHAT I'VE PROJECTED RIGHT HERE IS THAT WHAT YOU'RE  
15 LOOKING AT, AS WELL?

16 A. YES.

17 Q. AND AM I CORRECT THAT THE DOCUMENT THAT WAS OBTAINED SHOWS  
18 THAT THE PERPETRATOR WAS ASIAN?

19 A. CORRECT.

20 Q. DOES BGC INCLUDE RACE INFORMATION ABOUT THE PERPETRATOR IN  
21 THE CRIMINAL BACKGROUND CHECK?

22 A. WE DO NOT, AND THE REASONS BEHIND THAT --

23 Q. THAT'S ALL I ASKED.

24 MR. ANDRE: YOUR HONOR, THE WITNESS SHOULD BE ALLOWED  
25 TO FINISH HIS ANSWER.

1                   MR. GORSKI: WELL, YOUR HONOR, I DIDN'T ASK FOR AN  
2 EXPLANATION. IF HE'D LIKE TO ASK ON CROSS, THEN THAT'S --

3                   THE COURT: I'M GOING TO ALLOW HIM TO GO AHEAD AND  
4 EXPLAIN. YOU CAN EXPLAIN NOW, MR. KESSLER.

5                   THE WITNESS: OKAY. SO WE DON'T COLLECT THE  
6 INFORMATION MEANING THE SOURCES DO NOT PROVIDE US THE ACTUAL  
7 RACE. WE ALSO HAVE FOUND THAT THE RACE IS UNRELIABLE.  
8 BECAUSE, AGAIN, IT'S EITHER BEING SELF-REPORTED. WHEN A LAW  
9 ENFORCEMENT MAY BE ASKING YOU WHAT YOUR RACE MIGHT BE, YOU MAY  
10 SAY ONE THING, AND IT'S REALLY NOT, AND/OR A POLICE OFFICER MAY  
11 JUST CHECK A BOX, NOT KNOWING, JUST CHECKS A BOX, AND THAT'S  
12 THE INFORMATION ENTERED.

13                   SO WE DON'T LOOK AT THAT AS A VERY RELIABLE THING TO  
14 MATCH ON, BUT ON TOP OF THAT, WE DON'T EVEN GET IT FROM  
15 JURISDICTIONS TO HAVE THAT OPPORTUNITY TO MATCH ON. SO THAT'S  
16 WHY WE BELIEVE IT'S UNRELIABLE.

17                   BY MR. GORSKI:

18                   Q. BUT IT WAS AVAILABLE HERE?

19                   A. IT WAS AVAILABLE HERE, BUT NOT FROM THE DATA THAT WE  
20 RECEIVED FROM PENNSYLVANIA AOC THAT GENERATED THE US ONESEARCH.

21                   Q. OKAY. SO WHATEVER DATA THAT BGC PURCHASED DIDN'T HAVE  
22 THAT, BUT IT WAS SOMETHING THAT WAS AVAILABLE WHEN BGC'S  
23 EMPLOYEE DID THIS ONLINE SEARCH?

24                   A. THAT'S CORRECT.

25                   Q. NOW I'D LIKE TO GO A LITTLE BIT FURTHER DOWN THE DOCUMENT,

1 AND YOU AGREE THAT THE DOCUMENT IS A SUMMARY OF ALL THE  
2 CRIMINAL -- OF SOME OF THE CRIMINAL RECORDS THAT WE'VE  
3 DISCUSSED IN CONNECTION WITH THE REPORT THAT WAS ORIGINALLY  
4 SOLD ABOUT MR. SMITH, CORRECT?

5 A. CORRECT.

6 Q. NOW, AM I CORRECT WITH RESPECT TO A PORTION OF THE  
7 CRIMINAL CONVICTIONS THAT APPEAR ON THE ORIGINAL REPORT, THE  
8 SUMMARY THAT WAS OBTAINED SUBSEQUENTLY BY BGC INCLUDED THE  
9 NAME OF THE DEFENSE ATTORNEY WHO REPRESENTED THE ACTUAL  
10 PERPETRATOR?

11 A. YES, I SEE THAT.

12 Q. THAT WAS THE CASE WITH RESPECT TO THIS PARTICULAR  
13 PROCEEDING AT THE TOP WHERE THE DEFENSE ATTORNEY IS NAMED  
14 STEVEN LAVER?

15 A. YES.

16 Q. AND THE DEFENSE ATTORNEY IS IDENTIFIED WITH RESPECT TO ALL  
17 OF THE OTHER CRIMINAL COMPLAINTS THAT ARE LISTED ON THIS  
18 DOCUMENT, AS WELL, CORRECT?

19 A. CORRECT.

20 Q. NOW BGC ALSO DOES NOT REPORT THE NAME OF THE DEFENSE  
21 ATTORNEY WHO REPRESENTED THE PERPETRATOR WHEN IT SELLS THAT  
22 INITIAL REPORT, DOES IT?

23 A. NO, IT DOES NOT.

24 Q. NOW I'D LIKE TO GO TO THE BOTTOM OF THE DOCUMENT THAT  
25 CONTAINS THE TWO PARAGRAPHS AT THE BOTTOM, I THINK IT'S ON

1   EVERY PAGE, SO IF YOU GET TO PAGE 1 AT THE BOTTOM?

2   A.   OKAY.

3   Q.   IT'S STILL A LITTLE HARD TO READ, SO I'M JUST GOING TO  
4   READ FROM THE DOCUMENT.   OKAY.   AT THE BOTTOM THE PARAGRAPH  
5   SAYS COURT SUMMARY REPORT INFORMATION SHOULD NOT BE USED IN  
6   PLACE OF A CRIMINAL HISTORY BACKGROUND CHECK WHICH CAN ONLY BE  
7   PROVIDED BY THE PENNSYLVANIA STATE POLICE.

8                NOW, MR. KESSLER, DOES BGC AT LEAST WITH RESPECT  
9   TO RECORDS ORIGINATING FROM PENNSYLVANIA DO ANYTHING AT THE  
10   TIME IT SELLS THE REPORT TO CONTACT THE STATE POLICE TO  
11   CONFIRM WHETHER OR NOT THESE RECORDS BELONG TO THE APPLICANT OR  
12   NOT?

13   A.   NO, I'M NOT NECESSARILY SAYING THAT THAT'S WHAT I THINK  
14   THAT THIS PARTICULAR PARAGRAPH MEANS.

15   Q.   AM I ALSO CORRECT, MR. KESSLER, IN YOUR EXPERIENCE MR.  
16   SMITH IS NOT THE FIRST PERSON WHO HAS COMPLAINED TO BGC THAT  
17   THEY ARE NOT THE PERPETRATOR OF THE CRIMES THAT APPEAR ON A BGC  
18   REPORT?

19   A.   THAT'S CORRECT.

20   Q.   AND BY YOUR ESTIMATION, AM I CORRECT THAT BGC RECEIVES  
21   COMPLAINTS OF THAT KIND APPROXIMATELY EVERY DAY?

22   A.   I CAN'T SAY NECESSARILY EVERY DAY.   WE DO RECEIVE DISPUTES  
23   ON A DAILY BASIS, BUT I DON'T KNOW HOW MANY OF THEM --

24   Q.   YOU RECEIVE DISPUTES ON A DAILY BASIS, CORRECT?

25   A.   ON AVERAGE, YES.

1                   MR. GORSKI:  MR. KESSLER, I DON'T HAVE ANY OTHER  
2 QUESTIONS FOR YOU ON MY DIRECT.  THANK YOU FOR NOW.

3                   THE COURT:  MR. ANDRE, DO YOU HAVE ANY QUESTIONS AT  
4 THIS TIME OF MR. KESSLER?

5                   MR. ANDRE:  I DO, YOUR HONOR.  COULD I GET A 90  
6 SECOND BATHROOM BREAK?  IF NOT, I CAN POWER THROUGH.  I'VE BEEN  
7 DRINKING A CONSIDERABLE AMOUNT OF WATER.

8                   THE COURT:  I DON'T WANT TO PUT YOU IN DISTRESS.  
9 WE'LL TAKE A BRIEF RECESS FOR YOU.  WE'LL IN RECESS FOR FIVE  
10 MINUTES.

11                   (RECESS)

12                   THE COURT:  PLEASE BE SEATED.  ALL RIGHT, MR. ANDRE.  
13                   DIRECT EXAMINATION

14 BY MR. ANDRE:

15 Q.  MR. KESSLER, I HAVE A COUPLE OF THINGS I'D LIKE TO FOLLOW  
16 UP ON FROM YOUR CONVERSATION.  IF I COULD ASK YOU TO SPEAK UP,  
17 I WAS HAVING A LITTLE BIT OF A HARD TIME --

18 A.  OKAY.

19 Q.  MR. KESSLER, DO YOU RECALL WHEN MR. GORSKI ASKED YOU WHAT  
20 BGC REQUIRES TO MAKE A MATCH IN THE FIRST INSTANCE?

21 A.  YES.

22 Q.  AND I BELIEVE YOU SAID FIRST NAME, LAST NAME, DATE OF  
23 BIRTH AND NO MIDDLE NAME MISMATCH; DO YOU RECALL THAT?

24 A.  YES.

25 Q.  WHAT DOES THE TERM NO MIDDLE NAME MISMATCH MEAN?

1 A. THE BEST WAY TO DESCRIBE NO MIDDLE NAME MISMATCH IS TO USE  
2 AN EXAMPLE. SO MY NAME IS CRAIG CONNER KESSLER. SO IF WE TYPE  
3 IN CRAIG CONNER, THE MIDDLE NAME CONNER KESSLER, THEN WHAT THE  
4 SYSTEM WILL DO FROM A MATCHING LOGIC IS IT WOULD LOOK FOR CRAIG  
5 CONNER KESSLER, IT WILL LOOK FOR CRAIG C. KESSLER, OR IT WILL  
6 LOOK FOR CRAIG NO MIDDLE NAME KESSLER. IT WILL NOT BRING BACK  
7 CRAIG SAM KESSLER OR CRAIG T. KESSLER.

8 Q. WHEN YOU SAY NO MIDDLE NAME OR C, ARE YOU TALKING ABOUT ON  
9 THE CRIMINAL RECORDS THEMSELVES?

10 A. YES.

11 Q. MR. KESSLER, MR. GORSKI ASKED YOU SOME QUESTIONS ABOUT THE  
12 WORK STATE ON MR. SMITH'S REPORT BEING GEORGIA; DO YOU RECALL  
13 THAT?

14 A. YES.

15 Q. DID DART MAKE ANY INDICATION TO YOU THAT MR. SMITH HAD  
16 NEVER BEEN TO PENNSYLVANIA, FOR EXAMPLE?

17 A. NO.

18 Q. MR. KESSLER, YOU WERE ASKED SOME QUESTIONS ABOUT SOCIAL  
19 SECURITY NUMBERS; DO YOU RECALL THAT?

20 A. YES.

21 Q. AS A GENERAL MATTER DO YOU KNOW HOW MANY REPORTS BGC  
22 PREPARES PER YEAR?

23 A. TWO MILLION PER YEAR.

24 Q. AND --

25 MR. GORSKI: OBJECTION, YOUR HONOR, CAN WE GET A

1 SIDEBAR?

2 THE COURT: YES, YOU MAY APPROACH.

3 (AT THE BENCH)

4 MR. GORSKI: IT FEELS LIKE THEY'RE GOING INTO THE  
5 AREA THAT YOUR HONOR HAS ALREADY EXCLUDED WHICH IS DATA  
6 COMPILATION ABOUT HOW MANY REPORTS ARE PREPARED AND WHATNOT.  
7 THERE'S NO WAY THIS WITNESS CAN TESTIFY ABOUT THAT WITHOUT THE  
8 DATA. WE'VE ALREADY BEEN THROUGH THIS, YOUR HONOR.

9 THE COURT: WELL, WE DISCUSSED THIS AT THE BREAK, AND  
10 I EMPHASIZED THAT THE ONLY OBJECTION THAT HAD BEEN MADE WAS TO  
11 THAT PERCENTAGE. NOW YOU SPECIFICALLY MENTIONED THIS FOUR  
12 MILLION FIGURE, AND I DIDN'T HEAR ANY OTHER ARGUMENTS ABOUT  
13 OTHER FACTORS. I SAID YOU COULD ENTER OBJECTIONS AS YOU WANT  
14 TO.

15 MR. GORSKI: YES, UNDERSTOOD, YOUR HONOR, BUT MY  
16 OBJECTION WOULD BE THE SAME BECAUSE AGAIN WE'RE TALKING ABOUT  
17 SOMEBODY TRYING TO DO SOME KIND OF COMPILATION TO FIGURE OUT  
18 HOW MANY REPORTS ARE SOLD, AND THAT ENDS UP BEING IN A REPORT  
19 THAT IS REVIEWED, AND BASED ON WHAT WE'VE ALREADY SEEN, HE DID  
20 NOT LOOK -- IF HE LOOKED AT SOME REPORT WHICH IS HEARSAY, AND  
21 ALSO DOES NOT COMPLY WITH THE RULES OF EVIDENCE REGARDING  
22 CALCULATIONS, OR IT'S HEARSAY WITHIN HEARSAY WHICH MEANS  
23 SOMEBODY TOLD HIM WHAT THAT SAID. IN ADDITION TO VIOLATING THE  
24 RULES OF EVIDENCE REQUIRING COMPILATIONS OR CALCULATIONS OF  
25 DATA AS YOUR HONOR HAS ALREADY STATED.

1                   MR. ANDRE: YOUR HONOR, I ASKED HIM DO YOU KNOW HOW  
2 MANY REPORTS BGC RUNS. HE SAID YES AND PROVIDED THE ANSWER.  
3 WE'RE NOT GOING INTO DETAILED STATISTICS. DO YOU, THE  
4 PRESIDENT, UNDERSTAND THE VOLUME OF BUSINESS THAT YOU DO AS A  
5 GENERAL MATTER, THAT'S INFORMATION THAT HE -- AND I CAN LAY  
6 MORE FOUNDATION AS TO PERSONAL KNOWLEDGE.

7                   MR. GORSKI: IT'S IN EVIDENCE, YOUR HONOR. I MEAN  
8 THE GUY DOES NOT GET A COPY OF EVERY REPORT THAT'S SOLD. HE  
9 HAS TO BE DOING SOME SORT OF DATA ANALYSIS, OR BASICALLY HE'S  
10 HAVING SOMEBODY DO IT FOR HIM AND THEN TELLING HIM, BUT AGAIN  
11 THIS IS HEARSAY WITHIN HEARSAY, AND ALSO IT DOESN'T COMPLY, AS  
12 WE'VE ALREADY DISCUSSED, WITH THE RULES FOR CALCULATIONS.

13                  THE COURT: I DON'T SEE THAT AS NECESSARILY THE SAME  
14 KIND OF CALCULATION THAT WAS AT ISSUE EARLIER WHICH WAS A  
15 PERCENTAGE MATTER. HE'S STATING FROM HIS POSITION AS PRESIDENT  
16 HOW MANY RECORDS -- WHAT WAS YOUR QUESTION?

17                  MR. ANDRE: REPORTS PER YEAR.

18                  THE COURT: ON AN ANNUAL BASIS. SO I WILL LET YOU  
19 LAY SOME FOUNDATION FOR -- IF HE'S RELYING ON BUSINESS RECORDS,  
20 SOME BUSINESS RECORD BASIS FOR THAT, THAT WOULD BE AN EXCEPTION  
21 TO HEARSAY AS WE TALKED ABOUT EARLIER. I THINK THAT'S WHERE  
22 YOU WERE HEADED WITH YOUR COMPILATION. TO ME THAT'S A  
23 DIFFERENT CALCULATION THAN THE ISSUE EARLIER.

24                  MR. GORSKI: EVEN IF IT'S A BUSINESS RECORD  
25 EXCEPTION, YOUR HONOR, THE BUSINESS RECORD DOESN'T EXIST IN

1 THIS CASE. SO HE CAN'T SAY IT'S A BUSINESS RECORD IF THEY  
2 HAVEN'T PRODUCED THE DOCUMENT.

3 THE COURT: MR. ANDRE.

4 MR. ANDRE: YOUR HONOR, HE HAS PERSONAL KNOWLEDGE OF  
5 THE WORKINGS OF THIS COMPANY. WHAT MR. GORSKI IS ASKING FOR IS  
6 THE EMAILS, AND HE SEES THE ANNUAL REPORT THAT MIGHT SAY THIS  
7 IS THE VOLUME WE DID THIS YEAR. HE FOUNDED THE COMPANY. HE'S  
8 FAMILIAR WITH THE VOLUME OF BUSINESS.

9 THE COURT: LET ME ASK YOU WHILE WE'RE AT THE BENCH  
10 HERE, DO YOU INTEND TO GO INTO OTHER THINGS OTHER THAN THIS  
11 FIGURE?

12 MR. ANDRE: JUST THAT QUESTION.

13 THE COURT: ALL RIGHT. I'M GOING TO OVERRULE THE  
14 OBJECTION AND ALLOW THAT QUESTION.

15 (IN OPEN COURT.)

16 BY MR. ANDRE:

17 Q. MR. KESSLER, FOR EVERY REPORT THAT BGC PREPARES, WHY  
18 DOESN'T IT JUST CALL THE COURT ON THE FRONT END AND CHECK THE  
19 SOCIAL SECURITY NUMBER?

20 A. WELL, AS I WAS MENTIONING THE REASON THAT THAT IS NOT  
21 PRACTICAL IS WE GET SOME PUSHBACK FROM THE COURTS EVEN WHEN  
22 WE'RE TRYING TO GET ADDITIONAL INFORMATION FROM THEM JUST ON  
23 DISPUTES.

24 SO WHEN YOU LOOK AT THE VOLUME OF SEARCHES THAT I  
25 JUST STATED WHICH WE DO TWO MILLION SEARCHES A YEAR, YOU'RE

1 GOING TO HAVE A PERCENTAGE OF THAT, A HIT RATIO WHICH IS  
2 BASICALLY ROUGHLY 10 PERCENT THAT SOMEBODY EVEN HAS A HIT.  
3 SO THAT GETS YOU DOWN TO 200,000 PARTICULAR RECORDS,  
4 AND OF THAT WE BASICALLY WILL HAVE, YOU KNOW, IF YOU THINK  
5 ABOUT ON 200,000 RECORDS HAVING TO CALL THE COURT ON EVERY  
6 SINGLE ONE OF THOSE, THAT WOULD INUNDATE THE COURT SYSTEM. IT  
7 WOULD BREAK THEIR INFRASTRUCTURE. THEY WOULD STOP TAKING OUR  
8 CALLS. THEY WOULD PASS LEGISLATION THAT BASICALLY SAYS THE  
9 BACKGROUND INDUSTRY CANNOT PLACE CALLS TO THE COURTS ANY  
10 LONGER. THEREFORE THE EMPLOYER AND THE CONSUMER LOSE IN THIS  
11 SCENARIO. SO IT'S JUST REALLY NOT FEASIBLE AT THE END OF THE  
12 DAY.

13 Q. IS THAT A DECISION BGC MAKES BECAUSE IT'S COSTING YOU  
14 MONEY?

15 A. ABSOLUTELY NOT. WE WOULD LOVE TO BE ABLE TO. WE WOULD  
16 BUILD THE STAFF TO DO THAT. AGAIN, IT'S AT THE COURT LEVEL.  
17 THE COURT CLERKS ARE ALREADY INUNDATED WITH OTHER ITEMS EVEN  
18 OUTSIDE OF CRIMINAL RECORDS, AND SO IT REALLY WOULD BREAK THEIR  
19 INFRASTRUCTURE.

20 Q. MR. KESSLER, THERE WAS A DISCUSSION OF RACE ON THE  
21 RECORDS; DO YOU RECALL THAT?

22 A. YES.

23 Q. IN YOUR EXPERIENCE DO EMPLOYERS COLLECT RACE DATA?

24 A. NO, ACTUALLY THE EEOC PROVIDES A GUIDANCE THAT THEY DO NOT  
25 RECOMMEND THAT DURING THE APPLICATION PROCESS OR THE HIRING.

1 Q. DID DART SUPPLY ANY INFORMATION TO YOU ABOUT MR. SMITH'S  
2 RACE WHEN IT ORDERED THIS REPORT?

3 A. THEY DID NOT.

4 Q. MR. KESSLER, THERE WAS SOME DISCUSSION ABOUT THE DEFENSE  
5 ATTORNEY IN THE CASE UP IN PHILADELPHIA; DO YOU RECALL THAT?

6 A. YES.

7 Q. IS THERE ANY REASON THAT INFORMATION WOULD HAVE HELPED YOU  
8 IN MAKING A MATCH OR A MISMATCH TO MR. SMITH?

9 A. NO.

10 Q. IS THERE ANY REASON SITTING HERE TODAY WHY YOU WOULD KNOW  
11 OR NOT KNOW ANYTHING ABOUT THAT DEFENSE ATTORNEY?

12 A. YEAH, I DON'T.

13 Q. MR. KESSLER, THERE WAS A DISCUSSION OF THE DISCLAIMER AT  
14 THE BOTTOM OF THE AOC RECORD; DO YOU RECALL THAT?

15 A. YES.

16 Q. DO YOU KNOW WHAT AOC STANDS FOR?

17 A. ADMINISTRATION OF THE COURTS.

18 Q. THERE WAS A DISCUSSION OF A DISCLAIMER; DO YOU RECALL  
19 THAT?

20 A. YES.

21 Q. DO YOU HAVE A CONTRACT WITH THE AOC?

22 A. YES, WE DO.

23 Q. IS THAT A CONTRACT TO SELL BULK DATA TO YOU?

24 A. YES.

25 Q. DOES THAT CONTRACT SPECIFY THAT IT WILL BE USED IN

1 CRIMINAL BACKGROUND CHECKS?

2 A. YES.

3 MR. ANDRE: NOTHING FURTHER, YOUR HONOR.

4 THE COURT: ANYTHING FURTHER FOR THIS WITNESS AT THIS  
5 TIME?

6 MR. GORSKI: A FEW BRIEF REBUTTAL QUESTIONS, YOUR  
7 HONOR.

8 THE COURT: ALL RIGHT.

9 RECROSS-EXAMINATION

10 BY MR. GORSKI:

11 Q. MR. KESSLER, FOLLOWING UP ON SOME OF THE QUESTIONS THAT  
12 MR. ANDRE ASKED, THERE ISN'T A SPECIFIC LINE ITEM ENTRY ON THE  
13 WEBPAGE WHEN A CUSTOMER IS ENTERING THE DATA TO RULE OUT WHAT  
14 STATES THE APPLICANT HAS BEEN TO; IS THAT CORRECT?

15 A. YOU MEAN WHERE THEY'VE ACTUALLY TRAVELED?

16 Q. YEAH, MR. ANDRE HAD ASKED YOU ABOUT WHETHER DART EVER  
17 INFORMED BGC THAT HE HAD NEVER BEEN TO PENNSYLVANIA. WHAT I'M  
18 TRYING TO CONFIRM WITH YOU IS THAT THE BGC WEBSITE DOESN'T HAVE  
19 AN AREA FOR DART TO ENTER WHETHER OR NOT SOMEBODY HAS BEEN TO A  
20 SPECIFIC STATE OR NOT; IS THAT CORRECT?

21 A. THAT'S CORRECT.

22 Q. NOW AM I ALSO CORRECT THAT AT LEAST IN PART CHECKING ON  
23 THE SOCIAL SECURITY NUMBER AT LEAST IN THE CONTEXT OF CALLING  
24 THE COURT AS IT WAS DONE HERE WITH MR. SMITH IS NOT PRACTICAL  
25 BECAUSE THE PRODUCT YOU'RE SELLING HERE IS ONE THAT YOU WANT TO

1 SELL INSTANTANEOUSLY?

2 A. WELL, YES, I MEAN THE SITUATION IS IS YOU'RE GOING TO BE  
3 LOOKING AT A DRAMATIC MORE LOAD TO THE COURTS BASED ON THE HITS  
4 THAT ARE GENERATED FROM A DATABASE SEARCH, YES.

5 Q. BUT IT'S ALSO AT LEAST IN PART NOT PRACTICAL BECAUSE  
6 YOU'RE TRYING TO SELL THIS PRODUCT IN A MATTER OF SECONDS?

7 A. YEAH, I MEAN IT'S AN INSTANT SEARCH, BUT THERE ARE NEEDS  
8 FOR THAT PARTICULAR SEARCH. SO IF YOU'RE TRYING TO GET AT  
9 BECAUSE IT'S INSTANT VERSUS SOMETHING ELSE, I DON'T KNOW WHERE  
10 YOU'RE GOING WITH THAT.

11 Q. DO YOU THINK AN EMPLOYER MIGHT FIND THE RACE OF THE  
12 APPLICANT HELPFUL IN RULING OUT THAT THE APPLICANT IS NOT THE  
13 PERPETRATOR?

14 A. AGAIN AS I MENTIONED, WE DON'T GET THAT INFORMATION, AND  
15 WE DEEM IT TO BE UNRELIABLE. SO, NO, I DON'T THINK AN EMPLOYER  
16 WOULD WANT TO MAKE A DECISION BASED --

17 Q. OKAY.

18 A. EXCUSE ME, LET ME FINISH IF I COULD. THE CONSUMER  
19 REPORTING AGENCY IS NOT REPORTING ACCURATE INFORMATION THAT WE  
20 CAN RELY ON.

21 Q. OKAY. AND DO YOU THINK AN EMPLOYER MIGHT FIND IT HELPFUL  
22 OR THE APPLICANT HIMSELF ONCE HE FINALLY RECEIVES HIS REPORT  
23 MIGHT FIND IT HELPFUL TO KNOW WHO A DEFENSE ATTORNEY WAS IN  
24 CONNECTION WITH THAT PARTICULAR CRIMINAL RECORD?

25 A. I DON'T SEE ANY VALUE IN THE DEFENSE INFORMATION.

1 MR. GORSKI: NO OTHER QUESTIONS, YOUR HONOR. THANK  
2 YOU.

3 THE COURT: ALL RIGHT. MR. KESSLER, YOU MAY STEP  
4 DOWN AT THIS TIME. CALL YOUR NEXT WITNESS, MR. GORSKI.

5 MR. GORSKI: PLAINTIFF CALLS TONY SMITH, THE  
6 PLAINTIFF.

7 THE CLERK: PLEASE RAISE YOUR RIGHT HAND TO TAKE THE  
8 OATH.

9 TONY W. SMITH,

10 HAVING BEEN DULY SWORN, WAS EXAMINED AND TESTIFIED AS FOLLOWS:

11 THE CLERK: IF YOU WILL HAVE A SEAT, PLEASE, AND  
12 STATE YOUR FULL NAME FOR THE RECORD AND SPELL YOUR LAST NAME  
13 ALSO.

14 THE WITNESS: TONY WILLIE SMITH.

15 MR. GORSKI: YOUR HONOR, MAY I HAVE ANOTHER MOMENT?  
16 I NEED TO PREPARE THE AUDIO RECORDINGS THAT ARE GOING TO BE  
17 PLAYED.

18 THE COURT: YOU MAY TAKE A MOMENT TO DO THAT, YES.  
19 SIR.

20 (PAUSE IN THE PROCEEDINGS.)

21 DIRECT EXAMINATION

22 BY MR. GORSKI:

23 Q. GOOD AFTERNOON, MR. SMITH. IF YOU COULD AGAIN FOR THE  
24 JURY STATE YOUR FULL NAME PLEASE?

25 A. TONY WILLIE SMITH.

1 Q. AND WHAT IS YOUR FULL DATE OF BIRTH?

2 A. 11-17-1979.

3 Q. ARE YOU MARRIED, MR. SMITH? DO YOU HAVE ANY CHILDREN?

4 A. YES, I HAVE A FIANCE. HER NAME IS STEPHANIE. WE'VE BEEN  
5 TOGETHER FOR EIGHT YEARS. WE PLAN ON GETTING MARRIED IN  
6 OCTOBER OF THIS YEAR, AND I ALSO HAVE A DAUGHTER. HER NAME IS  
7 SIDNEY, AND SHE'S THREE YEARS OLD.

8 Q. AND WHAT DOES YOUR WIFE DO?

9 A. SHE'S A RADIOLOGY TECHNICIAN.

10 Q. WHAT CITY DO YOU CURRENTLY LIVE IN?

11 A. IN AUSTELL.

12 Q. AUSTELL, GEORGIA?

13 A. AUSTELL, GEORGIA.

14 Q. WHAT OTHER PLACES PRIOR TO AUSTELL, GEORGIA HAVE YOU LIVED  
15 THROUGHOUT YOUR LIFE?

16 A. I STAYED IN CHICAGO. HIGH SCHOOL IN DETROIT. COLLEGE IN  
17 KNOXVILLE, TENNESSEE. COLLEGE IN ATLANTA, GEORGIA. I STAYED  
18 IN DECATUR, POWDER SPRINGS, GEORGIA, MARIETTA AND ALSO  
19 MABLETON, GEORGIA.

20 Q. AND WHERE DO YOUR MOTHER AND FATHER RESIDE?

21 A. CHICAGO, ILLINOIS.

22 Q. DO YOU HAVE ANY BROTHERS OR SISTERS?

23 A. YES, I HAVE TWO SISTERS, TONYA SMITH AND TOMARA SMITH.

24 Q. WHERE DO THEY LIVE?

25 A. CHICAGO, ILLINOIS.

1 Q. HOW MUCH TIME HAVE YOU SPENT LIVING IN THE STATE OF  
2 PENNSYLVANIA OR THE CITY OF PHILADELPHIA?

3 A. NONE AT ALL.

4 Q. HOW MANY TIMES HAVE YOU VISITED THE STATE OF PENNSYLVANIA  
5 OR THE CITY OF PHILADELPHIA?

6 A. NONE AT ALL.

7 Q. WHO DO YOU KNOW WHO LIVES IN THE STATE OF PENNSYLVANIA OR  
8 THE CITY OF PHILADELPHIA?

9 A. NO ONE.

10 Q. WHAT DO YOU DO FOR A LIVING?

11 A. I AM A TRUCK DRIVER.

12 Q. AND HOW LONG HAVE YOU BEEN DOING THAT?

13 A. FOR THREE YEARS, SINCE 2012.

14 Q. WHAT MADE YOU DECIDE TO GET INTO TRUCKING?

15 A. I GOT INTO TRUCKING BECAUSE IT WAS STEADY WORK. I CAN  
16 MAINTAIN MY BILLS, AND I CAN ALSO SUPPORT MY FAMILY WHEN I'M  
17 DRIVING, YOU KNOW, BEING A TRUCK DRIVER.

18 Q. WHERE DO YOU CURRENTLY WORK?

19 A. I CURRENTLY WORK AT A COMPANY CALLED DYNAMICS.

20 Q. OKAY. AND CAN YOU GIVE US A DESCRIPTION OF WHAT YOUR  
21 NORMAL JOB IS LIKE?

22 A. EVERY DAY ON A DAILY BASIS MONDAY THROUGH FRIDAY, I WAKE  
23 UP FROM 4:30 A.M. I DRIVE FROM SMYRNA, GEORGIA TO ATLANTA,  
24 GEORGIA TO DROP OFF OFFICE FURNITURE. FROM ATLANTA, GEORGIA  
25 BACK TO SMYRNA AND THAT'S MY MORNING RUN FROM 4:30 TO EIGHT

1 O'CLOCK.

2 I HAVE AN EVENING RUN I DO FROM 5:30 P.M. THAT'S FROM  
3 SMYRNA, GEORGIA TO BUFORD, GEORGIA, AND FROM BUFORD, GEORGIA  
4 BACK TO SMYRNA, GEORGIA FROM 5:30 TO EXACTLY 10 P.M.

5 Q. DID YOU MAKE YOUR MORNING RUN TODAY?

6 A. YES.

7 Q. ARE YOU GOING TO MAKE YOUR EVENING RUN AFTER COURT IS OVER  
8 TODAY?

9 A. YES.

10 Q. DO YOU REMEMBER APPLYING FOR EMPLOYMENT WITH A COMPANY  
11 CALLED THE DART TRANSIT COMPANY?

12 A. YES.

13 Q. CAN YOU EXPLAIN WHAT THE DART TRANSIT COMPANY IS?

14 A. IT'S A TRUCKING COMPANY. THEY HAVE VARIOUS OPTIONS AS FAR  
15 AS DRIVING LOCAL AND ALSO OVER THE ROAD. IT'S A TRUCKING  
16 COMPANY.

17 Q. OKAY. WE'LL GET TO THAT IN A MINUTE. DO YOU HAVE A  
18 RECOLLECTION OF WHEN YOU APPLIED FOR EMPLOYMENT?

19 A. SEPTEMBER 2012.

20 Q. THAT WAS EMPLOYMENT WITH DART, CORRECT?

21 A. YES.

22 Q. AND WHAT WAS THE POSITION YOU WERE APPLYING FOR?

23 A. A TRUCK DRIVER, A LOCAL TRUCK DRIVER.

24 Q. OKAY. NOW WHY DID YOU APPLY TO WORK AT DART?

25 A. I APPLIED BECAUSE THEY HAD A LOCAL POSITION, AND BASICALLY

1 I WANTED TO SEE MY FAMILY EVERY DAY. I HAD JUST HAD A LITTLE  
2 DAUGHTER, AND IT WAS A PERFECT FIT FOR ME SO I COULD SEE MY  
3 FAMILY ON A DAILY BASIS.

4 Q. NOW COULD YOU GIVE US A DESCRIPTION OF WHAT YOU MEAN WHEN  
5 YOU TALK ABOUT A LOCAL RUN OR A LOCAL POSITION?

6 A. WELL, LOCAL, THE LOCAL POSITION WAS I'LL BE HOME EVERY  
7 DAY. I DID A CERTAIN AMOUNT OF HOURS A DAY, AND I WAS ALWAYS  
8 HOME EVERY DAY. I DID NO TIME OVER THE ROAD.

9 THE OVER THE ROAD WAS WHEN YOU'RE OUT FOR THREE  
10 WEEKS, AND YOU'RE HOME FOR ONE WEEK, AND MY FAMILY JUST  
11 DIDN'T -- I COULDN'T DO THAT.

12 Q. OKAY. NOW, WHAT WAS YOUR CURRENT JOB WHEN YOU APPLIED FOR  
13 THE JOB AT DART?

14 A. I JUST GOT OUT OF CDL SCHOOL. I PAID ALL MY MONEY FOR THE  
15 CDL CLASS FOR CDL SCHOOL, AND I WASN'T EMPLOYED. I DIDN'T HAVE  
16 A JOB.

17 Q. OKAY. NOW, HOW DID YOU APPLY FOR THE JOB?

18 A. DID THE APPLICATION ONLINE.

19 Q. AND WHAT HAPPENED THEN AFTER YOU APPLIED ONLINE?

20 A. AFTER THE APPLICATION ONLINE, I WAS CALLED BY A RECRUITER  
21 NAMED PEGGY O'NEILL. WE ACTUALLY DID AN INTERVIEW OVER THE  
22 PHONE.

23 Q. OKAY. AND WAS THERE A NEXT STEP IN THE PROCESS?

24 A. YES, THE INTERVIEW WENT WELL. SHE TOLD ME THAT SHE WAS  
25 GOING TO GET ME IN CONTACT WITH THE MANAGER OVER AT DART, AND

1 FOR ME TO HEAD OVER THERE THE NEXT DAY TO ACTUALLY HAVE AN  
2 INTERVIEW WITH THE MANAGER ALSO.

3 Q. DID THAT INTERVIEW OCCUR?

4 A. YES, THE INTERVIEWED OCCURRED. WE HAD THE INTERVIEW. HE  
5 SAID IT WENT WELL. HE SAID I WAS A PERFECT CANDIDATE FOR THE  
6 JOB. HE TOLD ME THE NEXT STEP FOR ME TO DO WAS I WOULD ALSO  
7 GET A BACKGROUND CHECK, A PHYSICAL AND ALSO A DRUG TEST, AND  
8 FROM THERE I WOULD BE IN CONTACT WITH THE RECRUITER AGAIN.

9 Q. OKAY. AND THEN WHAT HAPPENED AFTER THAT WITH RESPECT TO  
10 YOUR APPLICATION?

11 A. I GOT A CALL FROM THE RECRUITER. SHE TOLD ME -- SHE TOLD  
12 ME THAT THE DRUG TEST WENT THROUGH GOOD. SHE TOLD ME THAT THE  
13 PHYSICAL TEST WENT THROUGH GOOD, AND I WAS TO GO AHEAD AND  
14 REPORT TO THE JOB, AND WE WAS JUST GOING TO WAIT ON THE  
15 BACKGROUND CHECK, BUT SHE TOLD ME THAT I COULD GO AHEAD AND  
16 REPORT TO THE JOB THE NEXT FOLLOWING DAY.

17 Q. OKAY. AND DID YOU REPORT TO THE JOB OR ATTEMPT TO REPORT  
18 TO THE JOB?

19 A. YES, I WOKE UP AT ABOUT 4:30 THAT MORNING. DART IS  
20 ACTUALLY LOCATED IN BUFORD, GEORGIA. SO I WOKE UP THAT MORNING  
21 AND TRIED TO BEAT TRAFFIC, DROVE TO BUFORD, GEORGIA, AND I  
22 RECEIVED A PHONE CALL FROM THE RECRUITER STATING THAT I LIED TO  
23 HER AND THAT I DIDN'T PASS MY BACKGROUND CHECK.

24 SHE TOLD ME I WASN'T BEING UP FRONT WITH HER, AND,  
25 YOU KNOW, SHE SAID I SHOULD HAVE BEEN UP FRONT, YOU KNOW, I

1 KIND OF ASKED HER WHAT WAS ON THE BACKGROUND CHECK, AND SHE  
2 TOLD ME SHE COULDN'T TELL ME, THAT I WOULD HAVE TO WAIT TO GET  
3 A RESPONSE BACK FROM THE BACKGROUND COMPANY.

4 Q. OKAY. NOW, WHEN DO YOU ESTIMATE YOU ACTUALLY RECEIVED  
5 THAT RESPONSE DOCUMENT BACK FROM THE BACKGROUND COMPANY?

6 A. MAYBE ABOUT A WEEK.

7 Q. SO JUST TO BE CLEAR, THIS WASN'T SOMETHING YOU LOOKED AT  
8 AT THE SAME TIME YOU WERE CALLED BY MS. O'NEILL?

9 A. NO.

10 Q. BY YOUR ESTIMATION IT WAS A WEEK LATER?

11 A. YES.

12 Q. NOW, CAN YOU DESCRIBE HOW IT FELT TO HAVE TO WAIT TO  
13 RECEIVE THIS INFORMATION?

14 A. IT REALLY HURT. I FELT VERY DEFLATED. I DIDN'T KNOW  
15 WHAT OTHER STEPS TO DO. MY FAMILY WAS DEPENDING ON ME. IT  
16 JUST -- IT REALLY HURT BAD. I DIDN'T KNOW WHAT OTHER STEPS TO  
17 TAKE, YOU KNOW, JUST TO GO BACK HOME AND JUST WAIT, YOU KNOW,  
18 JUST WAIT IT OUT FOR A PIECE OF PAPER WITH SOME INFORMATION ON  
19 IT.

20 Q. NOW, DID YOU DO ANYTHING WHILE YOU WERE WAITING FOR THE  
21 LETTER?

22 A. WELL, THE FIRST THING I DID WAS I ACTUALLY GOT A  
23 REPORT FROM THE COUNTY THAT I STAY IN. I ACTUALLY DID A  
24 BACKGROUND CHECK ON MY OWN SELF JUST TO SEE IF I HAD ANYTHING  
25 THAT JUST POPPED UP FROM MY COUNTY. SO THAT'S ACTUALLY WHAT I

1 DID FIRST.

2 Q. OKAY. AND WHAT WAS THE RESULT OF THAT?

3 A. NOTHING HAD CAME UP. THE WHOLE REPORT WAS CLEAR. THEY  
4 TOLD ME THEY DIDN'T HAVE ANYTHING ON ME AFTER I RECEIVED THE  
5 REPORT.

6 Q. OKAY. NOW, THE TIME YOU WERE APPLYING FOR THE DART JOB,

7 DID YOU HAVE ANY OTHER JOB OPTIONS?

8 A. YES, I HAD A COUPLE OVER-THE-ROAD JOBS, BUT I KIND OF HAD  
9 THEM ON HOLD BECAUSE I REALLY WANTED TO DO THE LOCAL RUNS. I  
10 REALLY WANTED TO BE A PART OF DART.

11 Q. NOW, AT SOME POINT IN TIME DO YOU RECALL ACTUALLY  
12 RECEIVING THE DOCUMENT IN THE MAIL THAT WE'VE JUST BEEN TALKING  
13 ABOUT, THE BACKGROUND REPORT?

14 A. YES.

15 Q. MR. SMITH, I'D LIKE YOU TO GRAB THAT BINDER, AND I'D LIKE  
16 YOU TO TURN TO THE SECTION THAT'S BEEN MARKED NUMBER 5.

17 A. OKAY.

18 Q. AND DO YOU RECOGNIZE THAT DOCUMENT AS THE DOCUMENT THAT  
19 YOU RECEIVED IN THE MAIL FROM THE DEFENDANT, THE CRIMINAL  
20 BACKGROUND CHECK?

21 A. YES.

22 MR. GORSKI: YOUR HONOR, AT THIS TIME I'D LIKE TO  
23 ADMIT INTO EVIDENCE THE DOCUMENT THAT'S BEEN PREVIOUSLY  
24 DESIGNATED AS PLAINTIFF'S EXHIBIT 5.

25 MR. ANDRE: YOUR HONOR, WE WOULD RENEW OUR OBJECTION

1 FROM THE SUBMISSION WITH THE PRETRIAL ORDER WHICH IS THIS  
2 APPEARS TO BE AN INCOMPLETE DOCUMENT TO US. IT DOESN'T MATCH,  
3 FOR EXAMPLE, THE DOCUMENT WE WERE LOOKING AT J-2. I BELIEVE IT  
4 MIGHT BE MISSING THE FIRST PAGE.

5 SUBJECT TO THAT, I HAVE NO CONCERNs ABOUT  
6 AUTHENTICITY.

7 MR. GORSKI: YOUR HONOR, MAY I RESPOND TO THAT?

8 THE COURT: YES.

9 MR. GORSKI: THE SIMPLE FACT THAT IT MAY NOT BE A  
10 COMPLETE DOCUMENT DOESN'T MEAN THAT IT'S NOT A DOCUMENT THAT  
11 THE DEFENDANT DOESN'T RECOGNIZE PORTIONS OF AND DOESN'T PLAY A  
12 ROLE IN HIS EXPERIENCE HERE.

13 THE COURT: WHAT YOU'VE TENDERED IS WHAT YOU HAVE AS  
14 YOUR COMPLETE EXHIBIT?

15 MR. GORSKI: THAT'S WHAT HE'S GOT, YOUR HONOR, YES.

16 THE COURT: I'LL OVERRULE THE OBJECTION AND ALLOW  
17 PLAINTIFF'S EXHIBIT 5 INTO EVIDENCE.

18 MR. GORSKI: MAY I PROJECT THE EXHIBIT, YOUR HONOR?

19 THE COURT: YOU MAY.

20 BY MR. GORSKI:

21 Q. SO, MR. SMITH, WHAT YOU'RE LOOKING AT IN THE BINDER THERE  
22 IS THE SAME THING AS WHAT WE SEE ON THE SCREEN HERE?

23 A. YES.

24 Q. WHAT DO YOU SEE ON THE REPORT?

25 A. I SEE MY FIRST NAME, LAST NAME, DATE OF BIRTH, AND I ALSO

1 SEE INFORMATION, CRIMINAL INFORMATION THAT DOESN'T PERTAIN TO  
2 ME AT ALL.

3 Q. LET'S JUST BE CLEAR, DO ANY OF THE RECORDS THAT YOU SEE ON  
4 THAT DOCUMENT BELONG TO YOU?

5 A. NO, SIR.

6 Q. NOW AFTER YOU GOT A COPY OF THIS REPORT BY YOUR ESTIMATE A  
7 WEEK LATER, WHAT DID YOU DO THEN?

8 A. I ACTUALLY DID A BACKGROUND CHECK WITH THE CITY OF  
9 PHILADELPHIA, AND I ALSO DID A BACKGROUND CHECK WITH THE CLERK  
10 OF COURTS IN PHILADELPHIA.

11 I RECEIVED THE BACKGROUND REPORT, AND WHEN I TALKED  
12 TO THE CLERK -- WELL, FIRST, WHEN I GOT THE INFORMATION BACK  
13 FROM THE JAIL, THEY TOLD ME THAT THEY DIDN'T HAVE ANY RECORD OF  
14 MY NAME WITH MY SOCIAL SECURITY NUMBER. THEN I ALSO TALKED TO  
15 THE CLERK OF COURTS --

16 MR. ANDRE: YOUR HONOR, I NEED TO OBJECT BEFORE THE  
17 WITNESS GOES ANY FURTHER WITH TESTIMONY THAT IS UNQUESTIONABLY  
18 HEARSAY.

19 MR. GORSKI: YOUR HONOR, I DISAGREE WITH THAT. THE  
20 TESTIMONY IS NOT BEING SUBMITTED FOR THE TRUTH OF THE MATTER  
21 ASSERTED. IT'S BEING SUBMITTED FOR THE AFFECT ON HERE AND  
22 PLAINTIFF'S CLAIMS FOR DAMAGES IN THIS CASE.

23 THE COURT: YOU'RE GOING TO NEED TO APPROACH ON  
24 THAT.

25 (AT THE BENCH)

1                   THE COURT: I NEED TO UNDERSTAND WHY IT'S NOT BEING  
2 OFFERED FOR THE TRUTH OF THE MATTER, THE WAY YOU POSED IT TO  
3 HIM.

4                   MR. GORSKI: YOUR HONOR, I MEAN AT THIS POINT IT'S  
5 VIRTUALLY UNDISPUTED THAT THESE RECORDS DO NOT BELONG. THEIR  
6 OWN WITNESSES ADMITTED THIS. I'M NOT PUTTING MR. SMITH UP TO  
7 PROVE THAT THESE DON'T BELONG TO HIM.

8                   WHAT I'M TRYING TO DO IS GIVE THE JURY AN IDEA OF  
9 WHAT MR. SMITH WENT THROUGH AND HIS PSYCHOLOGY OF WHAT HE WENT  
10 THROUGH IN CONNECTION WITH GETTING A REPORT, TRYING TO FIGURE  
11 OUT WHAT'S GOING ON BEING TOLD THAT THESE DON'T BELONG TO HIM,  
12 YOU KNOW, HE'S CONFUSED AND ANXIOUS ABOUT WHAT TO DO NEXT.

13 THERE IS --

14                   THE COURT: THAT'S FINE, BUT THE QUESTION YOU'VE  
15 POSED COULD SUGGEST THAT BGC SHOULD HAVE DONE WHAT HE DID WHICH  
16 IS CALL THEM UP AND ASK FOR THAT. THAT'S WHAT I THINK THE  
17 OBJECTION IS BASED ON. SO I'M GOING TO SUSTAIN THE OBJECTION.

18                   YOU CAN ASK THOSE KIND OF QUESTIONS YOU TALKED ABOUT,  
19 THAT'S CERTAINLY ALL RELEVANT TO HIM, BUT THE QUESTION AS POSED  
20 I THINK THE OBJECTION SHOULD BE SUSTAINED BECAUSE IT WOULD BE  
21 HEARSAY. THAT'S THE ONLY THING I HEARD IT WAS BEING OFFERED  
22 FOR WAS TO SHOW YOU COULD GET THAT INFORMATION IF YOU CALLED  
23 THE CLERK.

24                   MR. GORSKI: OKAY. THANK YOU, YOUR HONOR.

25                   (IN OPEN COURT.)

1                   THE COURT: PROCEED WITH YOUR NEXT QUESTION, MR.

2 GORSKI. THE OBJECTION IS SUSTAINED.

3 BY MR. GORSKI:

4 Q. WHY WERE YOU CALLING THE COURTS?

5 A. THE REASON WHY I CALLED THE COURTS IS BECAUSE I WAS  
6 CONFUSED WHY -- I WAS JUST CONFUSED AS TO HOW MY NAME HAD GOT  
7 MIXED UP. I NEVER HAD A SITUATION HAPPEN LIKE THIS BEFORE.

8 Q. OKAY. NOW, IN ADDITION TO WHAT YOU ALREADY DESCRIBED, DID  
9 YOU DO ANYTHING ELSE AFTER YOU RECEIVED THE REPORT?

10 A. YES, I ACTUALLY CALLED THE BACKGROUND CHECK COMPANY.

11 Q. OKAY. WE'LL GET TO THAT IN A MINUTE. DO YOU RECALL DOING  
12 ANYTHING ELSE PRIOR TO THAT?

13 A. WELL, I CALLED THE RECRUITER BACK, AND I TALKED TO HER,  
14 AND SHE TOLD ME -- I WAS LETTING HER KNOW WHAT WAS GOING ON  
15 WITH THE INFORMATION THAT I HAD FOUND OUT, AND SHE TOLD ME  
16 BASICALLY THERE'S NOTHING THAT SHE CAN DO, AND THAT THE  
17 BACKGROUND COMPANY WOULD HAVE TO DO EVERYTHING, THAT I COULDN'T  
18 DO NOTHING, THAT THE BACKGROUND COMPANY, YOU KNOW, THAT I WOULD  
19 HAVE TO TAKE IT UP WITH THE BACKGROUND COMPANY.

20 Q. OKAY. AND WHEN YOU'RE TALKING ABOUT THE BACKGROUND  
21 COMPANY, YOU'RE TALKING ABOUT THE DEFENDANT HERE?

22 A. YES.

23 Q. NOW, YOU JUST SAID THAT YOU CALLED THE BACKGROUND COMPANY  
24 MEANING THE DEFENDANT; IS THAT CORRECT?

25 A. YES.

1 Q. WHAT DID YOU DO?

2 A. I CALLED AND THE FIRST TIME I RECEIVED A VOICEMAIL. I  
3 CALLED A SECOND TIME AND NO ONE ANSWERED. THEN I CALLED A  
4 THIRD TIME, AND I ACTUALLY TALKED TO SOMEBODY. I TALKED TO A  
5 REPRESENTATIVE.

6 Q. OKAY. NOW, WE'RE GOING TO GET TO THAT CALL IN A MINUTE,  
7 BUT IN ADDITION TO MAKING A PHONE CALL DID YOU DO ANYTHING ELSE  
8 WITH RESPECT TO COMMUNICATING WITH THE DEFENDANT?

9 A. AFTER TWO, I GOT THE TWO BACKGROUND CHECKS FROM  
10 PHILADELPHIA.

11 Q. DO YOU REMEMBER DOING ANYTHING IN TERMS OF SENDING THEM A  
12 LETTER OR A DOCUMENT OR SOMETHING?

13 A. WELL, YES, AFTER I RECEIVED THE LETTER BACK, I ACTUALLY  
14 DID A DISPUTE, AND I SENT THE BACKGROUND CHECKS COMPANY A  
15 DISPUTE LETTER BACK.

16 Q. WHEN YOU SAY SENT, WHAT DO YOU MEAN YOU DID?

17 A. WELL, I ACTUALLY FAXED IT BACK TO THEN.

18 MR. GORSKI: OKAY. AT THIS TIME, YOUR HONOR, I WOULD  
19 LIKE TO PLAY THE RECORDING OF THE CALL THAT MR. SMITH JUST  
20 IDENTIFIED. AGAIN IT'S A JOINT EXHIBIT. MAY I DO SO?

21 THE COURT: YES. LET ME INSTRUCT THE JURORS THAT  
22 YOU'RE GOING TO HEAR RECORDED CONVERSATIONS, AND THIS IS BEING  
23 ADMITTED AS AN EXHIBIT. SO YOU MAY CONSIDER IT AS YOU WOULD  
24 OTHER EVIDENCE AS PRESENTED.

25 JUST IDENTIFY THE EXHIBIT NUMBER, AND THE CONTENT,

1 COUNSEL, WILL NOT BE RECORDED BY THE COURT REPORTER SINCE WE  
2 HAVE IT AS AN EXHIBIT.

3 MR. GORSKI: AND I'LL STATE FOR THE RECORD AND FOR  
4 THE ASSISTANCE OF DEFENSE COUNSEL, THE RECORDING THAT IS GOING  
5 TO BE PLAYED RIGHT NOW IS THE RECORDING THAT WAS PREVIOUSLY  
6 MARKED FOR PURPOSES OF LITIGATION AS BGC-178.

7 MR. ANDRE: WHICH WILL BE JOINT EXHIBIT 5, YOUR  
8 HONOR.

9 (AUDIO RECORDING WAS PLAYED IN OPEN COURT.)

10 BY MR. GORSKI:

11 Q. OKAY. NOW, MR. SMITH, DO YOU RECOGNIZE YOUR VOICE ON THAT  
12 RECORDING?

13 A. YES.

14 Q. IS THIS THE FIRST CALL THAT YOU PLACED TO THE DEFENDANT  
15 ABOUT THE ERRONEOUS REPORT?

16 A. YES.

17 Q. WHAT WERE YOUR FEELINGS ABOUT THAT CALL?

18 A. I FELT LIKE THE REPRESENTATIVE THAT WAS ON THE PHONE, THEY  
19 KIND OF TOOK IT LIGHT. I WANTED THEM TO HURRY UP AND GET ONTO  
20 IT AND MAKE THINGS HAPPEN, BUT IT FELT LIKE THEY WERE  
21 PROCRASTINATING LIKE SHE WAS JUST GOING TO PUT IT TO THE SIDE  
22 AND GET TO IT LATER. I JUST DIDN'T HAVE THE CONFIDENT LIKE  
23 THEY WAS GOING TO TAKE CARE OF IT. SO I JUST KIND OF FELT  
24 LIKE, YOU KNOW, THEY REALLY DIDN'T CARE.

25 Q. HOW DID YOU FEEL ABOUT HER STATEMENT THAT IT MAY TAKE UP

1 TO 30 DAYS?

2 A. I MEAN 30 DAYS, I JUST DIDN'T UNDERSTAND HOW, YOU KNOW,  
3 THEY HAD ALL MY INFORMATION, AND THEY COULD STILL CONFUSE ME,  
4 AND 30 DAYS JUST SEEMED LIKE IT WAS TOO LONG.

5 Q. NOW, DID YOU MAKE ANY OTHER TELEPHONE CALLS TO THE  
6 DEFENDANT IN CONNECTION WITH THIS?

7 A. YES, I CALLED THEM AGAIN.

8 Q. NOW WHEN YOU SAY YOU CALLED THEM AGAIN, WHEN DID YOU CALL  
9 THEM AGAIN?

10 A. THE SAME DAY.

11 MR. GORSKI: OKAY. YOUR HONOR, AT THIS TIME I'D LIKE  
12 TO PLAY THE RECORDING THAT'S BEEN MARKED J-6.

13 THE COURT: YOU MAY.

14 (AUDIO RECORDING WAS PLAYED IN OPEN COURT.)

15 BY MR. GORSKI:

16 Q. MR. SMITH, DO YOU RECOGNIZE YOUR VOICE ON THAT CALL?

17 A. YES.

18 Q. IS THAT THE SECOND CALL THAT YOU MADE TO THE DEFENDANT?

19 A. YES.

20 Q. AND THIS WAS A CALL THAT YOU MADE AGAIN ON THE SAME DAY?

21 A. YES.

22 Q. NOW, WHY DID YOU CALL AGAIN?

23 A. BECAUSE I STILL WAS CONFUSED, I WAS BAFFLED ALSO. I  
24 REALLY DIDN'T UNDERSTAND WHAT WAS GOING ON. SO I DECIDED TO  
25 CALL AGAIN, AND WHEN I DID CALL, NOBODY ANSWERED THAT DAY. I

1 LEFT A VOICEMAIL, SO THEY CALLED ME THE NEXT DAY.

2 Q. YOU'RE TALKING ABOUT ANOTHER CALL?

3 A. YES.

4 MR. GORSKI: YOUR HONOR, AT THIS TIME I'D LIKE TO  
5 PLAY THE THIRD RECORDING WHICH HAS BEEN MARKED J-7.

6 THE COURT: YOU MAY.

7 (AUDIO RECORDING WAS PLAYED IN OPEN COURT.)

8 BY MR. GORSKI:

9 Q. MR. SMITH, DO YOU RECOGNIZE YOUR VOICE ON THAT CALL?

10 A. YES.

11 Q. AND, AGAIN, IS THIS AS YOU RECOLLECT THE THIRD  
12 CONVERSATION YOU HAD WITH THE DEFENDANT?

13 A. YES.

14 Q. OKAY. AM I CORRECT TO SUMMARIZE THAT YOU HAD THREE  
15 TELEPHONE CALLS WITH THE DEFENDANT IN TWO DAYS OVER THE  
16 BACKGROUND CHECK; IS THAT RIGHT?

17 A. YES.

18 Q. OKAY. NOW, WHY DID YOU CALL THREE TIMES?

19 A. I CALLED THREE TIMES BECAUSE I WAS STARTING TO GET ANGRY.  
20 THEY KEPT GIVING ME THE SAME ANSWER. THEY REALLY COULDN'T GIVE  
21 ME A COMPLETE TIMEFRAME WHEN IT WAS GOING TO BE, YOU KNOW, THE  
22 FIRST PERSON SAID 30 DAYS. THE SECOND PERSON SAID ONE TO TWO  
23 WEEKS. THE THIRD PERSON SAID MAYBE A COUPLE OF DAYS.

24 I REALLY DIDN'T KNOW WHAT THE REAL ANSWER WAS, SO I  
25 WAS CONFUSED AGAIN, AND I WAS JUST GETTING VERY HIGHLY UPSET.

1 I REALLY DIDN'T KNOW WHAT ELSE TO DO AFTER THAT, BECAUSE THESE  
2 GUYS HAVE THE POWER TO, YOU KNOW, FOR ME TO GET HIRED OR NOT.  
3 THIS IS MY FIRST TRUCKING JOB, AND I REALLY WANTED IT.

4 SO I JUST WANTED SOME ANSWERS ON WHY THEY ACTUALLY  
5 JUST COULD'NT PUT MY SOCIAL SECURITY NUMBER WITH THE  
6 INFORMATION THAT THEY HAD, AND I REALLY DIDN'T UNDERSTAND WHY  
7 IT COULD HAVE JUST TOOK THEM LIKE ONE OR TWO DAYS.

8 Q. OKAY. NOW, MR. SMITH, YOU SAID THAT YOU WERE GETTING  
9 UPSET?

10 A. YES.

11 Q. CAN YOU DESCRIBE WHAT EFFECTS THIS WAS HAVING ON YOU AT  
12 THIS POINT?

13 A. WELL, IT WAS JUST TO THE POINT TO WHERE MY FAMILY WAS --  
14 MY FAMILY WAS DISAPPOINTED, YOU KNOW, MY MOM, DAD, MY WIFE,  
15 EVERYBODY WAS DISAPPOINTED, YOU KNOW, I THOUGHT I HAD A JOB,  
16 THEN I DIDN'T HAVE A JOB, AND, YOU KNOW, I WORK OUT ON A DAILY  
17 BASIS. I WASN'T WORKING OUT. I REALLY DIDN'T HAVE AN APPETITE  
18 UNTIL I CAN GET THIS STUFF RESOLVED. IT JUST WORE ME DOWN. IT  
19 WORE ME DOWN.

20 Q. OKAY. NOW, AT SOME POINT LATER, YOU DID GET A JOB WITH  
21 DART?

22 A. YES.

23 Q. COULD YOU ESTIMATE HOW LONG IT WAS BETWEEN THE TIME THAT  
24 YOU RECEIVED THAT INITIAL PHONE CALL FROM THE RECRUITER TELLING  
25 YOU ABOUT THE REPORT TO WHEN YOU CAN ESTIMATE THAT YOU STARTED

1 WORKING WITH DART?

2 A. THE TIMEFRAME?

3 Q. YEAH, ABOUT HOW LONG WAS THAT TIME PERIOD?

4 A. IT SEEMED LIKE TWO WEEKS OR A WEEK.

5 Q. OKAY. AND GIVE US AN IDEA OF HOW MUCH ATTENTION YOU WERE

6 GIVING TO THIS PROBLEM WHILE WE WERE IN THAT TIME PERIOD THAT

7 YOU JUST ESTIMATED?

8 A. I GAVE IT ATTENTION EVERY DAY I COULD HAVE, EVERY MINUTE,  
9 EVERY HOUR, I WAS CALLING COURTS, CALLING PHILADELPHIA, CALLING  
10 GEORGIA COURTS, COMING TO THE COURTS, MEETING WITH CLERKS IN  
11 GEORGIA, CHECKING EMAILS, CHECKING FAXES. THIS WAS ON A DAILY  
12 BASIS I WAS DOING SOMETHING TO TRY TO GET THIS RESOLVED.

13 Q. OKAY. NOW, LOOKING AT THAT TIME PERIOD AGAIN, CAN YOU  
14 GIVE US A DESCRIPTION OF WHAT AFFECT THIS HAD ON YOUR DAILY  
15 HABITS?

16 A. A LOT OF MY DAILY HABITS WAS ON HOLD. I WASN'T MAKING NO  
17 MONEY. REALLY COULDN'T DO TOO MUCH. WASN'T WORKING OUT. THE  
18 LOSS OF APPETITE. I JUST HAD A LOT OF STUFF GOING ON. I  
19 COULDN'T REALLY DO DAILY THINGS UNTIL I GOT THIS ISSUE  
20 RESOLVED.

21 Q. WHAT KIND OF AFFECT DID IT HAVE ON YOUR FAMILY LIFE?

22 A. THEY WAS UPSET. I MEAN I KIND OF WAS DISTANT FROM THEM.  
23 I KIND OF HAD AN ATTITUDE, YOU KNOW, BECAUSE I WANTED THIS  
24 STUFF TO HAPPEN SO BAD, AND, YOU KNOW, I KIND OF DID THEM  
25 WRONG, YOU KNOW, BECAUSE I WAS JUST TRYING TO GET THIS OVER MY

1 HEAD. SO MY FAMILY KIND OF HAD AN EFFECT ON IT ALSO.

2 Q. OKAY. AND CAN YOU TELL ME WHETHER OR NOT THE PROCESS IN  
3 YOUR RECOLLECTION CAUSED YOU ANY EMBARRASSMENT OR HUMILIATION?

4 A. YEAH, I WAS VERY EMBARRASSED TELLING SO MANY PEOPLE THAT I  
5 HAD A JOB LINED UP, AND THEY WOULD SEE ME, YOU KNOW, AT HOME,  
6 THEY WOULD SAY HEY, I THOUGHT YOU HAD A JOB. WELL, YOU KNOW,  
7 SOME STUFF HAPPENED, AND, YOU KNOW, SO I FELT VERY EMBARRASSED  
8 ABOUT WHAT WAS GOING ON. A LOT OF PEOPLE THOUGHT I LIED, YOU  
9 KNOW.

10 Q. OKAY. DO YOU HAVE A RECOLLECTION OF EVER RECEIVING AN  
11 APOLOGY FROM THE DEFENDANT?

12 A. I RECEIVED AN APOLOGY -- WELL, ACTUALLY I GOT A CALL FROM  
13 DART, THE COMPANY DART, THE RECRUITER PEGGY O'NEILL, SHE  
14 ACTUALLY CALLED ME AND JUST TOLD ME THAT SHE WAS SORRY FOR  
15 EVERYTHING, YOU KNOW, THAT SHE SAID EVERYTHING THAT HAD EXPIRED  
16 AND EVERYTHING, AND, YOU KNOW, THAT WAS OKAY, I ACCEPTED HER  
17 APOLOGY AND, YOU KNOW, SHE TOLD ME CONGRATULATIONS, EVERYTHING  
18 WENT THROUGH, AND I ENDED UP GETTING THE JOB, BUT AS FAR AS THE  
19 BACKGROUND COMPANY, I NEVER -- DIDN'T RECEIVE NOTHING. I  
20 DIDN'T RECEIVE A THANK YOU OR A SORRY FOR PUTTING YOU THROUGH  
21 THIS OR A COURTESY CALL OR NOTHING. I DIDN'T RECEIVE ANYTHING  
22 FROM THEM.

23 MR. GORSKI: I HAVE NO MORE QUESTIONS.

24 THE COURT: MR. ANDRE, YOU MAY CROSS-EXAMINE.

25 MR. ANDRE: MAY I HAVE JUST A MOMENT FOR THE

1 TECHNOLOGY SWITCHOVER?

2 THE COURT: ALL RIGHT.

3 (PAUSE IN THE PROCEEDINGS.)

4 CROSS-EXAMINATION

5 BY MR. ANDRE:

6 Q. GOOD AFTERNOON, MR. SMITH.

7 A. GOOD AFTERNOON.

8 Q. WE'VE MET BEFORE, RIGHT?

9 A. YES, A COUPLE OF MONTHS AGO.

10 Q. I TOOK YOUR DEPOSITION IN THIS CASE; DO YOU REMEMBER THAT?

11 A. YES.

12 THE COURT: MR. SMITH, WILL YOU PULL THAT MICROPHONE  
13 CLOSE TO YOU. JUST BE SURE TO SPEAK UP SO WE CAN ALL HEAR YOU.

14 THANK YOU, SIR.

15 BY MR. ANDRE:

16 Q. MR. SMITH, YOUR FULL FIRST NAME IS JUST TONY, RIGHT?

17 A. YOUR FIRST NAME IS ANTHONY?

18 A. NO.

19 Q. WHAT IS YOUR FULL FIRST NAME?

20 A. TONY WILLIE SMITH.

21 Q. YOUR FIRST NAME IS JUST TONY, CORRECT?

22 A. MY FIRST NAME IS TONY, YES.

23 Q. NOT ANTHONY?

24 A. NO.

25 Q. YOUR DAD'S NAME IS ALSO TONY SMITH?

1 A. CORRECT.

2 Q. BUT YOUR DAD DOESN'T HAVE A MIDDLE NAME?

3 A. NO.

4 Q. SO HIS PERSONAL RECORDS LIKE HIS BANK RECORDS, HIS PUBLIC  
5 RECORDS, THOSE WOULD JUST SAY TONY SMITH?

6 A. I HAVE NO IDEA.

7 Q. AND YOU'RE ACTUALLY TONY SMITH, JR., RIGHT?

8 A. YES.

9 Q. AND YOU DON'T ALWAYS GO BY TONY SMITH, JR., THOUGH?

10 A. NO, I HAVE TO PUT MY MIDDLE NAME THAT WAY I DON'T GET  
11 CONFUSED. I MEAN I ALWAYS PUT MY MIDDLE NAME.

12 Q. BUT SOMETIMES YOU PUT JUNIOR SOMETIMES YOU DON'T PUT  
13 JUNIOR?

14 A. MOST OF THE TIMES I PUT JUNIOR. IT'S USUALLY THREE  
15 SLASHES ACROSS. I DON'T PUT THE J-R.

16 Q. SO YOU'RE REALLY TONY SMITH, III?

17 A. YES, TONY WILLIE SMITH, III.

18 Q. BUT YOU DON'T ALWAYS GO BY THE THIRD EITHER?

19 A. YES, I DO.

20 Q. DID YOU PUT THE THIRD ON YOUR REPORT TO DART, YOUR  
21 APPLICATION TO DART?

22 A. I'M NOT SURE.

23 MR. GORSKI: OBJECT.

24 THE COURT: OVERRULED.

25 BY MR. ANDRE:

1 Q. MR. SMITH, YOU APPLIED TO DART'S TRAINING PROGRAM IN EARLY  
2 SEPTEMBER 2012, CORRECT?

3 A. I DON'T KNOW IF IT WAS EARLY, BUT I DO REMEMBER IT WAS  
4 SEPTEMBER 2012.

5 Q. AND YOU WERE TELLING MR. GORSKI YOU DIDN'T HAVE A JOB AT  
6 THE TIME?

7 A. CORRECT.

8 Q. SO YOU DIDN'T LEAVE ANOTHER OPPORTUNITY TO GO WORK FOR  
9 DART?

10 A. NO.

11 Q. AND YOU FILLED OUT A WRITTEN APPLICATION FOR DART?

12 A. YES.

13 Q. YOU FILLED THAT OUT ON SEPTEMBER 11TH, 2012?

14 A. I'M NOT SURE.

15 MR. ANDRE: YOUR HONOR, MAY I APPROACH?

16 THE COURT: YOU MAY.

17 BY MR. ANDRE:

18 Q. MR. SMITH, I'VE HANDED YOU A DOCUMENT THAT'S BEEN MARKED  
19 AS DEFENDANT'S EXHIBIT 10. I REALIZE IT'S A LITTLE HARD TO  
20 READ, BUT CAN YOU TELL WHAT THIS DOCUMENT IS?

21 A. IT LOOKS LIKE A DART CERTIFICATION APPLICATION. IT SAYS  
22 DART CERTIFICATION QUESTIONNAIRE.

23 Q. DO YOU RECOGNIZE YOUR HANDWRITING ON THIS DOCUMENT?

24 A. YES.

25 MR. ANDRE: YOUR HONOR, WE WOULD MOVE FOR THE

1 ADMISSION OF DEFENDANT'S EXHIBIT 10, MR. SMITH'S APPLICATION.

2 MR. GORSKI: YOUR HONOR, WE OBJECT. COULD WE MEET AT  
3 SIDEBAR?

4 THE COURT: YES, YOU MAY.

5 (AT THE BENCH)

6 MR. GORSKI: I OBJECTED TO THIS EXHIBIT AT THE OUTSET  
7 BECAUSE THERE WAS AN IRRELEVANT PURPOSE FOR THE DOCUMENT, AND  
8 WE HAD IT AT THE FINAL PRETRIAL CONFERENCE, AND MR. ANDRE SAID  
9 HE DOESN'T THINK --

10 THE COURT: YES, THAT'S WHAT I THOUGHT, TOO.

11 MR. GORSKI: AGAIN I FEEL LIKE WE DESERVE KIND OF A  
12 PROFFER AT THIS POINT --

13 MR. ANDRE: FOR EXHIBITS FOR MR. SMITH, I INTEND TO  
14 SHOW HIM WHAT THE DOCUMENT IS SO HE CAN LOOK THROUGH IT SO WE  
15 CAN CONFIRM THAT IT DOES SAY THE THINGS THAT HE DOESN'T RECALL.  
16 HE SAYS I DON'T REMEMBER WHAT I PUT ON IT, I DON'T REMEMBER THE  
17 DATE I DID IT.

18 THE COURT: WHAT'S THE RELEVANCE OF HIM GOING THROUGH  
19 THIS?

20 MR. ANDRE: FOR THE TIMELINE.

21 THE COURT: I AGREE TIMELINE IS IMPORTANT. CAN YOU  
22 SHOW IT TO HIM AND SEE IF IT REFRESHES HIS RECOLLECTION. I  
23 DON'T KNOW THAT THE CONTENT IS RELEVANT, BUT CERTAINLY THE  
24 TIMEFRAME IS.

25 MR. ANDRE: SURE.

1 ( IN OPEN COURT. )

2 BY MR. ANDRE:

3 Q. MR. SMITH, I ASKED YOU A MOMENT AGO IF YOU APPLIED TO DART  
4 AND FILLED OUT A WRITTEN APPLICATION ON SEPTEMBER 11TH, AND YOU  
5 SAID YOU DIDN'T RECALL. DO YOU REMEMBER THAT TESTIMONY?

6 A. A FEW SECONDS AGO?

7 Q. YES.

8 A. YES.

9 Q. IF YOU REVIEW THE DOCUMENT THAT I'VE PLACED IN FRONT OF  
10 YOU AS DEFENDANT'S EXHIBIT 10, DOES THIS REFRESH YOUR  
11 RECOLLECTION ABOUT WHETHER YOU FILLED OUT AN APPLICATION ON THE  
12 11TH?

13 A. YES.

14 Q. AND, MR. SMITH, WHEN YOU FILLED OUT YOUR WRITTEN  
15 APPLICATION TO DART, YOU DIDN'T WRITE TONY WILLIE SMITH, III?

16 A. NO, I DIDN'T.

17 Q. YOU JUST WROTE TONY WILLIE SMITH?

18 A. CORRECT.

19 Q. AND YOU DIDN'T WRITE TONY WILLIE SMITH, JR.?

20 A. NO.

21 Q. AND THE INFORMATION THAT YOU FILLED OUT ON THE APPLICATION  
22 FOR DART, THAT'S THE INFORMATION THAT DART WOULD HAVE TO USE TO  
23 RUN A BACKGROUND CHECK ABOUT YOU?

24 A. CORRECT.

25 MR. GORSKI: OBJECTION, IT CALLS FOR SPECULATION.

1                   THE COURT:  OVERRULED.

2  BY MR. ANDRE:

3  Q.  MR. SMITH, YOU SPOKE WITH PEGGY O'NEILL AT DART AS THE  
4  RECRUITER, CORRECT?

5  A.  YES.

6  Q.  BUT DIDN'T MEET MS. O'NEILL AS YOU WERE GOING THROUGH THIS  
7  PROCESS?

8  A.  NO, WE ALWAYS CONVERSATED OVER THE PHONE.

9  Q.  YOU DIDN'T SEE HER FACE TO FACE?

10 A.  NO.

11 Q.  SHE DIDN'T KNOW WHAT YOU LOOKED LIKE?

12 A.  NO.

13 Q.  AND ON THE APPLICATION YOU FILLED OUT FOR DART, YOU DIDN'T  
14 INDICATE YOUR RACE ANYWHERE?

15 A.  I DON'T KNOW.  IS THERE A SECTION FOR IT BECAUSE IT'S KIND  
16 OF BLURRED, THE APPLICATION IS?

17 Q.  MR. SMITH, DO YOU RECALL WRITING YOUR RACE ANYWHERE ON THE  
18 APPLICATION?

19 A.  NO, BUT I DID ALSO SUBMIT A DRIVER'S LICENSE.

20 Q.  AND YOU AGREE THAT DART RAN A BACKGROUND CHECK ABOUT YOU?

21 A.  YES.

22 Q.  AND AT THE TIME YOU FILLED OUT YOUR APPLICATION, YOU KNEW  
23 YOU DIDN'T HAVE A JOB YET?

24 A.  CORRECT.

25 Q.  AND DART DID RUN A BACKGROUND CHECK ABOUT YOU?

1 A. YES.

2 Q. AND THE RECRUITER FROM DART, YOU SAID, CALLED AND TOLD YOU  
3 YOU COULDN'T START BECAUSE OF THAT BACKGROUND CHECK?

4 A. RIGHT.

5 Q. YOU TOLD HER I DON'T HAVE ANY CRIMINAL HISTORY?

6 A. SAY AGAIN?

7 Q. AND YOU TOLD HER BUT I DON'T HAVE A CRIMINAL HISTORY?

8 A. RIGHT.

9 Q. AND SHE TOLD YOU IF YOU CAN GET THE REPORT CORRECTED  
10 THEY'D CONTINUE TO CONSIDER YOU?

11 A. SHE TOLD ME THAT IF THE BACKGROUND COMPANY CAN GET IT  
12 CORRECTED, THEN THEY WILL CONSIDER HIRING HE, NOT ME MYSELF.  
13 BECAUSE SHE BASICALLY TOLD ME THAT THERE'S NOTHING I CAN DO TO  
14 CORRECT IT ON MY OWN.

15 Q. MR. SMITH, BGC SENT YOU A LETTER TELLING YOU THAT IT HAD  
16 PREPARED A REPORT FOR DART, CORRECT?

17 A. YES.

18 Q. AND YOU RECEIVED THAT LETTER?

19 A. YES.

20 Q. AT YOUR HOME HERE IN POWDER SPRINGS?

21 A. YES.

22 Q. AND THAT LETTER INCLUDED A COPY OF THE REPORT?

23 A. CORRECT.

24 Q. AND IT WAS AFTER YOU GOT THAT LETTER IN THE MAIL THAT YOU  
25 CALLED BGC TO DISPUTE?

1 A. YES, ACTUALLY, YES, I DID, YEAH, I CALLED.

2 Q. AND THOSE CALLS WE LISTENED TO A MOMENT AGO, THE FIRST ONE  
3 THAT WAS MONDAY MORNING, SEPTEMBER 17TH, 2012, CORRECT?

4 A. I'M NOT SURE IF THAT WAS A MONDAY OR THE CORRECT DAY, BUT  
5 I DO KNOW THAT I DID MAKE THREE PHONE CALLS.

6 Q. YOU'D AGREE THAT DART CORRECTED YOUR REPORT?

7 MR. GORSKI: OBJECTION, YOUR HONOR, NO FOUNDATION FOR  
8 THAT.

9 MR. ANDRE: I'M SORRY, I MEANT TO SAY BGC.

10 THE COURT: POSE THE QUESTION TO HIM AGAIN. THE  
11 OBJECTION IS SUSTAINED AS PHRASED.

12 BY MR. ANDRE:

13 Q. MR. SMITH, YOU ULTIMATELY DISPUTED YOUR REPORT WITH BGC?

14 A. YES, I CALLED THEM AND TOLD THEM THERE WAS SOMETHING GOING  
15 ON WITH IT.

16 Q. AND YOU RECEIVED A LETTER FROM BGC TELLING YOU THAT THEY  
17 HAD FIXED THE REPORT?

18 A. THE SAME DAY OR WHEN?

19 Q. SUBSEQUENTLY?

20 A. THAT WAS AFTER I MADE THE PHONE CALL AND I WAITED?

21 Q. YOU SUBSEQUENTLY RECEIVED A LETTER FROM BGC THAT TOLD YOU?

22 A. LATER ON, LATER ON?

23 Q. YES.

24 A. YES.

25 Q. AND AS A RESULT OF THAT, YOU KNOW THAT ULTIMATELY THERE

1 WAS A CLEAN REPORT ABOUT YOU PREPARED BY BGC?

2 A. YES.

3 Q. AND THAT CLEAN REPORT IS DATED SEPTEMBER 19TH, 2012,

4 CORRECT?

5 A. I'M NOT SURE. IF YOU HAVE THE PAPERWORK, THEN I COULD SAY

6 YES.

7 MR. ANDRE: SURE. MAY I APPROACH, YOUR HONOR?

8 THE COURT: YOU MAY.

9 BY MR. ANDRE:

10 Q. MR. SMITH, DO YOU RECOGNIZE THIS DOCUMENT?

11 A. YES.

12 Q. WHAT IS IT?

13 A. IT SAYS THE FOLLOWING IS A DISPUTE -- IT'S A DISPUTE OF  
14 THE BACKGROUND -- IT'S A DISPUTE BACKGROUND INVESTIGATION.

15 Q. IF YOU TURN TO THE PAGE AT THE BOTTOM RIGHT THAT'S MARKED  
16 BGC00016; DO YOU SEE THAT?

17 A. 16?

18 Q. YES, SIR.

19 A. YEAH, I SEE IT.

20 Q. DO YOU RECOGNIZE WHAT THIS DOCUMENT IS?

21 A. IT'S REPORT -- IS IT A BACKGROUND CHECK? THAT'S WHAT IT  
22 IS.

23 Q. THIS IS THE SECOND REPORT THAT BGC PREPARED ABOUT YOU,  
24 RIGHT?

25 A. OKAY. YES.

1 Q. YOU DID RECEIVE THIS IN THE MAIL FROM BGC?

2 A. I'M NOT SURE IF I DID RECEIVE THIS.

3 Q. IF YOU TURN BACK TO THE VERY FIRST PAGE OF THIS EXHIBIT,

4 MR. SMITH, YOU SEE YOUR NAME AND ADDRESS THERE?

5 A. YES, IT ALL CAME TOGETHER LIKE THIS.

6 Q. YOU RECEIVED IT AS ONE PACKAGE, YES?

7 A. OKAY.

8 Q. I'M ASKING DO YOU REMEMBER RECEIVING IT AS ONE PACKAGE?

9 A. I'M NOT SURE, BUT IF THIS SAYS IT IS, THEN I DID.

10 Q. MR. SMITH, I WANT TO BACK UP TO THE TELEPHONE CALLS THAT

11 WE LISTENED TO A FEW MINUTES AGO. YOU HAD THE REPORT IN YOUR

12 HAND WHEN YOU CALLED BGC TO MAKE THAT DISPUTE?

13 A. YES.

14 Q. AND THE PEOPLE AT BGC TOOK DOWN YOUR INFORMATION AND SAID

15 THEY'D START A DISPUTE?

16 A. YES.

17 Q. THEY WEREN'T RUDE TO YOU, YES?

18 A. NO, SHE WASN'T RUDE.

19 Q. THEY DIDN'T CALL YOU A LIAR, FOR EXAMPLE?

20 A. NO.

21 Q. AND THEY TOLD YOU WE HAVE 30 DAYS, BUT IT USUALLY TAKES

22 LESS?

23 A. THAT WAS AFTER THE SECOND PHONE CALL.

24 Q. YOU DON'T RECALL THAT IN THE FIRST PHONE CALL?

25 A. SHE SAID UP TO 30 DAYS.

1 Q. MR. SMITH, WOULD IT BE HELPFUL IF I PLAYED THAT CALL FOR  
2 YOU AGAIN?

3 A. YES.

4 MR. ANDRE: CAN WE PLAY J-5?

5 THE COURT: CAN YOU GET TO THE RELEVANT PORTION OF IT  
6 RATHER THAN LISTENING TO IT IN ITS ENTIRETY?

7 MR. ANDRE: YOUR HONOR, I'M NOT SURE OF THE BEST WAY  
8 TO SEEK. IT'S WITHIN THE FIRST TWO MINUTES, I BELIEVE, THE  
9 PORTION I'M LOOKING FOR. WHEN WE GET THERE, I WILL STOP THE  
10 RECORDING.

11 THE COURT: ALL RIGHT.

12 MR. GORSKI: YOUR HONOR, MY RECOLLECTION SERVES ME  
13 THAT THE DISCUSSION ABOUT TIME OCCURS MULTIPLE TIMES IN THIS  
14 CALL, AND I GUESS MY SENSE WOULD BE THAT IF COUNSEL WANTS TO  
15 PLAY IT AGAIN, HE OUGHT TO PLAY THE WHOLE THING, OR IT  
16 SHOULDN'T BE PLAYED AT ALL. TO JUST STOP AT SOME POINT IN TIME  
17 I THINK --

18 THE COURT: WE'VE HEARD THE ENTIRE -- IF THERE'S SOME  
19 POINT THAT HE WANTS TO BRING OUT SPECIFICALLY. IF YOU WANT TO  
20 STIPULATE TO THE CONTENT OF IT, THAT'S FINE, TOO. HE'S ASKED A  
21 QUESTION ABOUT WHETHER SMITH RECALLED SOMETHING IN THE  
22 CONVERSATION. I'M GOING TO ALLOW HIM TO PLAY THAT ONE PIECE OF  
23 IT. I DON'T THINK THE JURY NEEDS TO HEAR THE ENTIRE  
24 CONVERSATION AGAIN. THEY WILL HAVE IT AS AN EXHIBIT IF THEY  
25 WANT TO LISTEN TO IT AGAIN.

1                   MR. GORSKI: I GUESS MY UNDERSTANDING WAS HE'S GOING  
2 TO PLAY IT UNTIL HE HEARS IT.

3                   THE COURT: HE SAID IT'S IN THE FIRST TWO MINUTES.

4                   MR. ANDRE: I DON'T HAVE A WAY TO SEEK TO IT. I  
5 DON'T HAVE A TRANSCRIPT TO ALLOW ME TO GO TO ONE MINUTE AND TEN  
6 SECONDS IF THAT'S WHERE I NEED TO GO WHICH IS WHY I INTEND TO  
7 PRESENT IT THE WAY I DID.

8                   MR. GORSKI: I GUESS MY SENSE IS THAT IF WE'RE NOT  
9 ACTUALLY JUST GOING TO LISTEN TO THE SPECIFIC PART HE'S  
10 REFERRING TO AND HE'S GOING TO PLAY IT FROM THE BEGINNING UNTIL  
11 SOME POINT IN TIME, I THINK, YOU KNOW, IF THE JURY IS GOING TO  
12 HEAR PART OF IT, THEY SHOULD BE ALLOWED TO HEAR ALL OF IT.

13                  THE COURT: THEY HAVE HEARD IT ALL. I'M GOING TO  
14 ALLOW HIM TO PLAY A PART IF HE CAN GET TO IT WITHIN TWO  
15 MINUTES. THAT'S WHAT I'VE GIVEN HIM. HE SAID IT'S ON THE  
16 FIRST TWO MINUTES. IF IT'S NOT THERE, WE'LL CONCLUDE AND MOVE  
17 ON.

18                  MR. GORSKI: THANK YOU, YOUR HONOR.

19                  (AUDIO RECORDING WAS PLAYED IN OPEN COURT.)

20 BY MR. ANDRE:

21 Q. SO IN THIS FIRST CALL SHE TOLD YOU IT WOULD LIKELY BE  
22 TAKEN CARE IN TWO WEEKS?

23 A. YEAH, PROBABLY IN THE NEXT TWO WEEKS.

24 Q. AND SHE ALSO TOLD YOU THAT THEY WOULD IMMEDIATELY NOTIFY  
25 DART THAT YOU HAD DISPUTED?

1 A. YES.

2 Q. AND AT THE TIME YOU CALLED BGC THE FIRST TIME, YOU HADN'T  
3 CALLED THE COURT IN PHILADELPHIA YET?

4 A. WELL, WHEN I ACTUALLY GOT THE REPORT BACK, THAT'S -- NO, I  
5 DID CALL THE COURTS. I DID CALL THE COURTS.

6 Q. BUT YOU ASKED THE BGC OPERATOR LATER IN THAT CALL, IF  
7 YOU'LL REMEMBER, YOU SAID IS IT POSSIBLE FOR ME TO CALL THE  
8 PENNSYLVANIA COURT?

9 A. OKAY. YEAH, YOU'RE RIGHT.

10 Q. SO YOU HADN'T CALLED THEM YET?

11 A. NO, I DIDN'T CALL THEM YET. WHEN I GOT THE DISPUTE  
12 LETTER, THAT'S WHEN I CALLED THAT DAY, AND I CONTINUED TO CALL  
13 EVERY DAY. I CONTINUED TO CALL THE SAME TIME THAT DAY, BECAUSE  
14 THAT'S WHEN I ACTUALLY CALLED PHILADELPHIA AND GOT THE  
15 BACKGROUND CHECK AND EVERYTHING.

16 Q. AND IN BOTH OF THE SUBSEQUENT CALLS WITH BGC THAT WE  
17 LISTENED TO, BOTH OF THOSE OPERATORS TOLD YOU THAT THEY WOULD  
18 LIKE TO FIX THIS IN LESS THAN 30 DAYS?

19 A. YEAH, ONE OF THEM SAID TWO WEEKS. THE OTHER ONE SAID  
20 MAYBE IN A COUPLE OF DAYS.

21 Q. AND BGC FIXED THIS IN TWO DAYS?

22 A. I'M NOT SURE WHEN THEY ACTUALLY -- I DON'T THINK IT WAS IN  
23 TWO DAYS.

24 Q. WELL, YOU'LL RECALL I SHOWED YOU A LETTER AT DEFENDANT'S  
25 EXHIBIT 6. IF YOU LOOK AT THE DATE AT THE TOP OF THAT, DOES

1 THAT REFRESH YOUR RECOLLECTION ABOUT WHEN BGC FIXED THIS  
2 REPORT?

3 A. WHEN?

4 Q. THE DATE AT THE TOP OF DEFENDANT'S EXHIBIT 6?

5 A. THAT'S 09-19.

6 Q. DOES THAT HELP REFRESH YOUR RECOLLECTION?

7 A. YEAH, THIS ONE THEY FIXED IT.

8 Q. ON THE 19TH OF SEPTEMBER?

9 A. YES.

10 Q. AND THE ORIGINAL REPORT WAS ON SEPTEMBER 12TH?

11 A. THAT'S NOT TWO DAYS.

12 Q. RIGHT, AND YOU CALLED ON MONDAY, SEPTEMBER 17TH?

13 A. THE COMPANY, I DON'T THINK THE COMPANY WAS OPEN ON THE  
14 WEEKENDS, SO I JUST HAVE TO SIT THERE AND WAIT.

15 Q. RIGHT, I UNDERSTAND. YOU CALLED ON MONDAY BECAUSE THEY  
16 WEREN'T OPEN ON THE WEEKEND?

17 A. RIGHT.

18 Q. AND THEY FIXED IT BY WEDNESDAY THE 19TH?

19 A. I GUESS IF THEY FIXED IT THEN, YES.

20 Q. MR. SMITH, YOU DIDN'T TALK TO ANY OF YOUR FRIENDS ABOUT  
21 HOW UPSET YOU WERE ABOUT WHAT BGC HAD DONE?

22 A. WELL JUST MY FAMILY.

23 Q. BUT YOU REALLY ONLY TALKED TO YOUR FIANCE?

24 A. WELL, YES -- WELL AT THE TIME I WAS STAYING WITH MY  
25 MOTHER-IN-LAW AND FATHER-IN-LAW.

1 Q. YOU DIDN'T DISCUSS IT WITH THEM?

2 A. WELL, YEAH, I MEAN EVERYBODY KNEW. THEY ALL KNEW WHAT WAS  
3 GOING ON. WE ALL STAY IN THE SAME HOUSE.

4 Q. MR. SMITH, YOU RECALL YOU GAVE A DEPOSITION IN THIS CASE?

5 A. YES.

6 Q. AND AT THAT DEPOSITION YOU TOOK AN OATH TO TELL THE TRUTH?

7 A. YES.

8 Q. AND THAT'S THE SAME OATH YOU TOOK TODAY IN THE COURTROOM?

9 A. YES.

10 Q. AND YOU GAVE ME TRUTHFUL ANSWERS AT THAT DEPOSITION?

11 A. YES.

12 Q. AND YOU GAVE ME COMPLETE ANSWERS AT THAT DEPOSITION?

13 A. CORRECT.

14 Q. AND I ASKED YOU TELL ME AT THE BEGINNING OF THAT  
15 DEPOSITION IF YOU DIDN'T UNDERSTAND A QUESTION, AND YOU AGREED  
16 TO THAT?

17 A. YES.

18 Q. AND I ASKED YOU -- I TOLD YOU THAT IF YOU ANSWER A  
19 QUESTION, I WOULD ASSUME YOU UNDERSTOOD IT; DO YOU REMEMBER  
20 THAT?

21 A. YES.

22 Q. AND I ASKED YOU IF YOU NEED TO CLARIFY ANYTHING?

23 A. YES.

24 Q. OKAY. AND YOU WERE PREPARED FOR THAT DEPOSITION?

25 A. CORRECT.

1 MR. ANDRE: MAY I APPROACH, YOUR HONOR?

2 THE COURT: YOU MAY.

3 BY MR. ANDRE:

4 Q. MR. SMITH, I'VE HANDED YOU AN ORIGINAL COPY OF THE  
5 TRANSCRIPT FROM YOUR DEPOSITION. DO YOU RECOGNIZE THIS AS THE  
6 TESTIMONY YOU GAVE THAT DAY?

7 A. NO, I DIDN'T GET THIS BOOKLET. I DIDN'T RECEIVE THIS AT  
8 ALL.

9 Q. YOU'VE NEVER SEEN THIS WRITTEN TESTIMONY?

10 A. I'VE NEVER SEEN IT IN A BOOKLET LIKE THIS AND GIVEN TO ME  
11 AT ONCE LIKE THIS, NO.

12 Q. YOU SEE ON THE FRONT WHERE IT SAYS DEPOSITION OF TONY W.  
13 SMITH, MARIETTA, GEORGIA --

14 A. YES.

15 Q. -- MONDAY, DECEMBER 9TH, 2013?

16 A. YES.

17 Q. DO YOU RECALL TAKING A DEPOSITION WITH ME IN MARIETTA ON  
18 DECEMBER 9TH, 2013?

19 A. YES.

20 Q. I'LL REPRESENT TO YOU THAT THIS IS THE ORIGINAL TESTIMONY  
21 TRANSCRIPT THAT THE COURT REPORTER WROTE DOWN THAT DAY. DO YOU  
22 HAVE ANY REASON TO BELIEVE THAT IT'S NOT?

23 A. YEAH, I UNDERSTAND, NO.

24 Q. MR. SMITH, I'D LIKE YOU TO TURN TO PAGE 83 OF THIS  
25 DEPOSITION. ARE YOU THERE?

1 A. YEP.

2 Q. I'M JUST GOING TO READ SOME QUESTIONS AND ANSWERS, AND I'D  
3 LIKE YOU TO TELL ME IF THAT'S YOUR TESTIMONY.

4 A. OKAY.

5 Q. I'LL BEGIN AT THE BOTTOM OF PAGE 83 ON LINE 24.

6 QUESTION: DID YOU DISCUSS THAT FEELING WITH ANYBODY  
7 BUT YOUR FIANCE?

8 ANSWER: YEAH, I TALKED TO MY FIANCE ABOUT IT.

9 QUESTION: ANYBODY ELSE?

10 ANSWER: NO, NOBODY ELSE.

11 WAS THAT YOUR TESTIMONY THAT DAY?

12 A. YES.

13 Q. MR. SMITH, I'D LIKE TO YOU TO TURN TO PAGE 92 OF THIS  
14 DEPOSITION TRANSCRIPT. I'M BEGINNING TO READ AT LINE 12.

15 QUESTION: NOBODY WANTS TO MEET AT THE COURT. HAVE  
16 YOU REVIEWED -- HAVE YOU TALKED TO ANY OF YOUR FRIENDS ABOUT  
17 IT?

18 ANSWER: NO.

19 QUESTION: ANY COWORKERS?

20 ANSWER: WITNESS SHAKES HEAD NEGATIVELY.

21 QUESTION: FAMILY MEMBERS?

22 ANSWER: NO.

23 QUESTION: DO YOU HAVE A FACEBOOK ACCOUNT?

24 ANSWER: YES.

25 QUESTION: HAVE YOU EVER --

1                   MR. GORSKI: YOUR HONOR, I OBJECT. THE FACEBOOK  
2 ACCOUNT I DON'T THINK HAS ANY RELEVANCE TO THE POINT HE'S  
3 TRYING TO MAKE, YOUR HONOR.

4                   THE COURT: IF YOU'VE GOT A QUESTION, CAN YOU HAND ME  
5 UP THE TRANSCRIPT TO LOOK AT IT?

6                   MR. ANDRE: AT THE BOTTOM OF THE PAGE.

7                   (AT THE BENCH).

8                   THE COURT: HOW DOES THAT PERTAIN TO THIS IMPEACHMENT  
9 LINE THAT YOU'RE ON?

10                  MR. ANDRE: IT'S RELEVANT TO THE QUESTION ABOUT  
11 WHETHER HE EVER TOLD ANYBODY ABOUT IT. I'VE ASKED HAVE YOU  
12 DONE A FACE TO FACE, AND THEN I ASKED DID YOU MAKE A FACEBOOK  
13 POST FROM WHICH PEOPLE COULD INFER THAT YOU WERE UPSET ABOUT  
14 THIS.

15                  MR. GORSKI: YOUR HONOR, THE WAY HE ASKED THE  
16 QUESTION IS PREJUDICIAL. HE MADE SOME PARAPHRASE FOR WHICH  
17 THERE'S NO FOUNDATION FOR. HE SAID I HATE  
18 BACKGROUNDCHECKS.COM. THERE'S NO FOUNDATION FOR THE WAY HE  
19 ASKED IT THAT WAY, AND IT'S PREJUDICIAL BECAUSE IT MADE SOME  
20 KIND OF INFERENCE THAT MR. SMITH SAID SOMETHING LIKE THAT.

21                  THE COURT: YEAH, I'M GOING TO SUSTAIN THE OBJECTION  
22 AS TO THAT PART OF IT.

23                  MR. ANDRE: YOUR HONOR, I INTEND TO CONTINUE READING  
24 THE TRANSCRIPT FROM WHERE I SAID OKAY WHICH IS RELEVANT TO THIS  
25 LINE OF IMPEACHMENT, SKIPPING OVER THE FACEBOOK QUESTION WHICH

1 I WOULD NOTE THERE WAS NO OBJECTION TO AT THE TIME OF THE  
2 DEPOSITION.

3 MR. GORSKI: AND THAT'S WHERE YOU'RE GOING TO STOP?

4 MR. ANDRE: NO, I AM GOING TO CONTINUE TO READ FOR  
5 ANOTHER TWO LINES, DID YOU TALK TO HER ABOUT IT OFTEN, NO, WE  
6 JUST BRIEFLY TALKED ABOUT.

7 MR. GORSKI: OKAY. THAT'S ALL RIGHT.

8 THE COURT: THAT'S PERMITTED.

9 (IN OPEN COURT.)

10 THE COURT: OBJECTION SUSTAINED. YOU MAY CONTINUE  
11 YOUR QUESTIONING.

12 BY MR. ANDRE:

13 Q. MR. SMITH, I'M ASKING GOING TO SKIP A COUPLE OF LINES AND  
14 I'M NOW READING FROM LINE 24 ON PAGE 92.

15 QUESTION: OKAY. SO REALLY JUST YOUR FIANCE, IF  
16 ANYONE?

17 ANSWER: YES.

18 QUESTION: DO YOU TALK TO HER ABOUT IT OFTEN?

19 ANSWER: NO, WE JUST BRIEFLY TALKED ABOUT IT. IT'S  
20 BEEN A LONG PROCESS.

21 WAS THAT YOUR TESTIMONY?

22 A. YES.

23 Q. SO WHEN YOU SAY THAT YOUR FAMILY KNEW WHAT WAS GOING ON,  
24 YOU HADN'T DISCUSSED IT WITH ANY OF THEM?

25 A. WELL, NO, MY WIFE, AND WE ALL STAYED UNDER THE SAME ROOF

1 AT THAT TIME. SO I HAVE NO CONTROL OVER WHAT MY FIANCE TELLS  
2 ANYBODY ELSE IN THE HOUSE. I LET HER KNOW, AND SHE TOOK FROM  
3 THERE, AND SHE TOLD EVERYBODY ELSE.

4 Q. YOU SAID EARLIER IN YOUR TESTIMONY THAT YOUR FRIENDS ALL  
5 KNEW WHAT WAS GOING ON, BUT YOU DIDN'T TELL ANY OF YOUR FRIENDS  
6 ABOUT THIS SITUATION?

7 A. NO.

8 Q. AND YOU DIDN'T CALL YOUR PARENTS AND TELL THEM HOW UPSET  
9 YOU WERE?

10 A. NO.

11 Q. YOU DIDN'T SEE A DOCTOR?

12 A. NO.

13 Q. YOU DIDN'T EVEN CONSIDER SEEING A DOCTOR?

14 A. NO.

15 Q. YOU DIDN'T TRY TO GET A PRESCRIPTION, FOR EXAMPLE, FOR  
16 MEDICINE THAT MIGHT HELP YOU SLEEP?

17 A. NO.

18 Q. AND ONCE BGC CORRECTED YOUR REPORT, YOU SAID THIS MORNING  
19 THE RECRUITER CALLED YOU AND APOLOGIZED?

20 A. YES.

21 Q. SHE SAID IT WOULD BE A PLEASURE TO HAVE YOU WORK FOR DART?

22 A. CORRECT.

23 Q. AND DART APPROVED YOUR APPLICATION THE VERY NEXT DAY AFTER  
24 BGC FIXED YOUR REPORT, RIGHT?

25 A. MAYBE A COUPLE OF DAYS AFTER THAT, I GOT A PHONE CALL.

1 MR. ANDRE: YOUR HONOR, MAY I APPROACH SIDEBAR?  
2 THE COURT: YOU MAY.  
3 (AT THE BENCH)  
4 MR. ANDRE: YOUR HONOR, I ASKED THE WITNESS YOU WERE  
5 APPROVED BY DART THE VERY NEXT DAY. HE SAID I DON'T KNOW.  
6 THOSE ARE FACTS THAT WERE ADMITTED IN OUR SUMMARY JUDGMENT  
7 BRIEFING. IT'S SEEMS TO ME IF THERE'S A CONCLUSIVE LIST  
8 ESTABLISHED, I DON'T UNDERSTAND WHY NOW WE'RE GETTING TESTIMONY  
9 THAT VARIES FROM THAT.

10 MR. GORSKI: SHOW ME WHAT YOU MEAN?  
11 MR. ANDRE: NUMBER 28, TWO DAYS LATER ON SEPTEMBER  
12 19TH, 2012 BGC ISSUED A CORRECTED REPORT. ON SEPTEMBER 20TH,  
13 DART APPROVED PLAINTIFF TO BEGIN DART TRAINING PROGRAM WHICH HE  
14 BEGAN. THAT WAS AN ADMITTED FACT IN THE SUMMARY JUDGMENT  
15 BRIEF. WE ATTEMPTED TO MAKE IT A STIPULATION, BUT MR. GORSKI  
16 DIDN'T AGREE WITH IT. SO I THINK THE WITNESS SHOULD BE  
17 PRECLUDED FROM NOW TESTIFYING IN CONTRADICTION TO ADMITTED AND  
18 ESTABLISHED FACTS IN THE RECORD.

19 MR. GORSKI: I DISAGREE. I THINK THE WITNESS MADE A  
20 FAIR ESTIMATE OF WHAT HIS RECOLLECTIONS WERE WHEN HE STARTED,  
21 AND I THINK HE ESTIMATED IT TO BE ABOUT TWO WEEKS. NOW WE GO  
22 FROM THE 12TH TO THE 25TH, THAT'S ABOUT TWO WEEKS, YOUR HONOR.  
23 I DON'T THINK THAT HE SHOULD BE PRECLUDED FROM  
24 STATING, YOU KNOW, WHAT HIS OWN RECOLLECTIONS WERE. IF HE  
25 DOESN'T RECOLLECT EXACTLY WHAT DAY IT WAS, I MEAN SO BE IT.

1 THERE'S DART RECORDS THAT MAYBE SAY THAT. IF HIS RECOLLECTION  
2 IS IT WAS APPROXIMATELY TWO WEEKS, THAT'S CERTAINLY NOT  
3 INCONSISTENT WITH THE TIMEFRAME WE'RE TALKING ABOUT HERE.

4 THE COURT: MR. ANDRE'S ARGUMENT IS IT'S A FACT THAT  
5 WAS ESTABLISHED IN THE CASE; IS THAT YOUR ARGUMENT?

6 MR. ANDRE: YES, SIR, AN ADMITTED FACT.

7 MR. GORSKI: WHERE IS IT ADMITTED?

8 (PAUSE IN THE PROCEEDINGS.)

9 MR. GORSKI: OKAY. I GUESS THE POINT I'M TRYING TO  
10 MAKE, YOUR HONOR, IS THAT ALL HE'S TRYING TO TESTIFY ABOUT IS  
11 WHEN HE REMEMBERS GETTING STARTED. I MEAN HIS RECOLLECTION IS  
12 IN LINE WITH THAT. I MEAN THERE'S NOTHING PREJUDICIAL ABOUT  
13 THIS. I MEAN HE'S SAYING A COUPLE OF WEEKS, AND IF YOU'D LIKE  
14 TO MAKE SOME KIND OF INSTRUCTION ON THAT, IT'S OVERLY  
15 PREJUDICIAL TO MY CLIENT. HIS ESTIMATION IS NOT OUT OF LINE.  
16 WHAT HE MENTIONED HERE IS PART OF A LEGAL DOCUMENT. I MEAN OUR  
17 CLIENT'S RECOLLECTION --

18 THE COURT: WELL, MR. ANDRE SAID HE PROPOSED IT AS A  
19 STIPULATION. IT SEEMS LIKE IT COULD BE PART OF A STIPULATION  
20 THAT LESSENS THE PREJUDICE YOU'RE CONCERNED ABOUT, BUT HE SAID  
21 YOU WEREN'T WILLING TO STIPULATE TO IT. IT LOOKS TO ME LIKE  
22 YOU'VE MADE AN ADMISSION TO THAT EFFECT WITH RESPECT TO SUMMARY  
23 JUDGMENT.

24 SO HOW ABOUT WE TAKE IT AT THAT. YOU ALL HAVEN'T  
25 READ A STIPULATION OF FACTS YET TO THE JURY. WHY DON'T WE

1 INCLUDE THAT AS A STIPULATED FACT? I MEAN OTHERWISE --  
2 MR. GORSKI: I THINK IT WOULD BE FAIR TO STIPULATE  
3 SOMETHING LIKE THAT IF WE COULD SAY SOMETHING ALONG THE LINES  
4 THAT IT WAS ON OR ABOUT BECAUSE THE RECORD SAID HE STARTED -- I  
5 MEAN HE MAY JUST BE REMEMBERING THE FIRST DAY HE SHOWS UP.

6 THE COURT: THE ISSUE IS NOT HIS RECOLLECTION. THE  
7 ISSUE NOW PRESENTED TO ME IS WILL YOU STIPULATE TO THAT FACT?  
8 I'M PROPOSING A STIPULATION OR AN ADMISSION BASED ON THESE  
9 DOCUMENTS HERE. I THINK THE STIPULATION IS A CLEANER WAY TO DO  
10 IT, BUT IF THAT'S NOT WHAT YOU WANT --

11 MR. GORSKI: I'M OKAY WITH A STIPULATION.

12 THE COURT: YOU ALL CAN PREPARE THAT WHEN THE  
13 STIPULATIONS ARE READ.

14 MR. ANDRE: THANK YOU, YOUR HONOR.

15 (IN OPEN COURT.)

16 BY MR. ANDRE:

17 Q. MR. SMITH, I'D LIKE TO GO BACK TO DEFENDANT'S EXHIBIT 6  
18 FOR A MOMENT WHICH I PLACED IN FRONT OF YOU. DO YOU HAVE THAT?

19 A. THIS?

20 Q. IT'S THE SMALLER DOCUMENT THAT HAS A BLUE STICKER IN THE  
21 RIGHT BOTTOM HAND CORNER THAT SAYS D-6?

22 A. I'VE GOT IT.

23 Q. AND YOU SAID THIS IS THE LETTER THAT YOU RECEIVED FROM  
24 BGC?

25 A. YES.

1 Q. AND THAT'S YOUR ADDRESS AT THE TOP?

2 A. YES.

3 MR. ANDRE: YOUR HONOR, WE'D MOVE FOR THE ADMISSION  
4 OF DEFENDANT'S EXHIBIT 6.

5 THE COURT: ANY OBJECTION, MR. GORSKI?

6 MR. GORSKI: NO OBJECTION.

7 THE COURT: IT IS ADMITTED.

8 MR. ANDRE, WE'VE REACHED 3:30. HOW MUCH LONGER DO  
9 YOU ANTICIPATE YOU NEED ON CROSS-EXAMINATION?

10 MR. ANDRE: NOT TERRIBLY LONG, YOUR HONOR, 10, 15  
11 MINUTES.

12 THE COURT: ALL RIGHT. IF IT'S GOING TO BE THAT  
13 LONG, WE'LL GO AHEAD AND TAKE OUR AFTERNOON BREAK AT THIS POINT  
14 IN TIME. WE'LL TAKE A 15-MINUTE RECESS.

15 LADIES AND GENTLEMEN, LET ME REMIND YOU THAT YOU'VE  
16 ALREADY HEARD SOME TESTIMONY IN THE CASE, BUT YOU'RE NOT TO  
17 BEGIN DISCUSSING THE CASE AMONG YOURSELVES OR WITH ANYONE ELSE,  
18 ANY OF THE PARTIES, THE ATTORNEYS, ANYONE ASSOCIATED WITH THE  
19 CASE. YOU'RE EXCUSED FOR 15 MINUTES. WE'LL BE IN RECESS.

20 (JURY RETIRED)

21 THE COURT: BEFORE WE BREAK FOR THIS 15-MINUTE BREAK,  
22 I JUST WANT TO FIND OUT ABOUT THE REST OF THE AFTERNOON  
23 SCHEDULE. YOU'VE GOT ABOUT ANOTHER 15 MINUTES. SO WHEN WE  
24 COME BACK, IT LOOKS LIKE YOU'LL BE WRAPPING UP YOUR CROSS ABOUT  
25 FOUR O'CLOCK OR SO. I'M NOT GOING TO HOLD YOU FIRMLY TO THAT,

1 BUT JUST YOUR ESTIMATE.

2 MR. GORSKI, ARE YOU GOING TO HAVE ANY REDIRECT?

3 MR. GORSKI: MY REDIRECT IS PROBABLY -- WELL, I DON'T  
4 KNOW WHAT HE'S GOING TO ASK IN THE NEXT 15 MINUTES, BUT  
5 ASSUMING THERE ISN'T SOMETHING THAT'S GOING TO REQUIRE MORE  
6 TIME, I WOULD SAY UNDER TEN MINUTES.

7 THE COURT: OKAY. SO LET'S SAY WE GET TO 4:15 AT  
8 THAT TIME, WHAT'S YOUR NEXT EVIDENCE TO PRESENT?

9 MR. GORSKI: WELL, YOUR HONOR, WE HAVE TWO THINGS  
10 LEFT. ONE WOULD BE THE STIPULATIONS OF THE PARTIES --

11 THE COURT: YOU ALL MAY BE SEATED.

12 MR. GORSKI: ONE WOULD BE THE STIPULATIONS OF THE  
13 PARTIES. I CAN DISCUSS WITH MR. ANDRE WHAT MIGHT BE AN  
14 APPROPRIATE STIPULATION REGARDING THAT SUBJECT THAT WE JUST DID  
15 MAYBE DURING THIS BREAK.

16 THE COURT: OKAY.

17 MR. GORSKI: AND THEN IT WOULD BE THE VIDEO TESTIMONY  
18 OF MS. O'NEILL. MR. ANDRE AND I DISCUSSED THIS SUBJECT, AND  
19 GIVEN THAT IT'S A MESH OF DESIGNATIONS BY THE DEFENDANT AND BY  
20 THE PLAINTIFF, WE KIND OF THOUGHT WHAT WOULD BE APPROPRIATE IS  
21 TO KIND OF TREAT THAT DEPOSITION VIDEOTAPE AS KIND OF A HYBRID  
22 OF THE LAST PIECE OF EVIDENCE OF THE PLAINTIFF'S CASE IN CHIEF  
23 AND THE FIRST PIECE OF EVIDENCE THE DEFENDANT'S DEFENSE OF THE  
24 CASE.

25 WE WERE HOPING YOUR HONOR COULD PROVIDE AN

1 INSTRUCTION THAT EXPLAINS TO THE JURY THAT THIS IS EVIDENCE  
2 THAT IS, YOU KNOW, IN PART DESIGNATED BY THE PLAINTIFF AS PART  
3 OF THEIR CASE AND IN PART AS PART OF THE DEFENDANT'S CASE IN  
4 THAT REGARD, AND AT THAT POINT WE'RE GOING TO REST.

5 THE COURT: HOW LONG WILL IT TAKE TO PLAY THOSE  
6 EXCERPTS?

7 MR. GORSKI: THAT VIDEOTAPE IS ONLY A FEW MINUTES  
8 LONG.

9 MR. ANDRE: 12 TO 13 MINUTES.

10 THE COURT: SO WE REALISTICALLY COULD CONCLUDE THE  
11 PLAINTIFF'S EVIDENCE TODAY.

12 MR. GORSKI: I THINK SO, YES.

13 THE COURT: AND AT THAT TIME BREAK, AND WE'LL COME  
14 BACK TOMORROW FOR THE DEFENDANT'S EVIDENCE.

15 MR. ANDRE: I THINK THAT'S RIGHT, YOUR HONOR.

16 THE COURT: WE'LL TAKE OUR 15-MINUTE RECESS AT THIS  
17 TIME, AND WE'LL PLAN ON PROCEEDING IN THAT FASHION.

18 (RECESS)

19 THE COURT: DID YOU ALL WORK OUT THE STIPULATION  
20 ISSUE?

21 MR. GORSKI: WE HAVE, YOUR HONOR, YES.

22 THE COURT: ALL RIGHT. THEN I'LL RECOGNIZE YOU FOR  
23 THAT AT THE CONCLUSION OF MR. SMITH'S TESTIMONY?

24 MR. GORSKI: THAT'S RIGHT, AND THEN NEXT WILL BE THE  
25 VIDEO, SO YOUR HONOR IS GOING TO GIVE AN INSTRUCTION BEFORE WE

1 DO THAT.

2 THE COURT: OKAY. I'LL GIVE THE INSTRUCTION THAT WAS  
3 PROPOSED BY THE DEFENDANT.

4 MR. GORSKI: WHAT WAS THAT, YOUR HONOR?

5 THE COURT: THERE WAS A PROPOSED INSTRUCTION BY THE  
6 DEFENDANT, DEFENDANT'S REQUEST FOR JURY INSTRUCTION NUMBER 5.

7 MR. GORSKI: RIGHT, I GUESS WE WERE ALSO ASKING YOUR  
8 HONOR --

9 THE COURT: ALSO AN INSTRUCTION TO EXPLAIN THAT THIS  
10 IS OFFERED PARTIALLY FOR THE PLAINTIFF AND PARTIALLY FOR THE  
11 DEFENDANT.

12 MR. GORSKI: CORRECT.

13 THE COURT: BUT YOU ALL WON'T BE SPECIFYING WHAT  
14 THOSE PARTS ARE UNTIL PERHAPS CLOSING.

15 MR. GORSKI: FAIR ENOUGH.

16 THE COURT: OKAY.

17 (JURY PRESENT)

18 THE COURT: YOU MAY CONTINUE YOUR CROSS-EXAMINATION,  
19 MR. ANDRE.

20 BY MR. ANDRE:

21 Q. MR. SMITH, I'D LIKE TO BACK UP FOR A MOMENT TO A SUBJECT  
22 THAT WE WERE DISCUSSING EARLIER IN YOUR TESTIMONY.

23 YOUR HONOR, MAY I APPROACH?

24 THE COURT: YOU MAY.

25 BY MR. ANDRE:

1 Q. D-2. MR. SMITH, I'VE HANDED YOU A DOCUMENT THAT'S MARKED  
2 AS DEFENDANT'S EXHIBIT 2; DO YOU RECOGNIZE THIS?

3 A. YES.

4 Q. YOU WERE TESTIFYING EARLIER THAT BGC SENT YOU A LETTER TO  
5 TELL THAT YOU IT HAD SENT A REPORT TO DART; DO YOU RECALL THAT  
6 TESTIMONY?

7 A. YES.

8 Q. AND THIS IS THE LETTER BGC SENT YOU?

9 A. YES, IT LOOKS LIKE IT.

10 Q. DO YOU SEE ON THE FIRST PAGE WHERE IT SAYS IT INCLUDES A  
11 COPY OF THE REPORT, A SUMMARY OF YOUR RIGHTS AND A NOTIFICATION  
12 DISPUTE FORM; DO YOU SEE THAT IN THE BULLET POINTS?

13 A. YES.

14 Q. AND IT DID INCLUDE THOSE THINGS?

15 A. YES.

16 MR. ANDRE: YOUR HONOR, WE WOULD MOVE FOR THE  
17 ADMISSION OF DEFENDANT'S EXHIBIT 2.

18 MR. GORSKI: NO OBJECTION.

19 THE COURT: IT'S ADMITTED.

20 BY MR. ANDRE:

21 Q. MR. SMITH, I'D LIKE TO TURN BACK TO WHAT HAPPENED AFTER  
22 THE REPORT. YOU DID BEGIN DART'S TRAINING PROGRAM?

23 A. YES.

24 Q. AND YOU COMPLETED DART'S TRAINING PROGRAM?

25 A. NO.

1                   MR. GORSKI: OBJECTION, YOUR HONOR, CAN WE MEET AT  
2   SIDEBAR PLEASE?

3                   THE COURT: YES.

4                   (AT THE BENCH)

5                   MR. GORSKI: THE WAY THE QUESTION SOUNDED IT'S LIKE  
6   THE DISCUSSION WE HAD IN THE BEGINNING ABOUT, YOU KNOW, WHAT  
7   MR. SMITH DID AFTER HE OBTAINED THE EMPLOYMENT, AND I THINK WE  
8   ALREADY WENT THROUGH THIS, AND WE OBJECT.

9                   MR. ANDRE: YOUR HONOR, FIRST, THAT'S AN OBJECTION TO  
10   A QUESTION THAT HASN'T BEEN ASKED YET. SECOND, AS I RECALL  
11   WHAT I WROTE DOWN, YOUR HONOR ALLOWED THE SIMPLE REFERENCE TO  
12   THE FACT THAT HE WENT AND WORKED ELSEWHERE AS AN ALLOWABLE  
13   QUESTION WHICH I INTEND TO ASK.

14                  AND, FINALLY, IF MR. GORSKI WANTS TO ENTER A  
15   STIPULATION THAT THEY'RE SEEKING NO DAMAGES AFTER SEPTEMBER  
16   25TH, THE DAY HE STARTED THE PROGRAM, WE'LL AGREE TO THAT  
17   STIPULATION, AND WE CAN DROP THIS LINE OF QUESTIONING.

18                  MR. GORSKI: WE'RE NOT SEEKING DAMAGES BEYOND HIS  
19   START OF EMPLOYMENT, AND I DON'T THINK WE'VE ENTERED ANY  
20   EVIDENCE TO THAT EFFECT AT THIS POINT, AND I CERTAINLY DON'T  
21   INTEND TO DO SO ON REBUTTAL.

22                  THE COURT: ARE YOU STIPULATING TO THAT?

23                  MR. ANDRE: AND THAT'S A STIPULATION --

24                  MR. GORSKI: WE JUST STIPULATED THAT HE STARTED  
25   EMPLOYMENT ON THE 25TH, SO THE JURY WILL KNOW THAT THAT'S WHEN

1    THINGS STARTED. I DON'T THINK WE NEED A STIPULATION THAT I'M  
2    NOT SEEKING DAMAGES --

3                    THE COURT: THAT'S THE QUESTION. YOU SAID YOU'RE NOT  
4    SEEKING DAMAGES BEYOND THAT DATE?

5                    MR. GORSKI: RIGHT, BUT I DON'T THINK THAT THAT'S  
6    FACTUAL EVIDENCE THAT THE JURY NEEDS TO KNOW ABOUT. WE'RE  
7    BASICALLY SAYING THESE DAMAGES -- I THINK THEY'RE CONSISTENT  
8    WITH THE TESTIMONY THAT I ELICITED FROM HIM ON HIS DIRECT WHICH  
9    IS BASICALLY WHAT HE WENT THROUGH BETWEEN THE TIME HE GOT  
10   HIRED. I DON'T THINK IT NEEDS TO BE A STIPULATION, AND I DON'T  
11   REALLY THINK IT OPENS THE DOOR FOR HIM TO GO INTO ALL THIS  
12   DISCUSSION.

13                  THE COURT: I DON'T THINK IT HAS TO BE A STIPULATION  
14   AS READ TO THE JURY, BUT I THINK IF YOU'RE AGREEING TO THAT AT  
15   THIS POINT IN THIS CONFERENCE, THEN IF YOU WERE TO ARGUE  
16   SOMETHING DIFFERENTLY --

17                  MR. GORSKI: IF I OPEN THE DOOR, HE CAN DO THAT, BUT  
18   I DON'T THINK I'VE OPENED THE DOOR.

19                  MR. ANDRE: AND, YOUR HONOR --

20                  THE COURT: I DID ALLOW YOU TO ASK A QUESTION ABOUT  
21   THE FACT THAT HE DID NOT. I DON'T THINK YOU'VE GOTTEN TO THAT  
22   YET.

23                  MR. ANDRE: I HAVE ONLY ONE QUESTION WHICH IS DART  
24   OFFERED YOU A JOB PERIOD.

25                  THE COURT: OKAY. THAT'S FINE, BUT NOT INTO THE

1 TRAINING.

2 MR. GORSKI: BUT THE PROBLEM I HAVE, YOUR HONOR, IS I  
3 REALLY DON'T WANT THE JURY TO BE PREJUDICED BY MR. SMITH'S  
4 DECISIONS ABOUT WHY HE MAY OR MAY NOT STAY AT DART, SO IT  
5 REALLY HAS NO RELEVANCE TO THE CASE.

6 MR. ANDRE: I DON'T INTEND TO ASK THOSE. I INTEND TO  
7 ONLY SAY DART OFFERED YOU A JOB.

8 THE COURT: AND YOU DIDN'T TAKE IT.

9 MR. GORSKI: WELL, YOUR HONOR, AGAIN I DON'T THINK  
10 THAT'S RELEVANT WHETHER OR NOT HE TOOK THE JOB AT SOME LATER  
11 POINT IN TIME BECAUSE WE'RE NOT SEEKING DAMAGES ON THAT, AND I  
12 THINK IT'S GOING TO CONFUSE THE JURY.

13 THE COURT: I TELL YOU BASED ON HIS DIRECT TESTIMONY  
14 ABOUT HOW IMPORTANT IT WAS TO WORK AT DART, I THINK IT IS A  
15 RELEVANT CONSIDERATION THAT HE DIDN'T TAKE IT.

16 MR. GORSKI: OKAY. THANK YOU.

17 THE COURT: I'M GOING TO ALLOW HIM TO ASK THAT.

18 (IN OPEN COURT.)

19 THE COURT: YOU MAY PROCEED, MR. ANDRE.

20 BY MR. ANDRE:

21 Q. MR. SMITH, YOU DID COMPLETE THE DART TRAINING PROGRAM?

22 A. NO.

23 Q. YOU WENT THROUGH THE TRAINING PROGRAM FOR A NUMBER OF  
24 WEEKS?

25 A. YES.

1 Q. AND DART ULTIMATELY OFFERED YOU A JOB AT END OF THAT?

2 A. NO.

3 MR. ANDRE: MAY I APPROACH, YOUR HONOR, SIDEBAR?

4 THE COURT: YES, SIR.

5 (AT THE BENCH)

6 MR. ANDRE: DART OFFERED HIM A JOB WAS AN ADMITTED  
7 FACT IN SUMMARY JUDGMENT.

8 THE COURT: AND THAT WAS ADMITTED?

9 MR. ANDRE: YES, YOUR HONOR.

10 MR. GORSKI: MAY I SEE THAT?

11 MR. ANDRE: IT WAS OBJECTED TO ON THE GROUNDS OF  
12 RELEVANCE AND MATERIALITY, BUT OTHERWISE ADMITTED.

13 THE COURT: DO YOU SEE THIS RESPONSE, MR. GORSKI?

14 MR. GORSKI: YES, YOUR HONOR, THIS IS SOMETHING MR.  
15 ANDRE MAYBE COULD ASK MR. SMITH IN A DIFFERENT WAY. I DON'T  
16 THINK MR. SMITH -- YOU KNOW, JUST ASK WE OFFERED HIM TO STAY  
17 ON, ASK DID YOU STAY ON. I MEAN I THINK HE'S KIND OF  
18 FRUSTRATED AT THIS POINT, AND I THINK ALL HE REALLY NEEDS TO DO  
19 IS ASK ANOTHER QUESTION --

20 THE COURT: I THOUGHT THE QUESTION WAS FAIRLY CLEAR.

21 WHAT I WOULD PROPOSE TO DO IS LET MR. ANDRE ASK THE QUESTION  
22 CLEARLY AGAIN, AND IF HE DENIES IT AGAIN, THEN YOU CAN REQUEST  
23 THAT THE COURT INFORM THE JURY THAT THIS ADMISSION WAS MADE.

24 MR. GORSKI: I GUESS AS A FOLLOW-UP, I THINK SOME  
25 FOLLOW-UP FOR MR. SMITH'S RECOLLECTION ON THAT POINT, AND WE

1 CAN CERTAINLY ENTER INTO STIPULATION --

2 THE COURT: I THINK THIS WOULD GO BEYOND A  
3 STIPULATION. THIS IS A FACT ISSUE THAT HE SHOULD KNOW OR NOT  
4 KNOW, AND ACCORDING TO THESE RECORDS, HE'S ADMITTED THAT HE WAS  
5 OFFERED A JOB, AND NOW HE'S TESTIFIED AT LEAST TO THIS POINT  
6 THAT HE WASN'T. MAYBE HE'LL ANSWER DIFFERENTLY ON A CORRECTED  
7 QUESTION.

8 MR. ANDRE: I CAN SHOW IT TO HIM BUT NOT READ IT INTO  
9 THE RECORD IN THE DEPOSITION WHERE HE SAYS HE WAS OFFERED A JOB  
10 TO REFRESH HIS RECOLLECTION.

11 THE COURT: HE DENIED BEING OFFERED A JOB.

12 MR. GORSKI: YOUR HONOR, THIS MAY BE JUST SEMANTICS.  
13 MR. SMITH DOESN'T FEEL THAT THE JOB HE WAS OFFERED WAS WHAT HE  
14 WANTED OR SOMETHING LIKE THAT --

15 THE COURT: TRY AND REPHRASE IT.

16 MR. GORSKI: I'M NOT TRYING TO CHANGE REALITY HERE.  
17 I JUST FEEL LIKE BY JUST ASKING HIM A FEW QUESTIONS IN A  
18 DIFFERENT WAY, AND IF NOT, I WOULD BE OPEN TO WORKING OUT A  
19 STIPULATION THAT COULD --

20 THE COURT: WELL, I GUESS I VIEW THIS A LITTLE BIT  
21 DIFFERENTLY. THIS IN PART GOES TO HIS CREDIBILITY I THINK, AND  
22 SO I WILL LET HIM TRY A COUPLE OF TIMES, AND IF HE DOESN'T,  
23 THEN HE CAN ASK FOR AN INSTRUCTION, AND I WILL INSTRUCT THE  
24 JURY THAT DURING THE COURSE OF THIS CASE MR. SMITH HAS ADMITTED  
25 THAT WHEN HE COMPLETED THE TRAINING PROGRAM DART OFFERED HIM A

1 PERMANENT JOB AS A TRUCK DRIVER, AND HE REFUSED, AND JUST READ  
2 THAT.

3 MR. ANDRE: I'LL GIVE IT A TRY.

4 (IN OPEN COURT.)

5 THE COURT: REPHRASE YOUR QUESTION, MR. ANDRE.

6 BY MR. ANDRE:

7 Q. MR. SMITH, AT THE CONCLUSION WHEN YOU STOPPED  
8 PARTICIPATING IN THE TRAINING PROGRAM, WHETHER IT WAS COMPLETED  
9 OR NOT, DART OFFERED FOR YOU TO BE ABLE TO STAY ON WITH DART?

10 A. YES.

11 Q. AND YOU DIDN'T TAKE THAT OFFER?

12 A. YEAH, I DIDN'T FINISH THE TRAINING.

13 Q. AND THEY OFFERED FOR YOU TO BE ABLE TO EVEN KEEP WORKING  
14 FOR DART AFTER THE TRAINING?

15 A. YES.

16 Q. AND YOU DIDN'T ACCEPT THAT OFFER EITHER?

17 A. I DIDN'T COMPLETE THE TRAINING. SO I DIDN'T TAKE THE  
18 OFFER BECAUSE I DIDN'T COMPLETE THE TRAINING.

19 MR. ANDRE: NO FURTHER QUESTIONS, YOUR HONOR.

20 THE COURT: ANY REDIRECT, MR. GORSKI?

21 MR. GORSKI: YES, YOUR HONOR. THANK YOU.

22 REDIRECT EXAMINATION

23 BY MR. GORSKI:

24 Q. MR. SMITH, I'D LIKE YOU TO GO BACK TO THE DOCUMENT THAT  
25 MR. ANDRE PRESENTED TO YOU. IT WAS MARKED D-6.

1 A. OKAY.

2 Q. ARE YOU THERE?

3 A. YES.

4 MR. GORSKI: YOUR HONOR, THE DOCUMENT HAS BEEN  
5 ADMITTED INTO EVIDENCE. MAY I PROJECT IT?

6 THE COURT: YOU MAY.

7 BY MR. GORSKI:

8 Q. OKAY. MR. SMITH, I'D LIKE YOU TO READ THE PARAGRAPH, OR  
9 HAVE YOU HAD AN OPPORTUNITY TO READ THE PARAGRAPH THAT'S IN THE  
10 LETTER?

11 A. THE FIRST PARAGRAPH?

12 Q. THE PARAGRAPH FOLLOWING YOUR DISPUTE?

13 A. YES.

14 Q. IS THERE ANYWHERE IN THAT PARAGRAPH DOES THE DEFENDANT BGC  
15 APOLOGIZE FOR WHAT HAPPENED WITH THE CRIMINAL BACKGROUND CHECK?

16 A. NO.

17 Q. NOW, I'D LIKE YOU TO JUST TAKE A LOOK AT THE WHOLE LETTER,  
18 AND DO YOU SEE AT THE BOTTOM THAT UNDER THE LETTER THAT THEY  
19 WROTE TO YOU, THERE IS A SOLICITATION ABOUT GETTING RECORDS  
20 EXPUNGED?

21 A. YES.

22 MR. GORSKI: I DON'T HAVE ANY OTHER QUESTIONS FOR THE  
23 WITNESS, YOUR HONOR. THANK YOU.

24 THE COURT: ALL RIGHT. MR. SMITH, YOU MAY STEP DOWN,  
25 SIR. YOU CAN LEAVE THE EXHIBITS THERE FOR NOW. THANK YOU,

1 SIR.

2 MR. GORSKI, ARE YOU PREPARED TO READ THE STIPULATIONS  
3 OF THE PARTIES AT THIS TIME?

4 MR. GORSKI: YES, I AM, YOUR HONOR.

5 THE COURT: MEMBERS OF THE JURY, SOMETIMES THE  
6 PARTIES AGREE TO CERTAIN FACTS, AND THOSE FACTS ARE REDUCED TO  
7 WHAT WE CALL A STIPULATION. THE PARTIES AGREE THAT THOSE FACTS  
8 ARE TRUE, AND YOU MAY ACCEPT THOSE AS HAVING BEEN PROVEN IN THE  
9 CASE.

10 SO, MR. GORSKI, IF YOU WILL GIVE HIM YOUR ATTENTION,  
11 HE'S GOING TO READ THE STIPULATED FACTS IN THIS CASE TO YOU.

12 MR. GORSKI: MAY I READ THEM FROM COUNSEL TABLE?

13 THE COURT: YOU MAY, YES, SIR.

14 MR. GORSKI: FIRST STIPULATION, ON OR AROUND  
15 SEPTEMBER 11TH, 2012, PLAINTIFF APPLIED FOR A JOB WITH DART  
16 TRANSIT COMPANY AS A TRUCK DRIVER.

17 NUMBER 2, ON SEPTEMBER 12TH, 2012, DART ORDERED A  
18 CRIMINAL BACKGROUND CHECK ABOUT PLAINTIFF FROM  
19 E-BACKGROUNDCHECKS.COM.

20 NUMBER 3, BGC IS A CONSUMER REPORTING AGENCY WITHIN  
21 THE MEANING OF THE FAIR CREDIT REPORTING ACT.

22 NUMBER 4, ON SEPTEMBER 20TH, 2012 DART APPROVED  
23 PLAINTIFF TO BEGIN THE DART TRAINING PROGRAM WHICH HE BEGAN ON  
24 SEPTEMBER 25TH.

25 THE COURT: ALL RIGHT. MR. GORSKI, IS THE NEXT PIECE

1 OF EVIDENCE PORTIONS OF THE DEPOSITION OF MS. PEGGY O'NEILL?

2 MR. GORSKI: YES, IT IS, YOUR HONOR.

3 THE COURT: ALL RIGHT. MEMBERS OF THE JURY, AGAIN  
4 LET ME GIVE YOU SOME ADDITIONAL INSTRUCTION WITH REGARD TO THIS  
5 NEXT PIECE OF EVIDENCE YOU'RE GOING TO HEAR.

6 THE DEPOSITION OF PEGGY O'NEILL WAS TAKEN ON JANUARY  
7 28TH, 2013, AND IT'S ABOUT TO BE PRESENTED TO YOU BY WAY OF  
8 VIDEO, CERTAIN EXCERPTS FROM THAT. THE DEPOSITION IS ENTITLED  
9 TO THE SAME CONSIDERATION AS LIVE TESTIMONY, AND YOU MUST JUDGE  
10 IT IN THE SAME WAY AS IF THE WITNESS WERE TESTIFYING FROM THE  
11 WITNESS STAND IN THIS COURT.

12 NOW, THESE VIDEO EXCERPTS CONSTITUTE PORTIONS THAT  
13 THE PLAINTIFF WISHES TO PRESENT TO YOU AND PORTIONS THAT THE  
14 DEFENDANT WISHES TO PRESENT TO YOU. SO IN SOME WAYS THIS IS  
15 LIKE THOSE JOINT EXHIBITS THAT HAVE BEEN ADMITTED. THIS IS  
16 SORT OF A HYBRID EXHIBIT. PORTIONS OF IT WILL BE OFFERED FOR  
17 THE PLAINTIFF'S CASE IN CHIEF, AND OTHER PORTIONS ARE BEING  
18 OFFERED FOR THE DEFENDANT'S CASE IN CHIEF.

19 A DEPOSITION IS SIMPLY A WITNESS' SWORN TESTIMONY  
20 THAT'S TAKEN BEFORE TRIAL. DURING THE DEPOSITION THE WITNESS  
21 IS UNDER OATH AND SWEARS TO TELL THE TRUTH, AND THE LAWYERS FOR  
22 EACH PARTY MAY ASK QUESTIONS. A COURT REPORTER IS PRESENT TO  
23 TAKE DOWN THE QUESTIONS AND ANSWERS. IN THIS CASE IT WAS ALSO  
24 VIDEO RECORDED FOR YOUR BENEFIT.

25 SO AT THIS TIME, COUNSEL, I'LL ALLOW YOU TO PLAY THE

1 PORTIONS OF THE PEGGY O'NEILL DEPOSITION THAT YOU ALL HAVE  
2 AGREED TO OFFER.

3 (EXCERPTS OF THE VIDEOTAPED DEPOSITION OF PEGGY  
4 O'NEILL WERE PLAYED IN OPEN COURT.)

5 THE COURT: THOSE ARE ALL THE EXCERPTS YOU WANTED TO  
6 HAVE PLAYED, MR. GORSKI?

7 MR. GORSKI: YES, YOUR HONOR.

8 THE COURT: AND FOR YOU ALSO, MR. ANDRE?

9 MR. ANDRE: YES, YOUR HONOR.

10 THE COURT: MR. GORSKI, DO YOU HAVE ANY OTHER  
11 EVIDENCE TO PRESENT ON BEHALF OF THE PLAINTIFF AT THIS TIME?

12 MR. GORSKI: PLAINTIFF RESTS, YOUR HONOR.

13 THE COURT: LADIES AND GENTLEMEN, WE'RE AT ALMOST  
14 4:25. I'M SURE IT'S BEEN A LONG DAY FOR YOU ALL. YOU ALL  
15 ARRIVED EARLY TODAY. WE NORMALLY WILL RUN COURT UNTIL ABOUT  
16 FIVE O'CLOCK. THERE ARE SOME MATTERS I HAVE TO TAKE UP WITH  
17 THE ATTORNEYS, AND BETTER THAN TO KEEP YOU WAITING, I WOULD  
18 INTEND TO RELEASE YOU AT THIS TIME.

19 I'D LIKE FOR YOU TO REPORT BACK TOMORROW MORNING AT  
20 NINE A.M. I RECOGNIZE THAT TRAFFIC AROUND ATLANTA CAN BE  
21 CHALLENGING. SO I ASK YOU TO MAKE ARRANGEMENTS TO BE HERE BY  
22 NINE O'CLOCK BECAUSE WE ALL WANT TO GET STARTED ON TIME, AND  
23 THE ATTORNEYS HAVE BEEN ADVISED THE SAME THING. SO DO YOUR  
24 BEST TO ARRANGE YOUR SCHEDULE SO YOU CAN BE HERE BY NINE  
25 O'CLOCK.

1                   I HAVE TO REMIND YOU AGAIN NOW AT THE END OF THE DAY  
2 WHEN YOU GO HOME, FAMILY MEMBERS MAY WANT TO KNOW WHAT DID YOU  
3 DO TODAY, WHAT KIND OF CASE ARE YOU ON. I JUST NEED TO REMIND  
4 YOU THE ADMONITION YOU CAN TELL THEM THAT YOU WERE SELECTED FOR  
5 A TRIAL HERE IN FEDERAL COURT. YOU CAN TELL THEM IT'S A CIVIL  
6 CASE, BUT YOU'LL JUST HAVE TO TELL THEM THE REST OF IT AFTER  
7 THE CASE IS OVER. SO JUST ASK THEM TO PLEASE RESPECT THE  
8 OBLIGATION THAT YOU HAVE AS JURORS NOT TO DISCUSS THE CASE.

9                   DON'T TRY TO DO INDEPENDENT RESEARCH ABOUT THE CASE,  
10 ABOUT THE LAW, OR ANYTHING THAT YOU'VE HEARD TESTIMONY ABOUT  
11 TODAY BECAUSE YOU HAVE TO BASE YOUR DECISION ONLY ON THE  
12 EVIDENCE THAT YOU HEAR THAT'S PRESENTED DURING THE COURSE OF  
13 THE DAY.

14                   DO ANY OF YOU HAVE ANY CONCERNS YOU NEED TO BRING UP  
15 WITH ME AT THIS TIME? ALL RIGHT. THEN YOU ALL ARE EXCUSED FOR  
16 THE EVENING. WE'LL SEE YOU TOMORROW MORNING AT NINE O'CLOCK.  
17 HAVE A GOOD EVENING.

18                   (JURY RETIRED)

19                   THE COURT: ANY MATTERS TO BE BROUGHT UP AT THIS  
20 TIME?

21                   MR. GORSKI: NONE FROM PLAINTIFF, YOUR HONOR.

22                   MR. ANDRE: YOUR HONOR, WE HAVE A MOTION.

23                   THE COURT: ALL RIGHT.

24                   MR. ANDRE: YOUR HONOR, THE DEFENDANT MOVES PURSUANT  
25 TO RULE 50 OF THE FEDERAL RULES OF CIVIL PROCEDURE FOR JUDGMENT

1 AS A MATTER OF LAW. THE PLAINTIFF HAS NOW BEEN FULLY HEARD ON  
2 THE CLAIMS AT TRIAL, AND A REASONABLE JUROR WOULD NOT HAVE A  
3 LEGALLY SUFFICIENT EVIDENTIARY BASIS TO FIND FOR PLAINTIFF ON  
4 HIS CLAIMS.

5 I WANT TO TALK FIRST ABOUT HIS NEGLIGENCE CLAIM. AS  
6 YOUR HONOR KNOWS, THERE ARE ESSENTIALLY FOUR ELEMENTS TO A  
7 NEGLIGENCE CLAIM, UNDERSTANDING THAT WE'RE STILL DISCUSSING  
8 WHAT THAT JURY CHARGE WILL LOOK LIKE. WE PRODUCED A REPORT  
9 WITH INACCURATE INFORMATION, THAT IT WAS THE RESULT OF THE  
10 NEGLIGENT FAILURE TO FOLLOW REASONABLE PROCEDURES, THAT MR.  
11 SMITH WAS DAMAGED AND THAT THE DAMAGE WAS CAUSED BY BGC.

12 YOUR HONOR, NOW THAT THE EVIDENCE IS IN, PLAINTIFF  
13 HAS FAILED TO ADDUCE SUFFICIENT EVIDENCE ON EITHER THE SECOND  
14 OR THIRD ELEMENTS TO ALLOW THAT CHARGE TO GO FORWARD. WITH  
15 RESPECT TO THE SECOND ELEMENT WHICH IS THAT THE INACCURACY WAS  
16 THE RESULT OF A NEGLIGENT FAILURE TO FOLLOW REASONABLE  
17 PROCEDURES, THE EVIDENCE SIMPLY DOES NOT EXIST THAT BGC'S  
18 PROCEDURES WERE UNREASONABLE.

19 AS YOUR HONOR KNOWS, REASONABLENESS IS JUDGED BY WHAT  
20 A REASONABLY PRUDENT PERSON WOULD DO UNDER THE CIRCUMSTANCES.  
21 THAT'S AN OFTEN REPEATED STANDARD IN THE ELEVENTH CIRCUIT AND  
22 ELSEWHERE, AND WHILE THAT MAY SOUND LIKE SOMETHING THAT NEEDS  
23 TO BE DECIDED BY A JURY, RULE 50 IS PRECISELY THE MECHANISM FOR  
24 AVOIDING THAT INQUIRY WHERE THERE IS NO EVIDENCE LIKE WE HAVE  
25 IN THIS CASE.

1                   THERE IS NO EVIDENCE TO SUPPORT THE IDEA THAT BGC'S  
2    PROCEDURES WERE SUBJECTIVELY OR OBJECTIVELY UNREASONABLE.  
3    THERE'S NO COMPARISON POINT TO SAY THAT WHAT BGC DID WAS OR WAS  
4    NOT REASONABLE WITH RESPECT TO WHAT IT OUGHT TO HAVE DONE.

5                   ESSENTIALLY THE CONTENTION FROM THE PLAINTIFF IS THAT  
6    WE SHOULD HAVE DONE WHAT WE DID IN THE DISPUTE PROCESS, I.E.,  
7    CALL THE COURT AND LOOK FOR A SOCIAL ON THE FRONT END. THIS IS  
8    A QUESTION WE BRIEFED FOR YOUR HONOR AT SUMMARY JUDGMENT WHICH  
9    IS THAT COURTS HAVE LOOKED AT EXACTLY THAT QUESTION AND SAID,  
10   QUOTE, WHAT ARE REASONABLE PROCEDURES TO ASSURE THE MAXIMUM  
11   POSSIBLE ACCURACY OF A CREDIT REPORT DIFFER FROM WHAT  
12   CONSTITUTE REASONABLE PROCEDURES FOR REINVESTIGATION OF  
13   DISPUTED INFORMATION. THAT'S THE LEE VERSUS SECURITY CHECK  
14   CASE OUT OF THE MIDDLE DISTRICT OF FLORIDA.

15                  YOUR HONOR, ANOTHER MIDDLE DISTRICT OF FLORIDA CASE  
16    SWOAGER VERSUS CREDIT BUREAU OF GREATER ST. PETERSBURG, FLORIDA  
17    LIKEWISE SAID THAT THE STANDARD BETWEEN THOSE TWO PIECES OF THE  
18    FCRA IS DIFFERENT. THERE'S NEVER BEEN A CASE CITED BY THE  
19    PLAINTIFF IN SUMMARY JUDGMENT OR OTHERWISE THAT SAYS  
20    DIFFERENTLY.

21                  ALL WE HAVE BEFORE THE COURT IS THE IDEA THAT WHAT  
22    YOU DID LATER IS WHAT YOU SHOULD HAVE DONE AT THE START, AND  
23    THAT IS NOT EVIDENCE THAT WHAT WE DID INITIALLY WAS  
24    UNREASONABLE. REMEMBERING THE ADMONITION THAT I KNOW YOUR  
25    HONOR KNOWS WELL THAT THE MERE FACT OF AN INACCURACY, A POINT

1 REPEATED BY THE PLAINTIFF IN THEIR CASE IN CHIEF, DOES NOT  
2 PROVE THAT THERE'S BEEN A VIOLATION.

3 YOUR HONOR, WITH RESPECT TO THE THIRD ELEMENT, WE  
4 WOULD SUBMIT THAT THE PLAINTIFF HAS TRULY NO COMPETENT EVIDENCE  
5 OF DAMAGES. AS YOU KNOW IT'S HIS BURDEN TO SHOW THAT HE WAS  
6 HARMED. WE'RE TALKING ABOUT ONLY EMOTIONAL DAMAGES AS YOU KNOW  
7 WELL. COURTS IN THIS DISTRICT UNDERSTANDING THAT IT'S A  
8 DISPUTED QUESTION HAVE SAID OVER AND OVER THAT THERE HAS TO BE  
9 EVIDENCE OF AN ACTUAL INJURY, EVIDENCE OF CONDUCT AND  
10 OBSERVATION FROM THIRD PARTIES TO SUPPORT AN AWARD OF MENTAL  
11 ANGUISH DAMAGES. WE HAVE NOTHING.

12 WE HAVE MR. SMITH SAYING HE WAS UPSET, AND COURTS IN  
13 THIS CIRCUIT HAVE HELD THAT THAT KIND OF EVIDENTIARY PROFFER IS  
14 SIMPLY NOT SUFFICIENT. THOSE ARE THE CASES WE CITED FOR YOUR  
15 HONOR AT SUMMARY JUDGMENT THAT WE STILL THINK ARE AN IMPORTANT  
16 AND INSTRUCTIVE VIEW OF WHAT THE STANDARD OUGHT TO BE.

17 COURTS OUTSIDE THIS CIRCUIT HAVE REACHED THE SAME  
18 CONCLUSION. COUSIN VERSUS TRANSUNION OUT OF THE FIFTH CIRCUIT  
19 WAS A COURT OF APPEALS CASE THAT SAID THAT YOU REALLY CANNOT  
20 GET TO A JURY, YOU CANNOT GET TO A QUESTION OF DAMAGES BY JUST  
21 SAYING I WAS UPSET UNLESS YOU'VE GOT SOMETHING TO CORROBORATE  
22 IT. MR. SMITH HAS NOTHING BUT THOSE BARE ASSERTIONS. FOR  
23 THOSE REASONS, WE THINK THOSE ELEMENTS ARE LACKING, AND  
24 THAT MR. SMITH HAS NO EVIDENCE THAT CAN GET TO HIM ON  
25 NEGLIGENCE.

1                   WITH RESPECT TO WILLFULNESS, YOUR HONOR, EVEN IF  
2 THERE IS SOME MODICUM OF EVIDENCE THAT THE COURT THINKS COULD  
3 GET US TO A JURY ON NEGLIGENCE, THERE IS TRULY NOTHING THAT CAN  
4 GET WILLFULNESS TO THIS JURY. AT SUMMARY JUDGMENT IT MAY HAVE  
5 BEEN A CLOSE QUESTION. I THINK THE COURT SAID THIS IS A CLOSE  
6 QUESTION.

7                   NOW THE EVIDENCE IS BEFORE US, AND IT REALLY ISN'T.  
8 FCRA ACTS WILLFULLY IF ITS ACTIONS WERE, AND THIS IS QUOTING  
9 THE SUPREME COURT, NOT ONLY A VIOLATION UNDER A REASONABLE  
10 READING OF THE STATUTE'S TERMS, BUT SHOWS THAT THE COMPANY RAN  
11 A RISK OF VIOLATING THE LAW SUBSTANTIALLY GREATER THAN A RISK  
12 ASSOCIATED WITH A READING OF WAS MERELY CARELESS. THAT, OF  
13 COURSE, IS THE SUPREME COURT SEMINAL SAFECO INSURANCE COMPANY  
14 OF AMERICA VERSUS BIRD DECISION IN 2007.

15                  IT'S MIRRORED IN THE ELEVENTH CIRCUIT IN THE LEVINE  
16 VERSUS WORLD FINANCIAL NETWORK BANK WHERE THE COURT HELD THAT  
17 FCRA CANNOT BE FOUND WILLFULLY LIABLE IF IT MAKES AN  
18 INTERPRETATION OF A STATUTORY TERM WITH NO CLEAR DEFINITION,  
19 AND AN INTERPRETATION THAT IS NOT CONTRARY TO ANY CONTROLLING  
20 AUTHORITY.

21                  YOUR HONOR, THERE'S NO DEFINITION ANYWHERE IN THE  
22 STATUTE OF WHAT CONSTITUTES REASONABLE PROCEDURES. IN THE FACE  
23 OF THAT -- AND THERE IS LIKEWISE NO AUTHORITY FROM THE FTC,  
24 FROM A COURT OF APPEALS, FROM ANYONE SAYING THAT THE TYPE OF  
25 PROCEDURES BGC USED ARE UNREASONABLE. IN THAT VACUUM BGC MADE

1 AN INTERPRETATION, CREATED ROBUST PROCEDURES, AND THOSE  
2 PROCEDURES ARE SIMPLY NOT SUFFICIENT BASED ON THE EVIDENTIARY  
3 PROFFER TO FIND THAT WE COULD HAVE WILLFULLY VIOLATED THE  
4 STATUTE. YOUR HONOR, ON THAT BASIS WE'D MOVE THE COURT FOR  
5 JUDGMENT AS A MATTER OF LAW.

6 THE COURT: MR. GORSKI.

7 MR. GORSKI: YOUR HONOR, THE ARGUMENTS THAT THE  
8 DEFENDANT JUST MADE ARE VIRTUALLY IDENTICAL TO THE ARGUMENTS  
9 THAT IT ALREADY RAISED ON A MOTION FOR SUMMARY JUDGMENT WHICH  
10 WAS DENIED IN ITS ENTIRETY WITH RESPECT TO PLAINTIFF'S (E)(B)  
11 CLAIM BOTH AS TO NEGLIGENCE AND TO WILLFULNESS.

12 THE EVIDENCE THAT WAS PUT ON AT THIS TRIAL IS  
13 VIRTUALLY IDENTICAL TO THE EVIDENCE THAT WAS SUBMITTED BY  
14 PLAINTIFF IN OPPOSING THAT MOTION FOR SUMMARY JUDGMENT, AND WAS  
15 FOUND TO BE SUFFICIENT ENOUGH IN ORDER FOR PLAINTIFF TO REACH A  
16 JURY BOTH ON THE NEGLIGENCE AND ON THE WILLFULNESS CLAIM.

17 I WOULD POINT OUT, YOUR HONOR, IN YOUR HONOR'S OWN  
18 OPINION, YOUR HONOR FOUND PROBATIVE INFORMATION ABOUT BGC'S  
19 PROCEDURE WITH RESPECT TO THE FACT THAT THEY DO NOT USE A  
20 SOCIAL SECURITY NUMBER AS A REFERENCE MARKER. THEY ALSO HAVE  
21 ACKNOWLEDGED THAT THEY DO NOT USE -- THAT THEY ARE NOT CAPABLE  
22 OF COMPARING OR DISTINGUISHING BETWEEN TWO INDIVIDUALS WITH THE  
23 SAME NAME AND THE SAME DATE OF BIRTH.

24 FURTHERMORE, YOUR HONOR, THOSE ARE THE ITEMS THAT  
25 YOUR HONOR HAS IDENTIFIED WITH RESPECT TO WILLFULNESS. SO

1 CERTAINLY THOSE ITEMS WOULD BE SUFFICIENT ENOUGH TO MEET A  
2 NEGLIGENCE STANDARD, AS WELL.

3 THE NEGLIGENCE STANDARD THAT WE'VE ALREADY DISCUSSED  
4 IN THIS CASE, YOUR HONOR, IS THAT OF INACCURACY. INACCURACY  
5 HAS ALREADY BEEN ADMITTED BY THE DEFENDANT BASED ON THE  
6 EVIDENCE THAT WE'VE SEEN THUS FAR, AND AS WE'VE DISCUSSED THUS  
7 FAR, BASED ON A BROADER INTERPRETATION OF WHAT THE BURDEN OF  
8 PROOF IS IN THIS CASE, THE JURY MAY BE ABLE TO INFER THAT THE  
9 INACCURACY LEADS TO LIABILITY HERE.

10 EVEN UNDER A MORE NARROW VIEW OF THIS WHERE THE  
11 PLAINTIFF POSSESSES THE ENTIRE BURDEN OF PROOF, PLAINTIFF HERE  
12 HAS COME FORWARD WITH MORE EVIDENCE THAN SIMPLY AN INACCURACY  
13 TO SHOW THAT THE PROCEDURES HERE WERE UNREASONABLE.

14 SPECIFICALLY THAT IT DOES NOT USE SOCIAL SECURITY NUMBERS TO  
15 CROSSCHECK ANY OF THESE RECORDS BEFORE IT SELLS IT, THAT ITS  
16 PROCEDURES DO NOT ACCOUNT FOR COMMON NAMES, THAT ITS PROCEDURES  
17 ARE COMPLETELY INCAPABLE OF DIFFERENTIATING BETWEEN INDIVIDUALS  
18 WITH THE SAME NAME AND THE SAME DATE OF BIRTH, AND MORE SO THAT  
19 ALL OF THESE PROCEDURES APPEAR TO BE IN PLACE SOLELY FOR THE  
20 REASON SO THAT THE DEFENDANT CAN SELL ITS CREDIT REPORT  
21 INSTANTANEOUSLY WITHOUT HAVING TO HIRE ADDITIONAL WORKERS OR DO  
22 ADDITIONAL WORK IN ORDER TO GET IT RIGHT IN THAT REGARD.

23 SO WE THINK PLAINTIFF HAS SUBMITTED MORE THAN ENOUGH  
24 EVIDENCE HERE FOR THE JURY TO MAKE A DECISION BOTH ON WHETHER  
25 THERE IS A NEGLIGENT VIOLATION OF 1681(E)(B) ALONG WITH A

1 WILLFUL VIOLATION OF 1681(E)(B).

2 FURTHERMORE, YOUR HONOR, MR. SMITH'S TESTIMONY IS  
3 SUBSTANTIALLY SIMILAR TO THE TESTIMONY THAT WAS PROFFERED TO  
4 THE COURT IN CONNECTION WITH THE MOTION FOR SUMMARY JUDGMENT.  
5 SPECIFICALLY HE DESCRIBED THE EXPERIENCE THAT HE WENT THROUGH  
6 OVER THE COURSE OF TWO WEEKS. HE EXPLAINED THAT BASED ON HIS  
7 OWN RECOLLECTION THAT HE WAS, YOU KNOW, CALLED A LIAR OR  
8 DISHONEST. YOUR HONOR EVEN ACKNOWLEDGED THAT THERE MAY BE A  
9 DISPUTE OF FACT ON THAT SUBJECT, BUT THAT DOES NOT MEAN THAT  
10 THE JURY SHOULD DISCOUNT MR. SMITH'S TESTIMONY ON THAT SUBJECT,  
11 OR WHAT IT MAY HAVE MADE HIM FEEL LIKE TO THE EXTENT THE JURY  
12 DECIDES TO BELIEVE THAT EVIDENCE ON THAT SUBJECT.

13 ADDITIONALLY, HE DESCRIBED THE EMBARRASSMENT AND  
14 HUMILIATION HE FELT AND THE AFFECT ON HIS FAMILY LIFE.  
15 FURTHERMORE, HE DESCRIBED AN EFFECT ON HIS PHYSICAL AND HIS  
16 MENTAL CONDITIONS, AND EVEN PROVIDED SPECIFIC EXAMPLES OF THAT  
17 SUCH AS NOT BEING ABLE TO EAT, NOT BEING ABLE TO SLEEP AND  
18 HAVING AN EFFECT ON HIS DAILY HABITS.

19 WE THINK THAT PLAINTIFF HERE HAS ALSO SUBMITTED A  
20 SUFFICIENT AMOUNT OF EVIDENCE FOR THE JURY TO MAKE A DECISION  
21 ON ACTUAL DAMAGES IN THIS CASE, YOUR HONOR, AND FOR THAT REASON  
22 WE THINK THE RULE 50 MOTION SHOULD BE DENIED.

23 THE COURT: ANY REBUTTAL ARGUMENT, MR. ANDRE?

24 MR. ANDRE: YOUR HONOR, WITH RESPECT TO WILLFULNESS,  
25 THERE IS NO EVIDENCE IN THIS RECORD TO SHOW THAT BGC'S ACTIONS

1 WERE RECKLESS. THAT'S THE STANDARD THAT SAFECO ESTABLISHED.  
2 THERE IS MERELY TESTIMONY ABOUT WHAT THOSE PROCEDURES ARE, BUT  
3 NOTHING FROM WHICH A FINDER OF FACT CAN DRAW THE CONCLUSION  
4 THAT THOSE ARE RECKLESS, UNREASONABLE AND WILLFULLY  
5 NONCOMPLIANT WITH THE STATUTE. THAT'S IT, YOUR HONOR.

6 THE COURT: I THINK THE WILLFULNESS CLAIM HAS BEEN  
7 THE CLOSER CLAIM THROUGHOUT THE CASE, AND I'LL START WITH IT.  
8 VIEWING THE EVIDENCE FAVORABLY TO THE PLAINTIFF, I THINK THERE  
9 IS ARGUMENT THAT MR. GORSKI CAN MAKE AND THAT A REASONABLE JURY  
10 COULD ACCEPT IF IT CHOSE TO THAT COULD SHOW THAT THERE WAS A  
11 SUBSTANTIAL RISK OF PRODUCING THE ERRONEOUS REPORT IN THIS CASE  
12 DUE TO THE COMMON NAME THAT WAS AT ISSUE, THE FACT THAT THE  
13 FULL NAME WAS PROVIDED TO BGC ALONG WITH A SOCIAL SECURITY  
14 NUMBER, AND I RECOGNIZE MR. KESSLER'S TESTIMONY THAT IN THE  
15 VAST MAJORITY OF CASES THEY DON'T GET SOCIAL SECURITY NUMBERS,  
16 BUT APPARENTLY THAT INFORMATION WAS AVAILABLE.

17 IT'S THOSE COMBINATION OF THINGS THAT PERSUADED ME AT  
18 THE SUMMARY JUDGMENT STAGE, AND I THINK THEY PERSUADED THE  
19 DISTRICT JUDGE AS WELL UPON REVIEW OF OBJECTIONS THAT THERE IS  
20 ENOUGH, AND I EMPHASIZE ENOUGH TO GET THERE. I THINK IT'S A  
21 CLOSE CALL, BUT I'M GOING TO OVERRULE THE MOTION AT THIS POINT  
22 IN TIME WITH RESPECT TO THE WILLFULNESS CLAIM AND ALLOW IT TO  
23 GO FORWARD.

24 WITH RESPECT TO THE NEGLIGENCE CLAIM, AS MR. ANDRE  
25 NOTED THERE WE'RE STILL WORKING OUT THE PARTICULARS OF THE JURY

1 CHARGE ON THE NEGLIGENCE CLAIM IN PARTICULAR, BUT A FAVORABLE  
2 VIEW OF THAT FOR PLAINTIFF I THINK HE WOULD SATISFY THE SECOND  
3 PRONG, SECOND ELEMENT OF THE FOUR BASED ON THE FACT THAT THERE  
4 WAS AN UNDISPUTED INACCURACY IN THE REPORT, AND IF I DO GO WITH  
5 THE MINIMAL BURDEN OF PROOF ON HIM, HE WOULD SATISFY THAT.

6 AS TO THE THIRD ELEMENT, LIKEWISE I THINK HE'S  
7 SATISFIES THAT SUFFICIENTLY HERE. CLEARLY WE HAD ARGUMENT AT  
8 THE SUMMARY JUDGMENT STAGE ABOUT WHAT LEVEL OF PROOF IS  
9 NECESSARY FOR DAMAGES AND HIM SIMPLY TESTIFYING ALONE THAT HE  
10 HAD THESE THINGS WOULD NOT BE SUFFICIENT UNDER SOME CASE LAW  
11 AUTHORITY THAT THE DEFENDANT HAS CITED.

12 WHAT STRUCK ME TODAY AS MORE THAN THAT WAS LISTENING  
13 TO THE TAPE RECORDED TELEPHONE CALLS, AND I THINK THOSE TAPE  
14 RECORDINGS PROVIDE ENOUGH EVIDENCE FOR THE -- TO CORROBORATE  
15 THE PLAINTIFF'S CLAIMS THAT HE WAS HAVING FRUSTRATION, THAT HE  
16 WAS CLEARLY ANXIOUS ABOUT GETTING THIS RESOLVED QUICKLY BY THE  
17 MERE FACT THAT HE CALLED MULTIPLE TIMES, THE SIGHING IN  
18 RESPONSE TO THE RESPONSES THAT ARE GIVEN IS SOME INDICATION TO  
19 THIS -- APART FROM HIM JUST SAYING I FELT THIS WAY, THE JURORS  
20 CAN LISTEN TO THAT AND HEAR THAT, AND THAT IS SOME  
21 CORROBORATION IF THAT IS THE STANDARD.

22 I THINK IN RULING ON SUMMARY JUDGMENT I WENT WITH  
23 ANOTHER DECISION IN THIS COURT THAT SAID HIS TESTIMONY ALONE IS  
24 SUFFICIENT TO GET PAST SUMMARY JUDGMENT, AND AS I'VE LISTENED  
25 TO THE TESTIMONY TODAY, I THINK HE'S GOT A LITTLE MORE THAN

1 THAT BASED ON THE CONTENT OF THOSE CALLS, THE FACT THAT HE MADE  
2 THE MULTIPLE CALLS AND HIS TESTIMONY CAN BE CONSIDERED IN  
3 CONJUNCTION WITH THAT, AS WELL. SO I'M GOING TO DENY THE RULE  
4 50 MOTION AT THIS.

5 ARE YOU ALL GOING TO BE READY TO PRESENT YOUR  
6 EVIDENCE TOMORROW MORNING, MR. ANDRE?

7 MR. ANDRE: YES, YOUR HONOR.

8 THE COURT: MR. GORSKI, DID YOU HAVE SOMETHING YOU  
9 WANTED TO TAKE UP?

10 MR. GORSKI: NO, YOUR HONOR, JUST MAYBE ASKING BASED  
11 ON WHAT I UNDERSTAND THE DEFENDANT'S WITNESS POOL TO BE FOR THE  
12 DEFENSE, IT SEEMS LIKE WE'LL PROBABLY BE ABLE TO DO CLOSING. I  
13 WAS JUST WONDERING IF YOUR HONOR HAD MADE ANY DECISIONS  
14 REGARDING THE FINAL JURY INSTRUCTIONS?

15 THE COURT: YEAH, I WANTED TO DISCUSS THAT WITH YOU.  
16 I WANTED TO SEE IF THERE WAS ANYTHING ELSE BEFORE THAT. DO YOU  
17 THINK YOU'LL FINISH YOUR EVIDENCE TOMORROW?

18 MR. ANDRE: YES, YOUR HONOR.

19 THE COURT: ABOUT WHAT TIME OF DAY WOULD YOU  
20 ESTIMATE?

21 MR. ANDRE: I THINK WE'LL BE DONE BY LUNCH.

22 THE COURT: OKAY. AND YOU ALL WILL BE PREPARED TO DO  
23 CLOSINGS TOMORROW. SO I HAVE GOT A DRAFT, AND I WANT TO  
24 EMPHASIZE DRAFT WITH ALL CAPITAL LETTERS OF THE JURY CHARGE. I  
25 HAD INTENDED TO WORK ON IT OVER LUNCH SOME MORE TODAY TO REFINE

1 THAT, BUT WE GOT SIDETRACKED WITH SOME RESEARCH ON THE ISSUE  
2 THAT WE BROUGHT UP RIGHT BEFORE THE LUNCH BREAK.

3 SO I HAVEN'T BEEN ABLE TO GIVE IT THE TIME THAT I  
4 WOULD LIKE TO, BUT I WANT TO GIVE IT TO YOU SO YOU ALL HAVE  
5 THIS AS MY CURRENT THINKING ON THE JURY INSTRUCTIONS AS WELL AS  
6 THE VERDICT FORM BECAUSE I'D LIKE FOR YOU ALL TO HAVE THE  
7 BENEFIT OF REVIEWING IT, AND FOR YOU TO BE ABLE TO LET ME KNOW  
8 FIRST THING IN THE MORNING WHAT CONCERNS OR OBJECTIONS YOU  
9 HAVE.

10 IF YOU HAVE THOSE TONIGHT, I DON'T KNOW HOW LATE  
11 YOU'RE PLANNING TO WORK TONIGHT, BUT IF YOU WANT TO GET  
12 ANYTHING TO ME TONIGHT, I'LL BE GLAD TO TAKE A LOOK AT IT LATER  
13 THIS EVENING. IF NOT, I CERTAINLY RESPECT IT'S BEEN A LONG DAY  
14 FOR YOU ALL AS WELL. SO DON'T FEEL OBLIGATED TO GET ANYTHING  
15 BACK TO ME TONIGHT, BUT I WOULD LIKE TO HAVE IT FIRST THING IN  
16 THE MORNING BY NINE O'CLOCK SO YOU'LL BE ABLE TO GIVE ME YOUR  
17 INPUT.

18 AS I SAID BECAUSE IT IS A DRAFT, IT'S NOT SOMETHING  
19 I'M FILING ON THE RECORD. I'M GIVING IT TO YOU AS A COURTESY  
20 SO YOU CAN HAVE IT EARLIER AND HAVE MORE TIME BECAUSE I WANT  
21 THE BENEFIT OF YOUR INPUT ON THIS IN MAKING AN INFORMED  
22 JUDGMENT ABOUT WHAT THE INSTRUCTION SHOULD BE.

23 YOU WILL SEE THOSE MOMENTARILY. WHEN WE RECESS TODAY  
24 I'LL JUST REVIEW THEM REAL QUICKLY AND HAVE THEM BROUGHT OUT TO  
25 YOU, SO YOU WILL EACH HAVE A COPY TO REVIEW AS WELL AS THE

1 PROPOSED JURY INSTRUCTIONS.

2 IF YOU ALL WANT TO WAIT AROUND A LITTLE WHILE AND  
3 HAVE A DISCUSSION THIS EVENING, I'M HAPPY TO WAIT AROUND, BUT I  
4 FIGURE IT'S BEEN LONG DAY FOR YOU ALL, AND YOU ALL WOULD PREFER  
5 TO HAVE A LITTLE MORE TIME TO LOOK AT IT, AND WE CAN DISCUSS IT  
6 TOMORROW MORNING. IF YOU ALL GET HERE AT LITTLE BEFORE NINE, I  
7 CAN DISCUSS IT WITH YOU EARLY, BUT WE'LL CERTAINLY BE TAKING  
8 BREAKS, AND IF IT FALLS THAT YOU FINISH YOUR EVIDENCE RIGHT  
9 BEFORE LUNCH, WE CAN TAKE AN EXTENDED LUNCH AND WORK THROUGH  
10 WHATEVER ISSUES REMAIN.

11 ONE ISSUE I DO WANT YOU TO THINK ABOUT IS WHETHER YOU  
12 WANT ME TO INSTRUCT THE JURY BEFORE YOUR CLOSING ARGUMENTS.  
13 YOU'LL SEE ON THE DRAFT I'VE GIVEN YOU I PUT IN BRACKETS AN  
14 OPPORTUNITY FOR ME TO INSTRUCT THE JURY. I'LL GIVE THEM SOME  
15 INSTRUCTION BRIEFLY, AND THEN LET YOU ALL CLOSE SO THEY HAVE  
16 THE BENEFIT OF THE INSTRUCTIONS AHEAD OF YOUR CLOSING  
17 ARGUMENTS.

18 THE MORE TRADITIONAL WAY, OF COURSE, IS SIMPLY FOR  
19 YOU TO ARGUE AND I CLOSE, AND I'M GLAD TO DO THAT, AND IN FACT  
20 I'LL DO THAT UNLESS BOTH PARTIES WANT ME TO INSTRUCT BEFORE  
21 CLOSING, BUT WE CAN DISCUSS THAT IN THE MORNING AND DECIDE HOW  
22 TO PROCEED.

23 MR. GORSKI, ANYTHING ELSE OTHER THAN ME PROVIDING THE  
24 DRAFT JURY INSTRUCTIONS AT THIS TIME?

25 MR. GORSKI: NOTHING ELSE FROM PLAINTIFF. THANK YOU.

1                   THE COURT:  MR. ANDRE, ANYTHING ELSE?  
2                   MR. ANDRE:  NOTHING, YOUR HONOR.  WE'LL AWAIT THE  
3  INSTRUCTIONS.  
4                   THE COURT:  I HAVE YOUR DOCUMENTS THAT YOU LEFT WITH  
5  ME AT THE BENCH DURING ONE OF OUR CONFERENCES.  YOU CAN  
6  RETRIEVE THAT, AND I'LL HAVE THEM TO YOU MOMENTARILY.

7                   (PROCEEDINGS ADJOURNED)

8

9

10

11                   INDEX

12

13   CRAIG KESSLER	
14    CROSS-EXAMINATION	
14    BY MR. GORSKI: .....	44
14    DIRECT EXAMINATION	
15    BY MR. ANDRE: .....	66
15    RECROSS-EXAMINATION	
16    BY MR. GORSKI:.....	73
17   TONY W. SMITH	
17    DIRECT EXAMINATION	
18    BY MR. GORSKI:.....	75
18    CROSS-EXAMINATION	
19    BY MR. ANDRE:.....	94
19    REDIRECT EXAMINATION	
20    BY MR. GORSKI: .....	127

21

22

23

24

25

1

2 C-E-R-T-I-F-I-C-A-T-E

3

4 UNITED STATES OF AMERICA

5 NORTHERN DISTRICT OF GEORGIA

6

7 I, ANDRE G. ASHLEY, DO HEREBY CERTIFY THAT I AM A  
8 U.S. DISTRICT REPORTER FOR THE NORTHERN DISTRICT OF GEORGIA,  
9 THAT I REPORTED THE FOREGOING AND THE SAME IS A TRUE AND  
10 ACCURATE TRANSCRIPTION OF MY MACHINE SHORTHAND NOTES AS TAKEN  
11 AFORESAID.

12 IN TESTIMONY WHEREOF I HAVE HEREUNTO SET MY HAND ON  
13 THIS 13TH DAY OF OCTOBER, 2015.

14

15

16

17

18

19 ANDRE G. ASHLEY  
20 OFFICIAL COURT REPORTER  
NORTHERN DISTRICT OF GEORGIA

21

22

23

24

25